

# Astrophysics



## NASA Astrophysics Update

AAAC Meeting  
Alexandria, VA  
January 25, 2018

**Paul Hertz**

Director, Astrophysics Division  
Science Mission Directorate  
[@PHertzNASA](https://twitter.com/PHertzNASA)

# NASA Astrophysics Diversity and Inclusion



- The NASA Astrophysics Division is actively taking steps to advance diversity, inclusion, and equal opportunity in the NASA workforce and among NASA grantee institutions.
- NASA Astrophysics is committed to:
  - Setting the expectancy of diversity and inclusion in the composition of: proposal teams, peer review panels, science and technology definition teams, and mission and instrument teams.
  - Promoting diversity on NASA-selected groups (e.g., advisory groups, peer review panels, science teams, etc.).
  - Recruiting a diverse Astrophysics Division staff.
  - Working with the NASA Office of the Chief Scientist and our peer review contractors to address unconscious bias in peer reviews.
  - Sharing best practices in peer reviews with other agencies.
  - Observing the demographics of R&A proposers and awardees as an indicator of issues.
- The demographics of R&A proposers and awardees – we notice that:
  - The inferred gender balance of awardees does reflect that of proposers.
  - The inferred gender balance of proposers does not always reflect that of the community.



# **NASA Astrophysics**

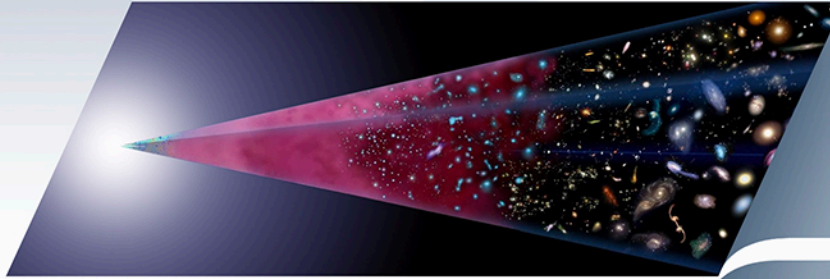
**A Balanced Plan  
A Strategic Vision**

# Why Astrophysics?

*Astrophysics is humankind's scientific endeavor to understand the universe and our place in it.*



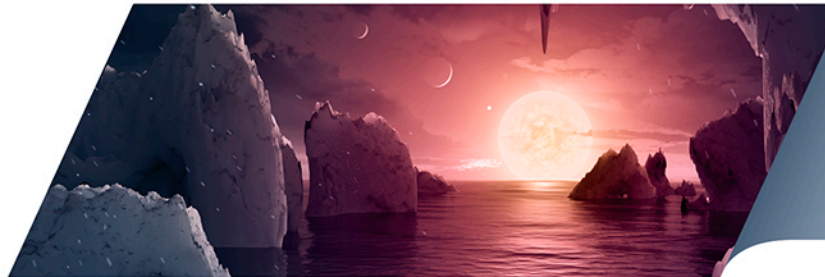
How did our universe begin and evolve?



How did galaxies, stars, and planets come to be?



Are we alone?



Enduring National Strategic Drivers



1972



1982



1991



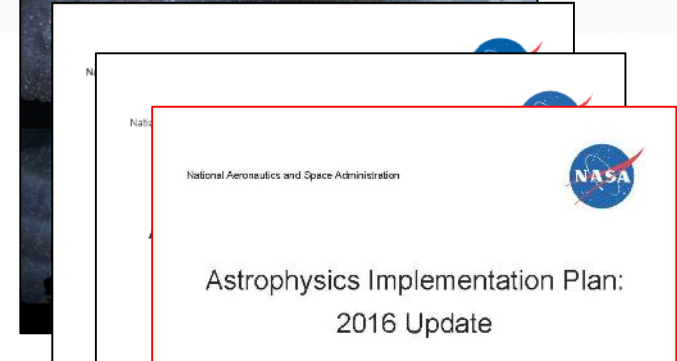
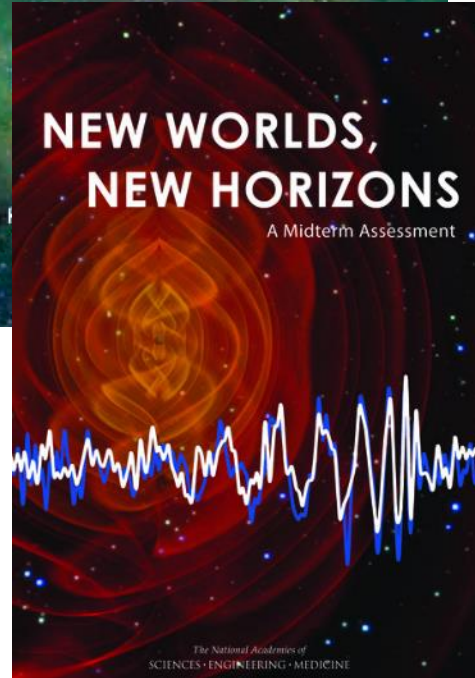
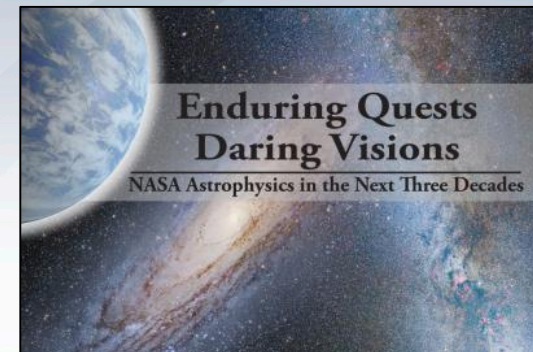
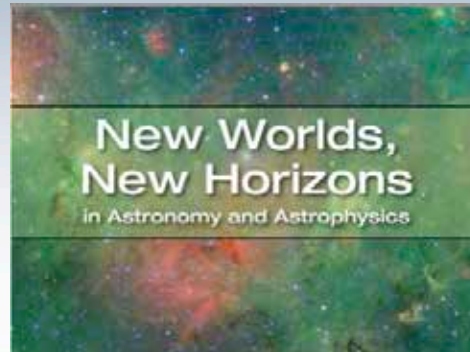
2001



2010



# Astrophysics Strategic Planning



2016 update includes:

