

## **EXPLANATION OF FY 2015 CARRYOVER INTO FY 2016 BY ACCOUNT**

The National Science Foundation's (NSF) total unobligated balance of \$235.34 million (\$90.20 million for Discretionary accounts, including \$1.15 million for Incoming Interagency Reimbursable Agreements, and \$145.14 million for Mandatory accounts) is described below.

### **DISCRETIONARY**

Within the **Research and Related Activities (R&RA)** account, \$11.17 million (including \$1.09 million in reimbursable funds) was carried over into FY 2016.

- Directorate for Geosciences Polar Programs (no-year funding)
  - Amount: \$5.05 million
  - Reason: These funds are recoveries from prior year obligations.
  - Anticipated Obligation: FY 2016 Quarter 2
- Office of Integrative Activities
  - Amount: \$2.55 million
  - Reason: Carryover balance is for the Major Research Instrumentation Program. Several MRI awards were not ready for obligation before the close of FY 2015. Funds will be used for FY 2016 awards.
  - Anticipated Obligation: FY 2016 Quarter 2
- National Coordination Office for Networking and Information Technology Research and Development (NCO/NITRD)
  - Amount: \$439,100
  - Reason: Funding provided for the operations of NCO/NITRD.
  - Anticipated Obligation: FY 2016 Quarter 2
- National Nanotechnology Coordination Office (NNCO)
  - Amount: \$22,000
  - Reason: Funding provided for the operations of NNCO.
  - Anticipated Obligation: FY 2016 Quarter 2
- The remaining R&RA carryover of \$2.02 million consists of funds from throughout the Foundation for projects that were not ready for obligation in FY 2015.

Within the **Education and Human Resources (EHR)** account, \$2.69 million (including \$62,144 in reimbursable funds) was carried over into FY 2016.

- Excellence Awards in Science and Engineering (EASE)
  - Amount: \$2.61 million
  - Reason: Approximately \$2.33 million for the Presidential Awards for Excellence in Mathematics and Science Teaching (PAEMST) program was carried over into FY 2016. The FY 2014 awardees were not recognized in FY 2015 and have been scheduled to be recognized in FY 2016 along with the FY 2015 awardees.

## *Technical Information*

- Reason:\$275,682 for the Presidential Awards for Excellence in Science, Mathematics and Engineering Mentoring (PAESMEM) was carried over into FY 2016. The FY 2014 and 2015 awardees were not recognized in FY 2015 and are scheduled to be recognized in FY 2016.
- Anticipated Obligation: FY 2016 Quarter 2
- The remaining \$23,041 are residual funds from various EHR accounts.

Within the **Major Research Equipment and Facilities Construction** no-year account, \$58.06 million was carried over into FY 2016.

- National Ecological Observatory Network (NEON)
  - Amount: \$56.0 million
  - Reason:FY 2015 obligations were limited in connection with the construction management transition. For additional information, please see the NEON section of the MREFC Chapter.
  - Anticipated Obligation: FY 2016 Quarter 3
- The remaining \$2.06 million in the MREFC account is due to a settlement of \$1.67 million for the Large Hadron Collider project; and \$390,691 in residual funds from the Advanced Laser Interferometer Gravitational Wave Observatory.

Within the **Agency Operations and Award Management (AOAM)** no-year account, \$18.11 million was carried over into FY 2016.

- NSF Headquarters Relocation
  - Amount: \$18.11 million
  - Reason:Obligations planned for FY 2015 have been shifted to FY 2016.
  - Anticipated Obligation: FY 2016 Quarter 2

Within the **Office of Inspector General (OIG)** two-year account, \$171,000 was carried over into FY 2016.

- Office of the Inspector General
  - Amount: \$171,000
  - Reason:Funds are expected to be used to procure audit and forensic contracts. The selection of awards and institutions to be audited will require careful preparation and is subject to changing circumstances and new information that may require additional time to process.
  - Anticipated Obligation: FY 2016 Quarter 2

## **MANDATORY**

- Innovation Technology Experiences for Students (ITEST)
  - Amount: \$29.96 million
  - Reason:Within the H-1B Nonimmigrant Petitioner account, approximately \$29.96 million was carried over for the NSF Innovative Technology Experiences for Students (ITEST). Since NSF receives the largest payments of H-1B visa fees in August and September, there was insufficient time to obligate the receipts on awards before the end of the fiscal year.
  - Anticipated Obligation: FY 2016 Quarter 2
- Scholarships in Science, Technology, Engineering, and Mathematics (S-STEM)
  - Amount: \$86.06 million

- Reason: Within the H-1B Nonimmigrant Petitioner account, approximately \$86.06 million was carried over for the NSF Scholarships in Science, Technology, Engineering, and Mathematics (S-STEM) program. Since NSF receives the largest payments of H-1B visa fees in August and September, there was insufficient time to obligate the receipts on awards before the end of the fiscal year.
- Anticipated Obligation: FY 2016 Quarter 2

Within the **Donations** account, \$29.12 million was carried over into FY 2016. Donations were received from foreign governments, organizations, and individuals to fund various cooperative efforts in science, research, and education.

**Discretionary and Mandatory Accounts**  
**Distribution of FY 2015 Carryover into FY 2016**  
(Dollars in Millions)

<b>Discretionary Accounts</b>	<b>Amount</b>
Research and Related Activities	\$11.17
Education and Human Resources	2.69
Major Research Equipment and Facilities Construction	58.06
Agency Operations and Award Management	18.11
Office of Inspector General	0.17
<b>Subtotal</b>	<b>90.20</b>
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<b>Mandatory Accounts</b>	
H-1B Non-Immigrant Petitioner	116.02
Donations (Special or Trust Fund)	29.12
<b>Subtotal</b>	<b>145.14</b>
<b>TOTAL</b>	<b>\$235.34</b>

Totals may not add due to rounding.

