GROWING RESEARCH ACCESS FOR NATIONALLY TRANSFORMATIVE EQUITY AND DIVERSITY

UW CAS – Research Leadership

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Right now is a terrific time to apply to the National Science Foundation (NSF) for funding to support research projects that expand knowledge in science, engineering and education as well as those that seek to increase the capacity of the U.S. to conduct and exploit such research.
Overview and Objectives

- State of the Nation’s Research Enterprise
- NSF GRANTED
- Developing a GRANTED Proposal
- Advice from the GRANTED Team
- Discussion and Q & A
The Research Enterprise

- facilities
- equipment
- resources
- disciplinary knowledge, networks, experience
- Discovery, technology & innovation pipe

Institutions and Organizations

Investigators, Teams, Trainees

“A strong national research enterprise relies on more than funding for the research itself.”
NSF PD 23-221Y

Research enterprise includes human capital, practices and processes, and partnerships that are related to/impact: research development, research administration, broader impacts activities, technology transfer and commercialization, corporate relations/public-private partnerships, research integrity, compliance and security, research policy, support of student research training, and research leadership.
Research Enterprise Functions

Well Resourced Comprehensive R1, R2 Universities

Research Development
Research Training
Broader Impacts
Research Administration
Research Commercialization
Corporate Relations
Research Integrity/Compliance
Research Policy
Research Leadership

Primarily Undergraduate Institutions (PUIs) and some Emerging Research Institutions (ERIs)
Challenges within the Research Enterprise

- Assistance and support for research and sponsored activity is not equitably experienced
- Hiring and attrition lead to constant churn of staff
- Remote work options
- Training is available but not equitably accessible
- Some systemic issues - position titles, salaries, position descriptions; Financial processes in the context of external funding
- Faculty workload challenges
- Institutional mission in light of “research”
WHY GRANTED?

• NSF proposal submissions and increasing budget

• CHIPS and Science legislation - “Emerging Research Institutions”

• Awareness and knowledge of research enterprise staff and functions

• $90B (FY21) expended by colleges and universities – access and intentional workforce

• Pandemic influences on the workforce – teleworking, remote opportunities
Types of NSF Funding Opportunities

Program Descriptions
- Like a home base of funding for a program
- Typical in divisions of NSF and have been around for a long time in various research areas.
- Researchers in disciplinary areas know to apply to position descriptions over and over again.
- PD 23-221Y is GRANTED’s funding opportunity home base

Solicitations
- Usually have time bound announcements
- Aren’t a base of funding for a particular division or program of NSF
- They are often short lived (one or just a few rounds of funding) and may come and go more frequently

Dear Colleague Letters (DCLs)
- Can also be thought of as funding opportunities
- Think of them as large blinking lights directing people to funding opportunities (like those in the PAPPG)
- Check out [NSF 23-152](#) “Growing Access to Post-Award Research Support and Service Infrastructure for Nationally Transformative Equity and Diversity – AND – [NSF 23-153](#) “Advice to the Community About Submitting Proposals to the GRANTED Program Description Funding Opportunity PD 23-221Y.”

Broad Agency Announcements (BAA)
- Used by the TIP Directorate and NCSES primarily
GRANTED Funding Opportunities

DCL 23-037
Conferences and Workshops

PD 23-221Y
Program Description Funding Opportunity

DCL 23-152
Growing Post-Award Support and Service Infrastructure

Target Due Date: January 9, 2024

Deadlines:
- DCL 23-037: March 15, 2023 (CLOSED)
- PD 23-221Y: OPEN
- DCL 23-152: Tips for Responding to PD 23-221Y
Program Description – PD 23-221Y

What it is...

- [https://new.nsf.gov/funding/opportunities/growing-research-access-nationally-transformative-0](https://new.nsf.gov/funding/opportunities/growing-research-access-nationally-transformative-0) [make sure you have the “0” included]
- Bold, ambitious ideas that will increase access, catalyze national transformation, and address systemic equity and diversity issues in the research enterprise are encouraged
- Projects must be fully developed and described within the project description
- PIs and Co-PIs could be research enterprise staff
- Project costs – ask for what you need!
Not NSF “business as usual”

Program Description – PD 23-221Y

What it is not...