- Response to Midterm Assessment
- Planning for 2020 Decadal Survey

December 15, 2016

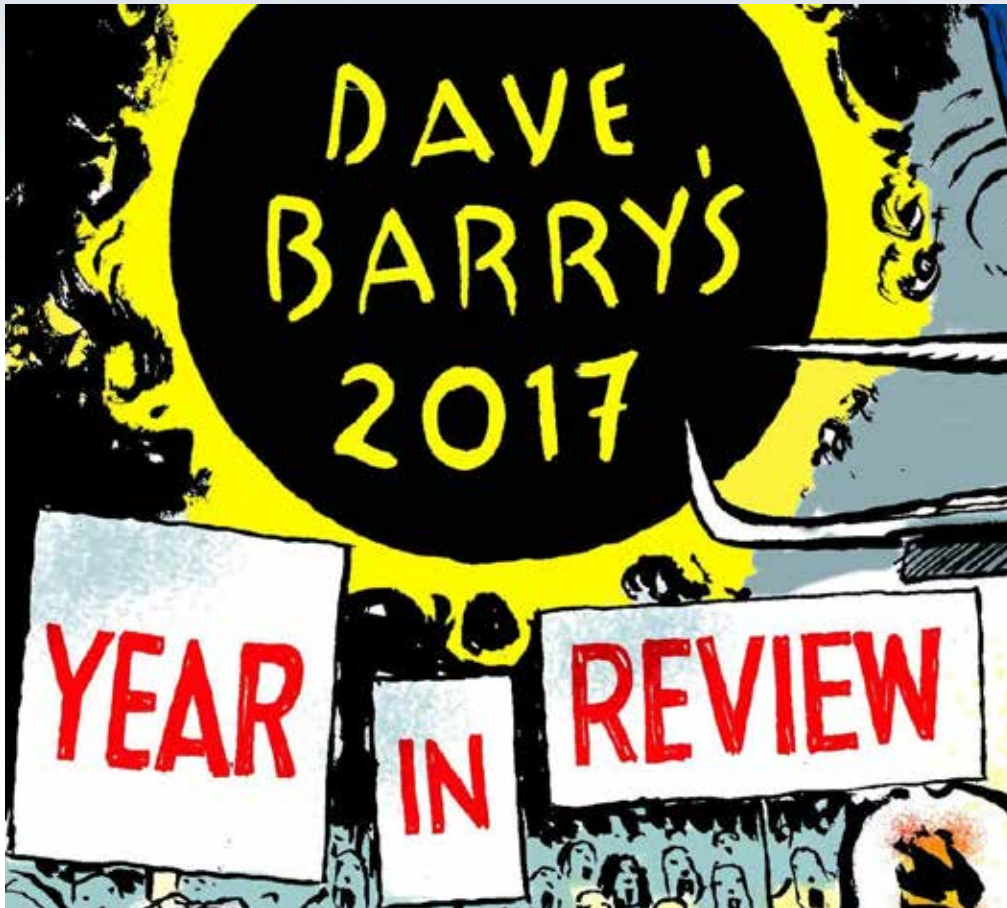
To be updated in 2018 (per GPRAMA)

<https://science.nasa.gov/astrophysics/documents>

# Astrophysics Big Picture



- **The FY18 budget request would provide funding for NASA astrophysics to continue its planned programs, missions, projects, research, and technology.**
  - Total requested funding for FY18 (Astrophysics including Webb) remains at ~\$1.35B.
  - The NASA Astrophysics FY18 budget request would fund Webb for a March – June 2019 launch, WFIRST formulation, Explorers mission development, increased funding for R&A, continued operating missions, suborbital missions, technology development, and mission studies.
  - FY18 President's Budget Request balances current science and future missions; Congressional markups, if enacted without additional funding, would put that balance at risk.
- **NASA continues to prioritize implementation of the recommendations of the 2010 Decadal Survey.**
  - National Academies' 2016 Midterm Assessment Report validates NASA's progress.
  - Webb making good progress toward launch.
  - WFIRST independent external Technical/Management/Cost review (WIETR) has led to direction to make design changes in WFIRST to stay within the \$3.2B cost target.
  - NASA is conducting large and medium mission concept studies for the 2020 Decadal Survey.



## February

NASA, in a major scientific discovery, announces that a star system less than 40 light-years away contains seven Earth-size planets, at least three of which appear to have a Starbucks.

# Current Program: an integrated strategic plan



**We are executing a balanced strategic program for Astrophysics**

- Operating missions, large and small, continue to deliver paradigm changing science



