

# Research Security & Integrity Information Sharing Analysis Organization (RSI-ISAO) Solicitation Webinar (NSF 23-613)

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#### NSPM-33

#### THE WHITE HOUSE

WASHINGTON

January 14, 2021

NATIONAL SECURITY PRESIDENTIAL MEMORANDUM - 33

MEMORANDUM FOR THE VICE PRESIDENT

THE SECRETARY OF STATE

THE SECRETARY OF DEFENSE

THE ATTORNEY GENERAL

THE SECRETARY OF THE INTERIOR

THE SECRETARY OF AGRICULTURE

THE SECRETARY OF COMMERCE

THE SECRETARY OF HEALTH AND HUMAN SERVICES

THE SECRETARY OF TRANSPORTATION

THE SECRETARY OF ENERGY

THE SECRETARY OF EDUCATION

THE SECRETARY OF VETERANS AFFAIRS

THE SECRETARY OF HOMELAND SECURITY

THE ASSISTANT TO THE PRESIDENT AND CHIEF OF STAFF

THE ADMINISTRATOR OF THE ENVIRONMENTAL PROTECTION AGENCY

THE DIRECTOR OF THE OFFICE OF MANAGEMENT AND BUDGET

THE DIRECTOR OF NATIONAL INTELLIGENCE

THE DIRECTOR OF THE CENTRAL INTELLIGENCE AGENCY

THE ASSISTANT TO THE PRESIDENT FOR NATIONAL

SECURITY AFFAIRS

COUNSEL TO THE PRESIDENT

ASSISTANT TO THE PRESIDENT, DEPUTY COUNSEL TO THE

PRESIDENT FOR NATIONAL SECURITY AFFAIRS, AND NATIONAL SECURITY COUNCIL LEGAL ADVISOR

THE DIRECTOR OF THE OFFICE OF SCIENCE AND

TECHNOLOGY POLICY

THE DIRECTOR OF THE NATIONAL SCIENCE FOUNDATION

THE ADMINISTRATOR OF THE NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

THE DIRECTOR OF THE FEDERAL BUREAU OF INVESTIGATION

THE SECRETARY OF THE SMITHSONIAN

THE DIRECTOR OF THE NATIONAL INSTITUTES OF HEALTH

#### NATIONAL SCIENCE AND TECHNOLOGY COUNCIL



GUIDANCE FOR IMPLEMENTING NATIONAL **SECURITY PRESIDENTIAL MEMORANDUM 33** (NSPM-33) ON NATIONAL SECURITY STRATEGY FOR UNITED STATES GOVERNMENT-SUPPORTED RESEARCH AND DEVELOPMENT

A Report by the

**Subcommittee on Research Security** 

Joint Committee on the Research Environment

January 2022

#### **CHIPS And Science Act**

136 STAT. 1366

PUBLIC LAW 117-167-AUG. 9, 2022

Public Law 117-167 117th Congress

An Act

Making appropriations for Legislative Branch for the fiscal year ending September 30, 2022, and for other purposes.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

SECTION 1. TABLE OF CONTENTS

The table of contents for this Act is as follows:

Sec. 1. Table of contents. Sec. 2. References.

DIVISION A-CHIPS ACT OF 2022

Sec. 101. Short title.

Sec. 102. Creating helpful incentives to produce semiconductors (CHIPS) for Amer-Sec. 103. Semiconductor incentives.

Sec. 104. Opportunity and inclusion.

Sec. 106. Additional GAO reporting requirements.

Sec. 106. Adpropriations for wireless supply chain innovation.

Sec. 107. Advanced manufacturing investment credit.

DIVISION B-RESEARCH AND INNOVATION

Sec. 10000. Table of contents. Sec. 10001. Short title. Sec. 10002. Definitions. Sec. 10003. Budgetary effects.

TITLE I—DEPARTMENT OF ENERGY SCIENCE FOR THE FUTURE

Sec. 10101. Mission of the Office of Science.

Sec. 10102. Basic energy sciences program. Sec. 10103. Biological and environmental research

Sec. 10103. Biological and environmental research.

Sec. 10104. Advanced scientific computing research program.

Sec. 10106. High energy physics program.

Sec. 10108. Science laboratories infrastructure program.

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Sec. 1011. Increased collaboration with teachers and accientists.

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Sec. 1011. High intensity laboratories are research institutive, fielium conservation program; Sec. 1011.2 high intensity laser research initiative, neutum conservation program;
Office of Science emerging biological threat preparedness research initiative; midscale instrumentation and research equipment program; autorization of appropriations.

Sec. 1011.3. Established program to stimulate competitive research.

Sec. 1011.4. Research security.

