

EXPLANATION OF FY 2013 CARRYOVER INTO FY 2014 BY ACCOUNT

The National Science Foundation's (NSF) total unobligated balance of \$150.04 million (\$12.72 million for Discretionary accounts and \$137.32 million for Mandatory accounts) is described below.

DISCRETIONARY

Within the **Research and Related Activities (R&RA)** account, \$10.16 million (\$5.65 million in 2-year funds; \$2.58 million in Polar no-year funds; \$1.93 million in incoming interagency reimbursable agreement funds) was carried over into FY 2014. Obligation of these funds is expected by the end of the second quarter of FY 2014.

- There is \$3.42 million in carryover associated with the NSF's financial system modernization project (iTRAK), which is funded through Program-Related Technology and managed by the Office of Budget, Finance and Award Management.
- Polar Program funds totaling \$2.58 million represents funding recovered and allotted very late in the fiscal year from previously obligated projects.
- There is carryover associated with R&RA funding provided for the operations of the National Coordination Office/Networking and Information Technology Research and Development (\$200,000) and the National Nanotechnology Coordination Office (\$30,000).
- The remaining \$2.0 million was carried over for awards that were not ready for obligation in FY 2013.
- Within R&RA, \$1.93 million of incoming two-year interagency funds were carried over.

Within the **Education and Human Resources (EHR)** account, \$2.18 million (\$760,000 in 2-year funds; \$1.42 million in Noyce no-year funds) was carried over for projects that were not ready for obligation in FY 2013.

Within the **Major Research Equipment and Facilities Construction (MREFC)** account, \$380,153 was carried over into FY 2014. The obligation of these no-year funds may be spread over several years for the following projects:

- \$95,819 for Ocean Observation Initiative (OOI); \$39,049 for the Atacama Large Millimeter Array (ALMA), and \$4,817 for South Pole Station Modernization (SPSM) closing-out costs.
- The remaining \$240,468 represents funding recovered very late in the fiscal year.

MANDATORY

Within the **H-1B Nonimmigrant Petitioner** account, \$104.45 million was carried over. It consists of \$27.0 million for Innovative Technology Experiences for Students and Teachers (ITEST) and \$77.45 million for Scholarship in Science, Technology, Engineering, and Mathematics (S-STEM). The total carryover includes \$72.0 million in third quarter H-1B receipts (received in August 2013) and \$32.45 million in fourth quarter receipts (received during the first quarter of FY 2014). Since NSF receives the largest quarterly payment of H-1B visa fees in August, there is insufficient time to obligate the receipts on

Technical Information

awards before the end of the fiscal year. These resources will allow both ITEST and S-STEM to support awards through the second quarter of FY 2014.

Within the **Donations** account, \$32.87 million was carried over into FY 2014. Donations were received from foreign governments, organizations, and individuals to fund various cooperative efforts in science, research, and education.

**Discretionary and Mandatory Accounts
Distribution of FY 2013 Carryover into FY 2014**

(Dollars in Millions)

	Discretionary and Mandatory Accounts	Incoming Interagency Reimbursables	Total FY 2014 Carryover from FY 2013
Discretionary Accounts			
Research and Related Activities	\$8.23	\$1.93	\$10.16
Education and Human Resources	2.18		2.18
Major Research Equipment and Facilities Construction	0.38	-	0.38
Office of Inspector General	-	-	-
Subtotal	10.79	1.93	12.72
Mandatory Accounts			
H-1B Non-Immigrant Petitioner	104.45	-	104.45
Donations (Special or Trust Fund)	32.87	-	32.87
Subtotal	137.32	-	137.32
TOTAL	\$148.11	\$1.93	\$150.04

Totals may not add due to rounding.