# Astrophysics Missions in Operation

Hubble

4/1990

NASA Strategic Mission

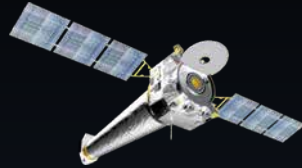


Hubble Space Telescope

Chandra

7/1999

NASA Strategic Mission



Chandra X-ray Observatory

XMM-Newton

12/1999

ESA-led Mission



X-ray Multi Mirror - Newton

Spitzer

8/2003

NASA Strategic Mission



Spitzer Space Telescope

Swift

11/2004

NASA MIDEX Mission



Swift Gamma-ray Burst Explorer

Fermi

6/2008

NASA Strategic Mission



Fermi Gamma-ray  
Space Telescope

Kepler

3/2009

NASA Discovery Mission



Kepler Space Telescope

NuSTAR

6/2012

NASA SMEX Mission



Nuclear Spectroscopic  
Telescope Array

SOFIA

5/2014

NASA Strategic Mission

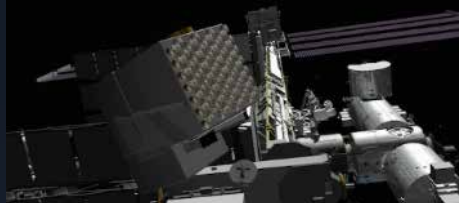


Stratospheric Observatory  
for Infrared Astronomy

ISS-NICER

6/2017

NASA Explorers Mission of Opportunity



Neutron Star Interior  
Composition Explorer

ISS-CREAM

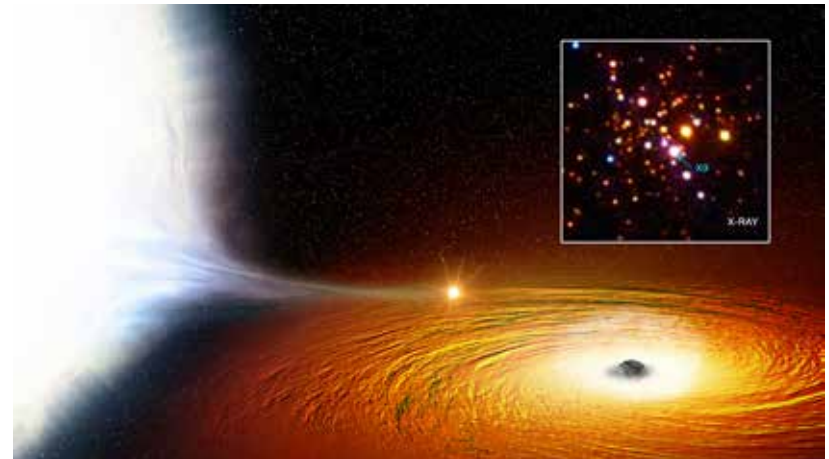
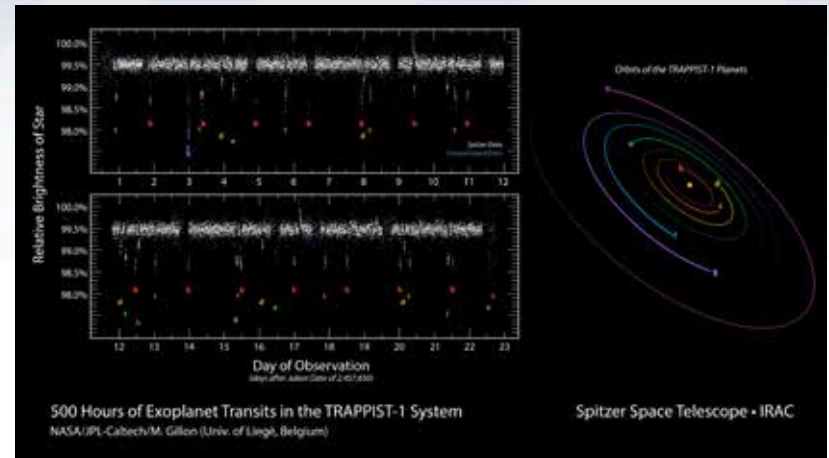
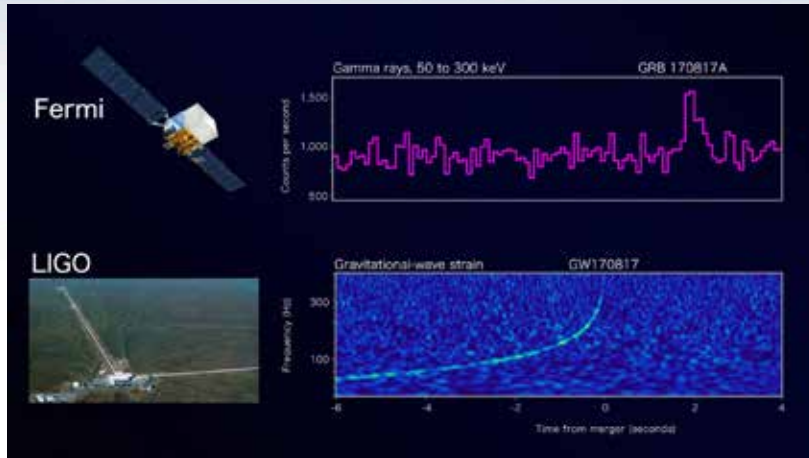
8/2017

NASA Research Mission



Cosmic Ray Energetics  
And Mass

# Some NASA Science Stories of 2017



# Current Program: an integrated strategic plan

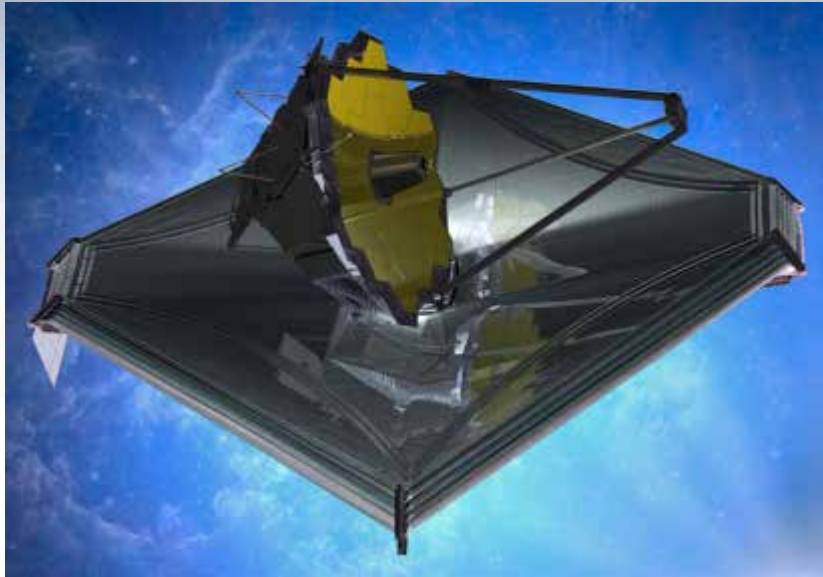


**We are executing a balanced strategic program for Astrophysics**

- Operating missions, large and small, continue to deliver paradigm changing science
- Large strategic missions under development ...
  - Are next generation great observatories
  - Will rewrite textbooks
  - Can only be done by NASA

# Webb

## James Webb Space Telescope



### Large Infrared Space Observatory

Top priority of 2000 Decadal Survey

**Science themes:** First Light; Assembly of Galaxies; Birth of Stars and Planetary Systems; Planetary Systems and the Origins of Life

**Mission:** 6.5m deployable, segmented telescope at L2, passively cooled to <50K behind a large, deployable sunshield

**Instruments:** Near IR Camera, Near IR Spectrograph, Mid IR Instrument, Near IR Imager and Slitless Spectrograph

**Operations:** 2019 launch for a 5-year prime mission

**Partners:** ESA, CSA

### 2017 Accomplishments

- Completed Science Payload vibration, and acoustics testing
- Solicited and selected Early Release Science proposals
- Received All Sunshield membranes
- **Completed cryovacuum testing of the science payload**
- **Integrated the sunshield and spacecraft forming the Spacecraft Element (SCE)**
- **Completed first flight hardware sunshield deployment test**