• Not a limited submission
• No deadline
• No budget floor, no budget cap
• Project terms should be no more than 5 years
• Does not restrict or discourage any higher education institution or non-profit organization from participating; NSF general eligibility applies
• Does not fund disciplinary research, graduate student training*, faculty training, or the offering of grant writing workshops*

* there are some exceptions
Proposal Sector Analysis includes proposals evaluated under:
DCL 23-037
PD 23-221Y
Growing Post Award Support and Service Infrastructure for Nationally Transformative Equity and Diversity

DCL 23-152

• Proposals submitted to 23-221Y
• Proposals should focus on growing capacity and access to post-award regulatory, financial, and administrative research support
• Goal is to alleviate institutional/organizational and/or investigator burden and broaden participation in research activities
• Target deadline of January 9, 2024 but proposals will be accepted before and after that date
Ideas for GRANTED

• Strengthening the Nation’s Research Enterprise is the **goal**
• Gains within PUIs, ERIs and MSIs is a **goal**
• National Transformation of the Research Enterprise is the **Broader Impact**
• **Workforce Development** of research enterprise staff and functions—especially in post-award research administration (**GRANTED DCL 23-152**)
• **Hiring** research enterprise staff
• **Research** on the research enterprise
• **Evaluating** existing training programs and **scaling** of effective training programs
• **Research on sustainability of programs** that have significant funding
• **Transferrable and Scalable** ways of improving post-award project and program management
• **Research leadership** development
• **Knowledge management** and sharing systems for research enterprise content
• So many more ideas
• **Intellectual Merit** – Potential to Create and Advance Knowledge: about the Research Enterprise (HUGE POTENTIAL)

• **Broader Impacts** – Benefits to Society: National Transformation of the Research Enterprise

• Ideas can be implementations, interventions, creation and/or expansions of training related to research enterprise topics, hiring of new staff, data collection efforts, assessments, etc.

• Use prior research and reports when possible to provide context

• Collaborations are great – you likely aren’t the only one with the same challenges/issues

• What is stopping you from submitting?
A Suggested Proposal Outline

• What is the challenge/issue?
• How will it be addressed? With whom? Why these choices?
• Summarize any reports, research, publications that relate to this challenge/issue
• Describe the research enterprise for this project (one or more institutions, one or more sectors within the research enterprise, etc.). Anticipate reviewer questions – don’t presume they know your research enterprise context
• Details of the project plan, including the team
• Plans for sustainability, growth and/or scaling of the project activity
• Intellectual Merit and Broader Impacts
• Prior related research
<table>
<thead>
<tr>
<th><strong>NSF Proposal Element</strong></th>
<th><strong>GRANTED?</strong></th>
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<tbody>
<tr>
<td>Two review criteria</td>
<td>Yes</td>
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<tr>
<td>Project summary</td>
<td>Yes - standard</td>
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<tr>
<td><strong>Project Description</strong></td>
<td>Yes – PAPPG instructions</td>
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<tr>
<td>References Cited</td>
<td>Yes - standard</td>
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<td>PI should have a PhD</td>
<td>No</td>
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<td>Co-PI and senior personnel allowed</td>
<td>Yes - standard</td>
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<td>Multiple institutions allowed</td>
<td>Yes - collaborative or subaward</td>
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<td>Facilities, Equipment and Other Resources</td>
<td>Talk about the research enterprise structure(s)</td>
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<td>Biosketches</td>
<td>Yes – standard; lack of publications isn’t a problem</td>
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<td>Current and Pending Support</td>
<td>Yes – standard; lack of prior funding isn’t a problem</td>
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<td>Data Management Plans</td>
<td>Yes - standard</td>
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<td>Budget</td>
<td>Yes – ask for what you need</td>
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<td>Budget Justification</td>
<td>Yes – standard</td>
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<tr>
<td>Other requirements</td>
<td>Yes – standard (follow the PAPPG)</td>
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• Involve your institutional leadership
• Ask for funds to do the work – stop making do with minimal resources
• Utilize all sections of the Research Enterprise
• We had to justify GRANTED to Congress, you just have to convince reviewers
• Utilize NSF GRANTED staff to discuss ideas
• HIRE SOME PEOPLE!!!!
• Be bold, don’t wait; build in evaluation and sustainability plans; think big even if your ideas start small
Email: GRANTED@nsf.gov; klittlef@nsf.gov; dstroud@nsf.gov
Website: https://new.nsf.gov/funding/initiatives/broadening-participation/granted

• Join the GRANTED Mailing List
• Volunteer to be a reviewer for GRANTED
• **Office Hours:** Wednesdays, 3-4pm ET
• GRANTED IPA Opportunities