TITLE II—NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY FOR

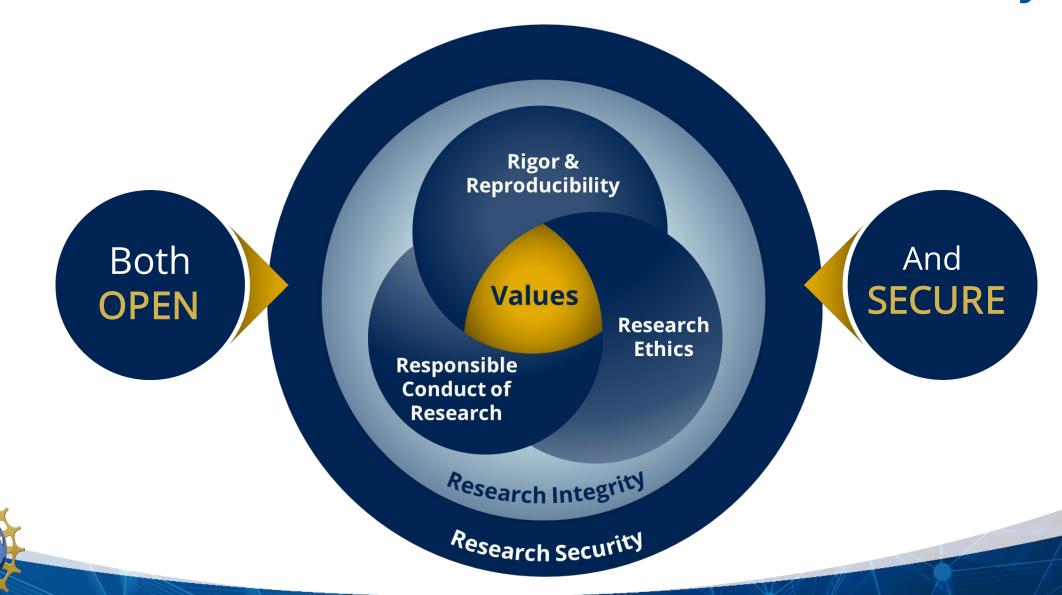
Subtitle A-Authorization of Appropriations

Sec. 10211. Authorization of appropriations.





# Values are the Heart of Research Security









Today's Geopolitical Environment is Challenging for Research



Researchers & Institutions





SECURE is the bridge







#### Governance Structure of SECURE







#### Mission:

Empower the research community to make security-informed decisions about research security concerns



# Approach:

Providing information, developing tools, and providing services



### Audience:

IHEs, non-profit research institutions, and small and medium-sized businesses



#### Duties of the RSI-ISAO under CHIPS

- Serve as a clearinghouse for information to help enable the members and other entities in the research community to understand the context of their research and identify improper or illegal efforts by foreign entities to obtain research results, know how, materials, and intellectual property;
- Develop a standard set of frameworks and best practices, relevant to the research community, to assess research security risks in different contexts;
- Share information concerning security threats and lessons learned from protection and response efforts through forums and other forms of communication;

- Provide timely reports on research security risks to provide situational awareness tailored to the research and STEM education community;
- Provide training and support, including through webinars, for relevant faculty and staff employed by institutions of higher education on topics relevant to research security risks and response;
- Enable standardized information gathering and data compilation, storage, and analysis for compiled incident reports;
- Support analysis of patterns of risk and identification of bad actors and enhance the ability of members to prevent and respond to research security risks;



#### What SECURE will do... and won't do



Uniform Quality of Service



Reduce Cost and Administrative Burden



Frameworks and Best Practices



**Curated Syntheses** 



Patterns of Risk



**Analytical Tools** 



Advice, Decisions, Investigations, Policy



# Research Security and Integrity Information Sharing Analysis Organization (RSI-ISAO)

#### PROGRAM SOLICITATION

NSF 23-613



#### National Science Foundation

Office of the Director
Office of the Chief of Research Security Strategy and Policy



Trust is Critical to SECURE's Success





#### **Functional Domains**



## Tools & Training



Community Engagement & Inquiries



Data Analysis & Reporting



#### Stakeholder Desires for Functional Domains



- Actionable Tools
- Frameworks
- Rubrics
- Best Practices



# Engagement & Inquires

- Build trust
- Demonstrate value
- Reduce cost for large
- Be accessible to small

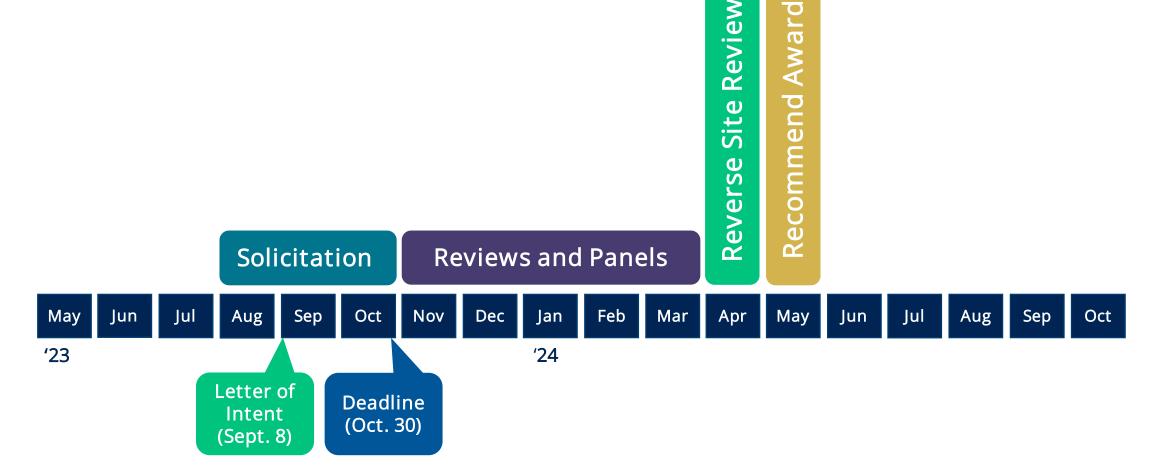


# Data Analysis & Reporting

- Landscape analysis
- Risk modeling
- Timely, relevant communication



#### The Road Ahead



Review