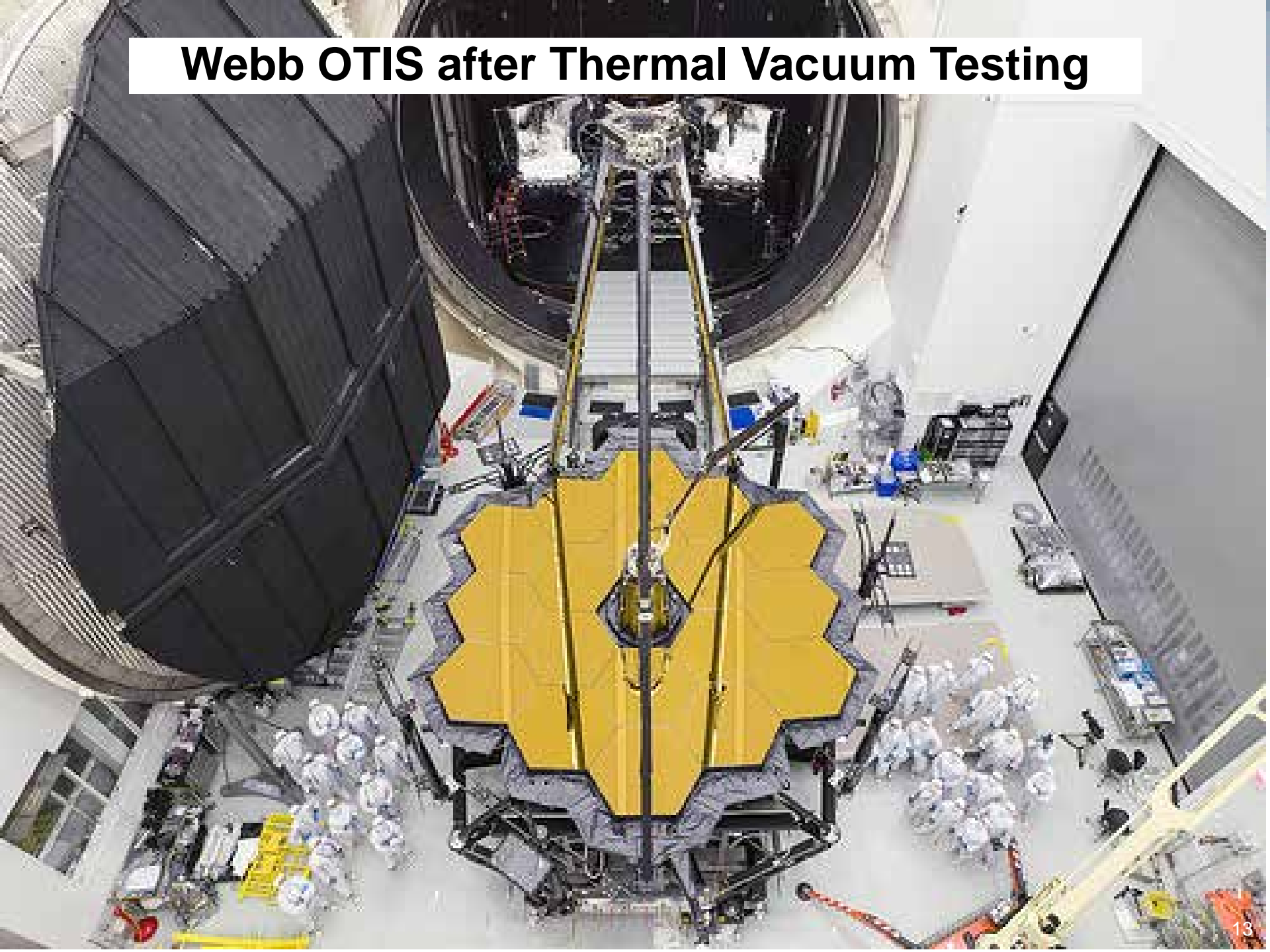
### 2018 Plans

- Complete Spacecraft Element testing
- Receive and Review Cycle 1 GO proposals
- Integrate the Science Payload to the SCE, forming the Observatory
- Begin testing the Observatory

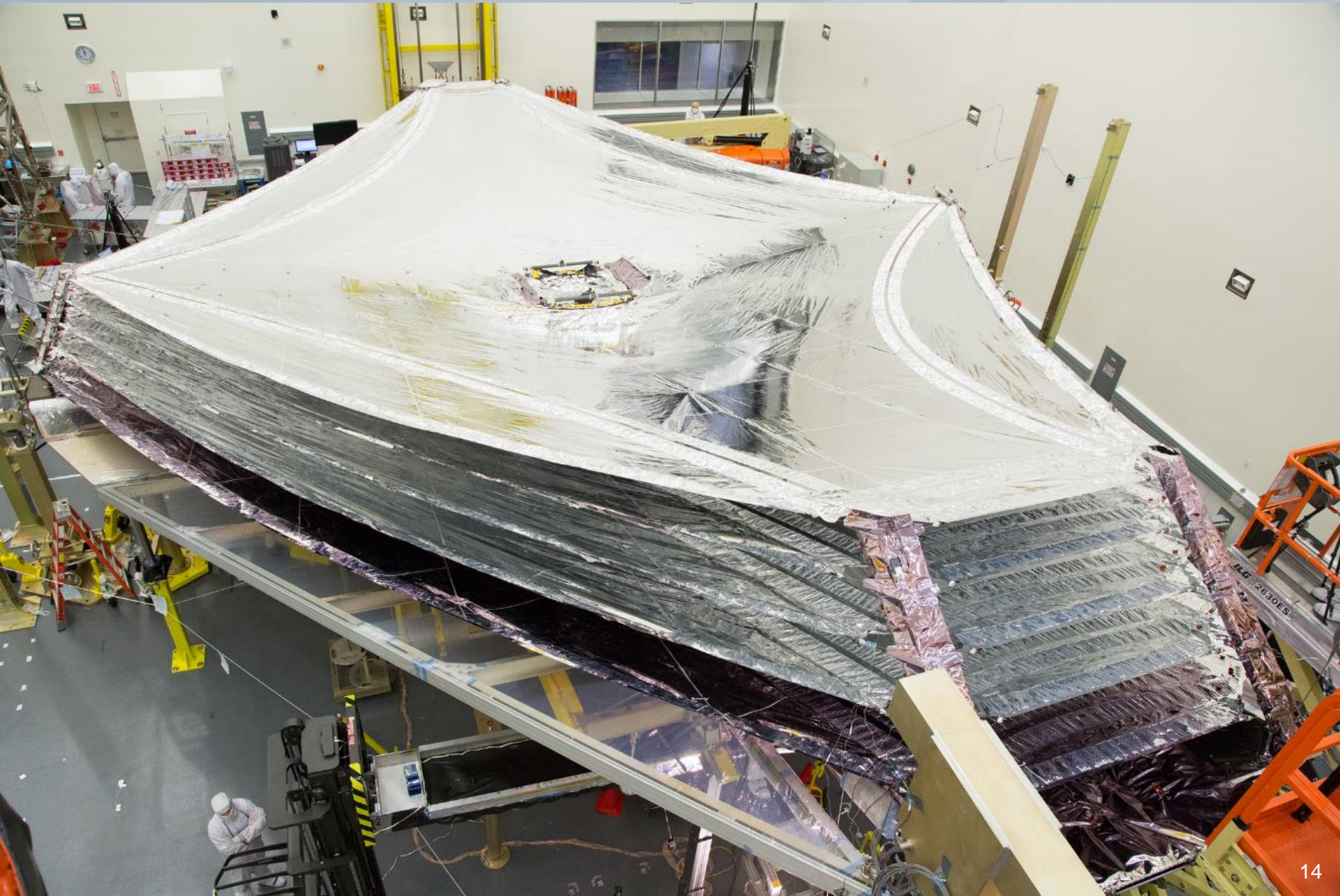
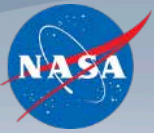
**Webb remains within its  
replan budget guidelines**



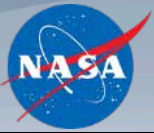
# Webb OTIS after Thermal Vacuum Testing



# Webb Sunshield Deployed



# Webb Sunshield Movie





# Webb Director's Discretionary Early Release Science Program

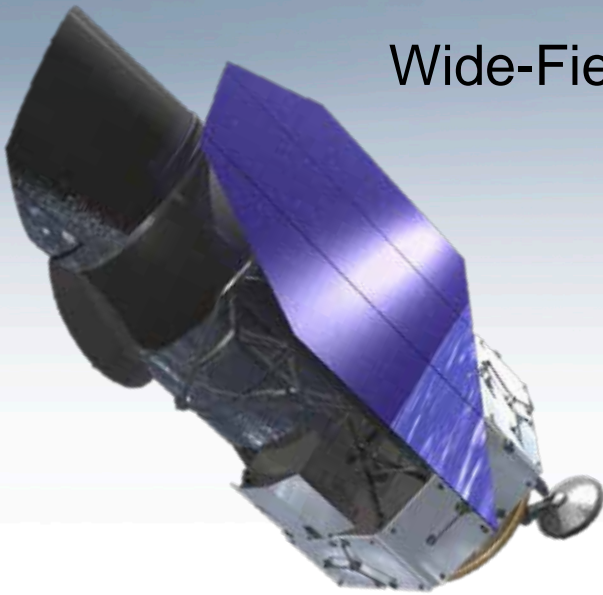
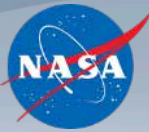


Through the Looking GLASS: A JWST Exploration of Galaxy Formation and Evolution from Cosmic Dawn to Present Day	PI: Tommaso Treu (University of California - Los Angeles)	Galaxies and the IGM
A JWST Study of the Starburst-AGN Connection in Merging LIRGs	PI: Lee Armus (California Institute of Technology)	Galaxies and the IGM
The Cosmic Evolution Early Release Science (CEERS) Survey	PI: Steven Finkelstein (University of Texas at Austin)	Galaxies and the IGM
TEMPLATES: Targeting Extremely Magnified Panchromatic Lensed Arcs and Their Extended Star Formation	PI: Jane Rigby (NASA Goddard Space Flight Center)	Galaxies and the IGM
Q-3D: Imaging Spectroscopy of Quasar Hosts with JWST Analyzed with a Powerful New PSF Decomposition and Spectral Analysis Package	PI: Dominika Wylezalek (European Southern Observatory - Germany)	Massive Black Holes and their Galaxies
Nuclear Dynamics of a Nearby Seyfert with NIRSpec Integral Field Spectroscopy	PI: Misty Bentz (Georgia State University Research Foundation)	Massive Black Holes and their Galaxies
The Transiting Exoplanet Community Early Release Science Program	PI: Natalie Batalha (NASA Ames Research Center)	Planets and Planet Formation
High Contrast Imaging of Exoplanets and Exoplanetary Systems with JWST	PI: Sasha Hinkley (University of Exeter)	Planets and Planet Formation
ERS observations of the Jovian System as a Demonstration of JWST's Capabilities for Solar System Science	PI: Imke de Pater (University of California - Berkeley)	Solar System
Radiative Feedback from Massive Stars as Traced by Multiband Imaging and Spectroscopic Mosaics	PI: Olivier Berne (Université Toulouse)	Stellar Physics
IceAge: Chemical Evolution of Ices during Star Formation	PI: Melissa McClure (Universiteit van Amsterdam)	Stellar Physics
Establishing Extreme Dynamic Range with JWST: Decoding Smoke Signals in the Glare of a Wolf-Rayet Binary	PI: Ryan Lau (California Institute of Technology)	Stellar Physics
The Resolved Stellar Populations Early Release Science Program	PI: Daniel Weisz (University of California - Berkeley)	Stellar Populations



# WFIRST

## Wide-Field Infrared Survey Telescope



### Wide-Field Infrared Survey Telescope

Top priority of 2010 Decadal Survey

**Science themes:** Dark Energy, Exoplanets, Large Area Near Infrared Surveys

**Mission:** 2.4m widefield telescope at L2; using existing hardware, images  $0.28\text{deg}^2$  at  $0.8\text{-}2\mu\text{m}$

**Instruments (design reference mission):** Wide Field Instrument (camera plus IFU), Coronagraph Instrument (imaging/IFS)

**Phase:** Currently in Formulation (Phase A)

### CURRENT STATUS:

- Completed three-year technology development activities on WFIRST's two critical mission technologies (near infrared detectors and coronagraph technologies)
- WFIRST Formulation Science Working Group and Science Investigation Teams selected
- Conducted WFIRST Independent External Technical/Cost/Management Review (WIETR) in response to findings and recommendations in National Academies' Midterm Assessment
- WFIRST directed by SMD AA to modify the current WFIRST design in order to reduce cost and complexity sufficient to have a cost estimate consistent with the \$3.2B cost target set at the beginning of Phase A.
  - Coronagraph is technology demonstration instrument
  - An independent cost assessment will be conducted to validate the estimated cost as being consistent with the \$3.2B cost target.
  - SRR/MDR planned for February 2018.
  - KDP-B planned for March/April 2018.
- Jeff Kruk is Project Scientist following loss of Neil Gehrels

<https://wfirst.gsfc.nasa.gov/>

# WFIRST Direction Following WIETR Findings



<https://www.nasa.gov/feature/nasa-receives-findings-from-wfirst-independent-review-team>

- Goddard Space Flight Center to modify the WFIRST design to reduce cost and complexity to have a cost estimate consistent with the \$3.2B target set at the beginning of Phase A
- Basic architecture retained, including the existing widefield instrument, 2.4m telescope, and coronagraph instrument
- Reductions taken in widefield instrument and coronagraph instrument; coronagraph instrument treated as technology demonstration
- Cost of science investigations reduced
- Additional use of commercial subsystems for the spacecraft; serviceability for both the spacecraft and the payload retained
- Report the results of the re-scoping study at the System Requirements Review / Mission Design Review in February 2018, followed by independent cost assessment



# Approach to Re-scoping WFIRST



- Project estimate of cost to Science Mission Directorate has been reduced from ~\$3.6B to ~\$3.2B.
- Changes include the following:
  - Coronagraph Instrument treated as technology demonstration instrument
  - Contribution to coronagraph technology demonstration instrument by NASA Space Technology Mission Directorate
  - Reduced some Wide Field Instrument capabilities
  - Contributions to mission by international partners
  - Improved budget profile and accelerated schedule; pulls in launch date 6 months
  - Additional mission risk reduction (sparing, testing, parts, etc.)

# Current Program: an integrated strategic plan



## **We are executing a balanced strategic program for Astrophysics**

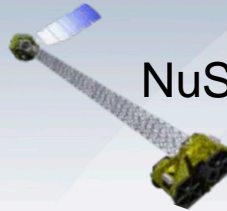
- Operating missions, large and small, continue to deliver paradigm changing science
- Large strategic missions under development ...
  - Are next generation great observatories
  - Will rewrite textbooks
  - Can only be done by NASA
- A high cadence of Explorers has been resumed



# Astrophysics Explorers Program



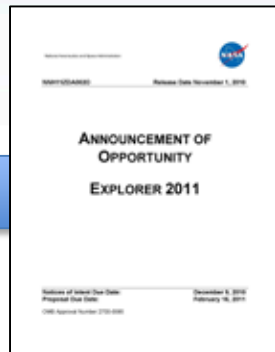
Swift



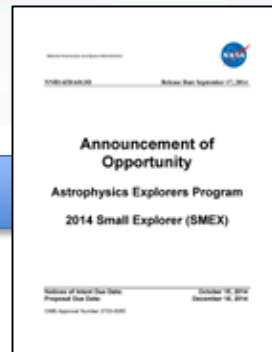
NuSTAR



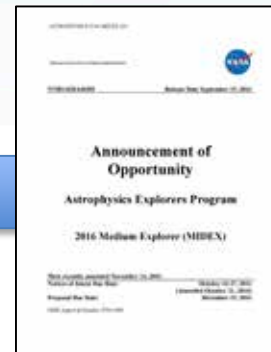
NICER



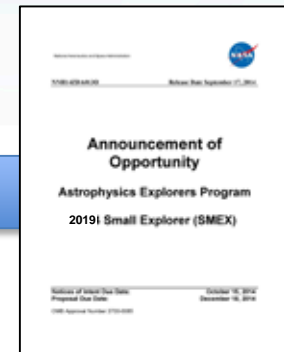
MIDEX  
2011



SMEX  
2014



MIDEX  
2016

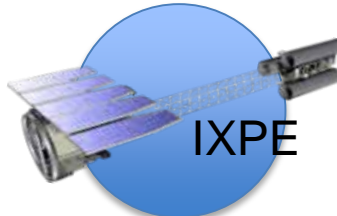


SMEX  
2019  
(planned)

Small and  
Mid-Size  
Missions



TESS



IXPE



Arcus  
FINESSE  
SPHEREx

Directed  
2017

Missions of  
Opportunity



NICER



GUSTO



CASE  
COSI-X  
ISS-TAO



XARM

# TESS

## Transiting Exoplanet Survey Satellite



### Medium Explorer (MIDEX) Mission

**PI:** G. Ricker (MIT)

**Mission:** All-Sky photometric exoplanet mapping mission.

**Science goal:** Search for transiting exoplanets around the nearby, bright stars.

**Instruments:** Four wide field of view (24x24 degrees) CCD cameras with overlapping field of view, operating in the Visible-IR spectrum (0.6-1 micron).

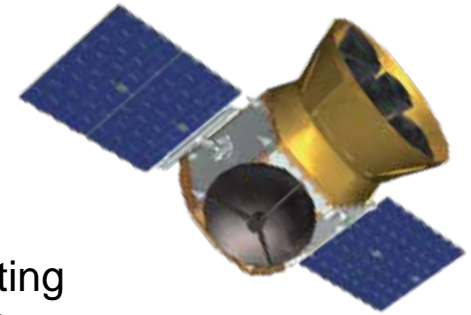
**Operations:** NLT June 2018 launch with a 3-year prime mission including 2 years of spacecraft operations and an additional 1 year ground-based observations and analysis. High-Earth elliptical orbit (17 x 58.7 Earth radii).

### CURRENT STATUS:

- Both instrument and spacecraft bus completed and integrated.
- Observatory environmental testing completed.
- Spare camera long-duration testing has shown no unexpected focus drift anomalies to date.
- Cycle 1 Guest Investigator proposals received October 6, 2017.

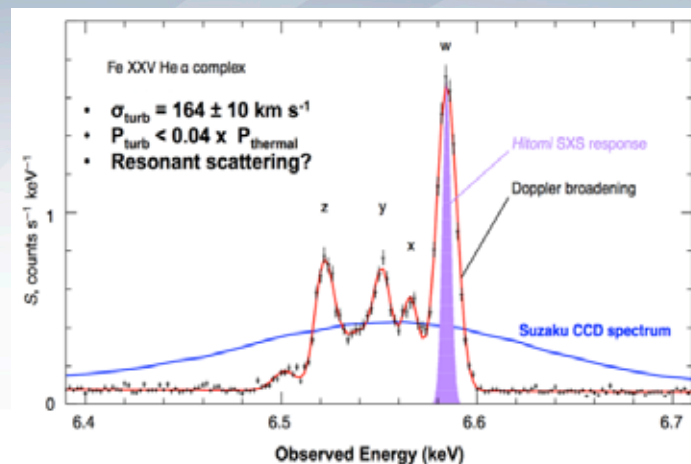
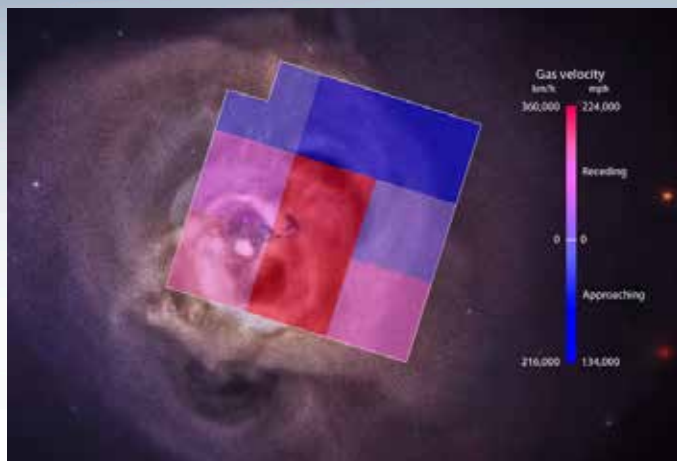
### SCHEDULE:

- ü July 2017 – SIR
- ü August 2017 – KDP-D
- ü Sept 2017 – PER
- ü October – Vibration testing
- ü November – TVAC testing
- Late Jan 2018 – Observatory I&T complete
- Early Feb 2018 – Delivery to KSC payload processing facility
- February 2018 – Selection of Cycle 1 GOs
- March 2018 – Launch readiness date from Cape Canaveral FL



<https://tess.gsfc.nasa.gov/>  
<https://tess.mit.edu/>

# X-ray Astronomy Recovery Mission (XARM)



- XARM is the successor to ASTRO-H/Hitomi. Mission will include an X-ray microcalorimeter and an X-ray imager.
- NASA will provide same hardware contribution as for Hitomi: X-ray microcalorimeter and X-ray mirrors.
- Critical Design Review completed in November 2017
- XARM now in Phase C.
- U.S. Community Involvement
  - U.S. Participating Scientists on XARM Science Team: proposals received in December 2017 and currently under review.
  - U.S. Scientists on Guaranteed Time Observing (GTO) Target Teams: to be selected approx. 1 year before launch.
  - General Observing (GO) Program: Open to U.S. scientists starting 6-9 months after launch.

# Astrophysics Explorers in Competitive Phase A

## Arcus

PI: R. Smith/SAO



High resolution x-ray spectroscopy to explore the origin of galaxies

## FINESSE

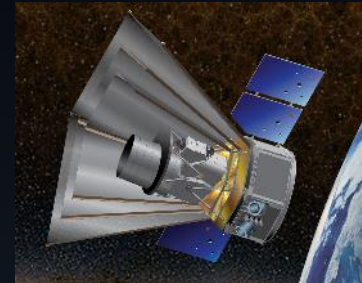
PI: M. Swain/JPL



NIR transit spectroscopy to explore exoplanet atmospheres

## SPHEREx

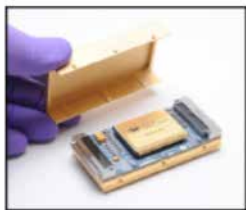
PI: J. Bock/Caltech



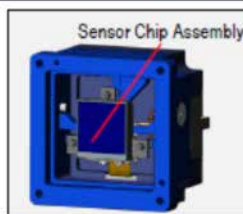
NIR spectral survey addressing cosmology, galaxy evolution, and origin of ices

## CASE

PI: M. Swain/JPL



Cold Front End Electronics



Focal Plane Module

Contribution of detectors to ESA's ARIEL

## COSI-X

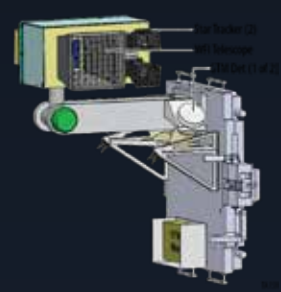
PI: S. Boggs/UCB



ULDB balloon mission to study origin of elements in the galaxy

## ISS-TAO

PI: J. Camp/GSFC



All-sky x-ray survey to study transients and search for GW sources



# Current and Future Explorer AOs



- NASA is maintaining a cadence of 4 Astrophysics Explorers AOs per decade, as recommended by Decadal Survey and validated by Midterm Assessment.
  - Midterm Assessment Recommendation 4-3: “NASA’s Astrophysics Division should execute its current plan, as presented to the committee, of at least four Explorer Announcements of Opportunity during the 2012-2021 decade, each with a Mission of Opportunity call, and each followed by mission selection.”
- Most recent Astrophysics Explorers Program AO, released in September 2016, was for a MDEX and Mission of Opportunity (MO).
  - Three MDEX mission proposals and three Mission of Opportunity proposals selected in August 2017 for 9-month competitive Phase A studies
  - Down-selection: Early 2019 (target)
  - MDEX launch readiness date no later than December 2023
  - MO launch readiness date no later than December 2022, except for Partner MOs whose launch date is set by the host mission.
- Next Astrophysics Explorers Program AO will be for a SMEX and MO and is targeted for release in 2019.
- Subsequent Astrophysics Explorers Program AO is for a MDEX and MO and is targeted for release in late 2021.

# Current Program: an integrated strategic plan



## **We are executing a balanced strategic program for Astrophysics**

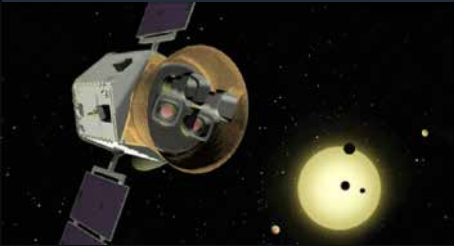
- Operating missions, large and small, continue to deliver paradigm changing science
- Large strategic missions under development ...
  - Are next generation great observatories
  - Will rewrite textbooks
  - Can only be done by NASA
- A high cadence of Explorers has been resumed
- **International partnerships extend science opportunities for all**

# Astrophysics Missions in Development

TESS

NASA Mission

3/2018

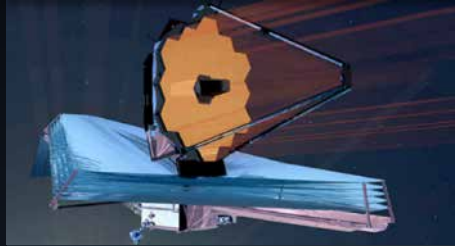


Transiting Exoplanet  
Survey Satellite

Webb

NASA Mission

2019

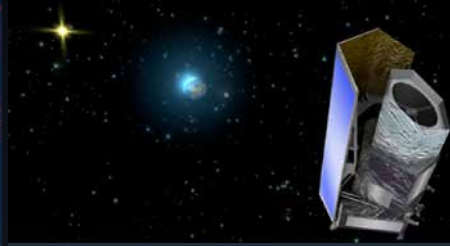


James Webb  
Space Telescope

Euclid

ESA-led Mission

2020

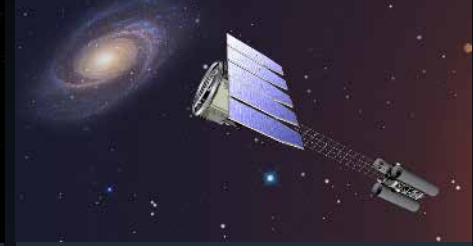


NASA is supplying the NISP  
Sensor Chip System (SCS)

IXPE

NASA Mission

2021

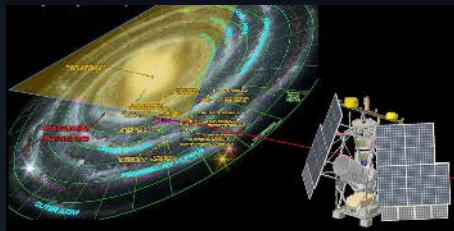


Imaging X-ray  
Polarimetry Explorer

GUSTO

NASA Mission

2021

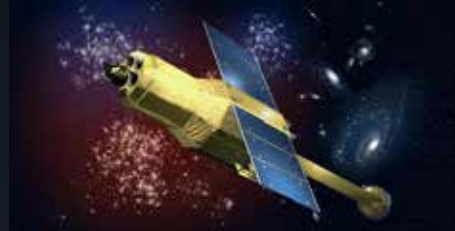


Galactic/ Extragalactic ULDB  
Spectroscopic Terahertz Observatory

XARM

JAXA-led Mission

2021



NASA is supplying the SXS  
Detectors, ADRs, and SXTs

MIDEX/MO

NASA Mission

2022/  
2023

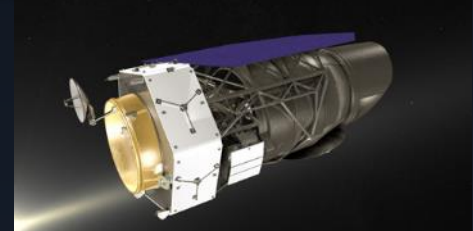


Arcus, FINESSE, or SPHEREx  
CASE, COSI-X, or ISS-TAO

WFIRST

NASA Mission

Mid  
2020s



Wide-Field Infrared  
Survey Telescope

# Astrophysics Missions in Pre-Formulation

Athena

ESA-led Mission

Late 2020s

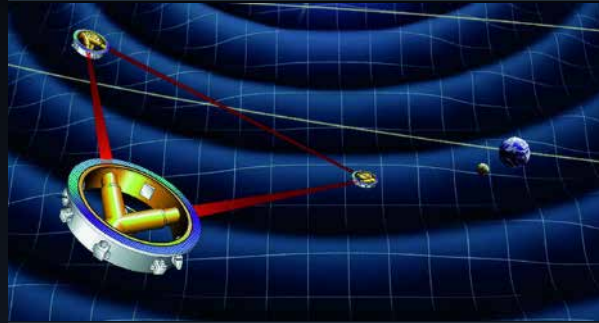


NASA is supplying elements for both instruments

LISA

ESA-led Mission

Mid 2030s

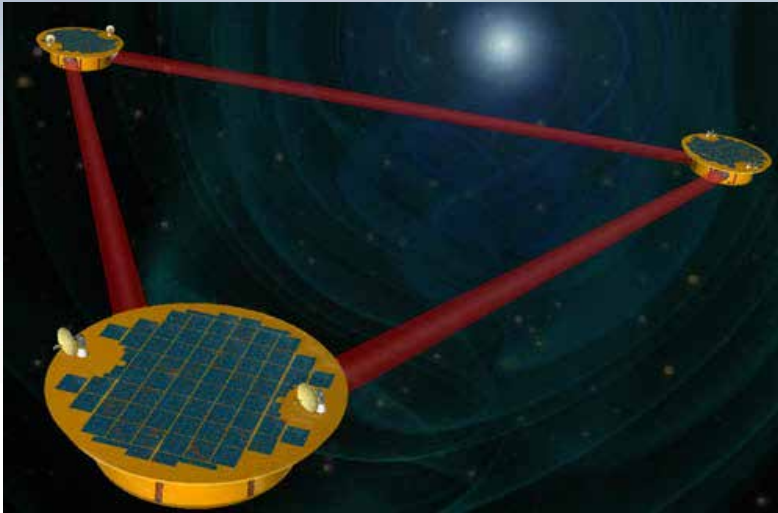


NASA is developing technology for both the payload and the mission



# LISA

## Laser Interferometer Space Antenna



### CURRENT STATUS:

- Selected as Third ESA Cosmic Vision Large Mission in June 2017
  - Phase 0 ended December 2017
  - Phase A starts January 2018
- NASA has established a LISA Study Office at GSFC.
- NASA is funding five US-based technologies with the aim of reaching TRL 5/6 by Adoption (nominally 2022-2024).
- NASA and U.S. community participating in LISA Science Study Team and the LISA Consortium.
  - Kelly Holley-Bockelman (Vanderbilt), David Shoemaker (MIT), and Robin (Tuck) Stebbins (Colorado) are NASA nominated members to ESA LISA Science Study Team
- NASA established a NASA LISA Study Team to interface with NASA LISA Study Office, LISA Consortium, and Decadal Survey
  - Chair is Kelly Holley-Bockelman (Vanderbilt)

### Third ESA Cosmic Vision Large mission

- ESA mission with NASA participation
- Decadal Survey recommendation
- Space-based gravitational wave observatory

**Launch Date:** 2034

**Science Objective:** Study astrophysical phenomena and the universe using gravitational waves

### U.S.-based Technologies in Development:

- Lasers
- Telescopes
- Microthrusters
- Phasemeters
- Charge Management System

<https://lisa.nasa.gov/>

# LISA Preparatory Science



- The LISA Preparatory Science (LPS) is a new program element of ROSES-2018.
- The LPS Program will provide support for US investigators involved in analysis and interpretation of simulated LISA data.
  - It is **not** intended to support hardware work, which is funded separately, or to develop mission concepts.
- Proposals to the LPS Program may request support for:
  - Performing high-fidelity simulations of the expected waveforms for LISA sources;
  - Developing data analysis and statistical techniques useful for the extraction of scientific measurements from LISA data (e.g., parameter estimators, etc.);
  - Developing prototype data analysis tools, including innovative approaches to instrument simulation, that take into account the anticipated LISA mission performance;
  - Evaluating the capability of LISA data for enabling astrophysics investigations;
  - Conducting astrophysics investigations that prepare for the analysis and interpretation of the LISA data.
- Proposals will need to clarify how the proposed project fits in or augments ongoing efforts at the Study Office or in the LISA Consortium

# Current Program: an integrated strategic plan



## **We are executing a balanced strategic program for Astrophysics**

- Operating missions, large and small, continue to deliver paradigm changing science
- Large strategic missions under development ...
  - Are next generation great observatories
  - Will rewrite textbooks
  - Can only be done by NASA
- A high cadence of Explorers has been resumed
- International partnerships extend science opportunities for all
- Investing in the community has been prioritized
  - R&A, technology development, supporting capabilities, ....

# Astrophysics Research Elements



## Supporting Research and Technology

- Astrophysics Research & Analysis (APRA)
- Strategic Astrophysics Technology (SAT)
- Astrophysics Theory Program (ATP)
- Theoretical and Computational Astrophysics Networks (TCAN)
- Exoplanet Research Program (XRP)
- Roman Technology Fellowships (RTF)
- System-Level Segmented Telescope Design

## Data Analysis

- Astrophysics Data Analysis (ADAP)
- GO/GI programs in ROSES for:
  - Fermi
  - Kepler/K2
  - Swift
  - NuSTAR
  - TESS
  - NICER (anticipated)

## Mission Science and Instrumentation

- SOFIA next-generation instrumentation
- Sounding rocket, balloon, cubesat, and ISS payloads through APRA
- XARM Participating Scientists
- LISA Preparatory Science (anticipated)

## Separately Solicited

- GO/GI/Archive/Theory programs for:
  - Chandra
  - Hubble
  - SOFIA
  - Spitzer
  - Webb
- Postdoctoral Fellowships (Einstein, Hubble, Sagan)
- Graduate Student Fellowships (NESSF)



# DXL Rocket Payload



- The Diffuse X-rays from the Local galaxy (DXL) investigation aims to study the sources of X-rays that hurtle toward Earth from elsewhere in our galaxy. DXL seeks to gain a better understanding of the nature and characteristics of these sources.
- DXL was launched on a Black Brant IX rocket at 07:17 ET on Jan 19, 2018 from the Poker Flat Research Range in Alaska.
- The payload functioned well with all events occurring. Counter were overwhelmed with counts. Science Team is investigating data quality and space weather environment.

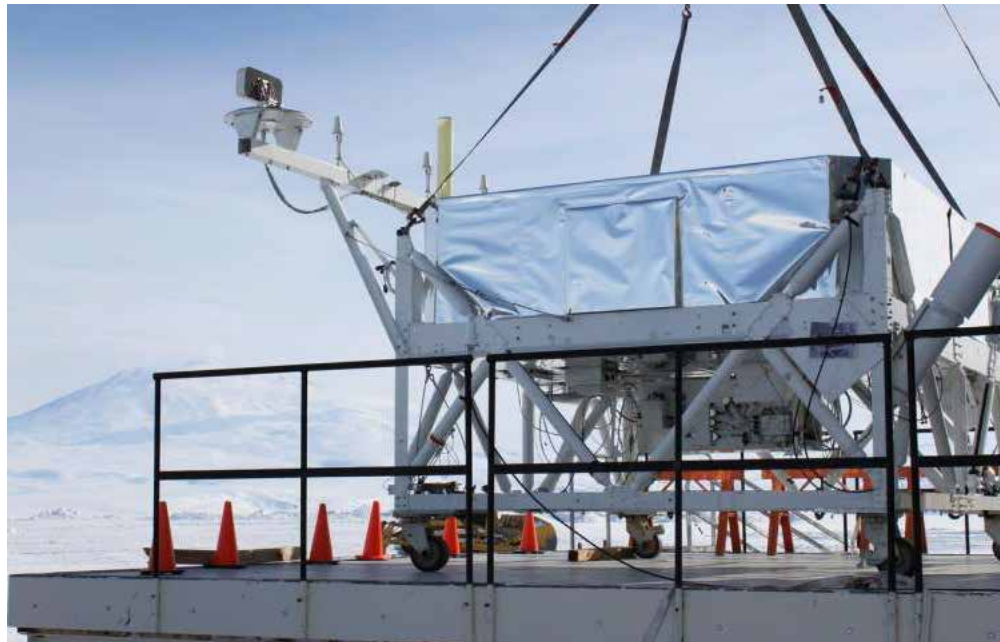


*Credits: NASA/Berit Bland*

# 2017 Antarctica Balloon Campaign



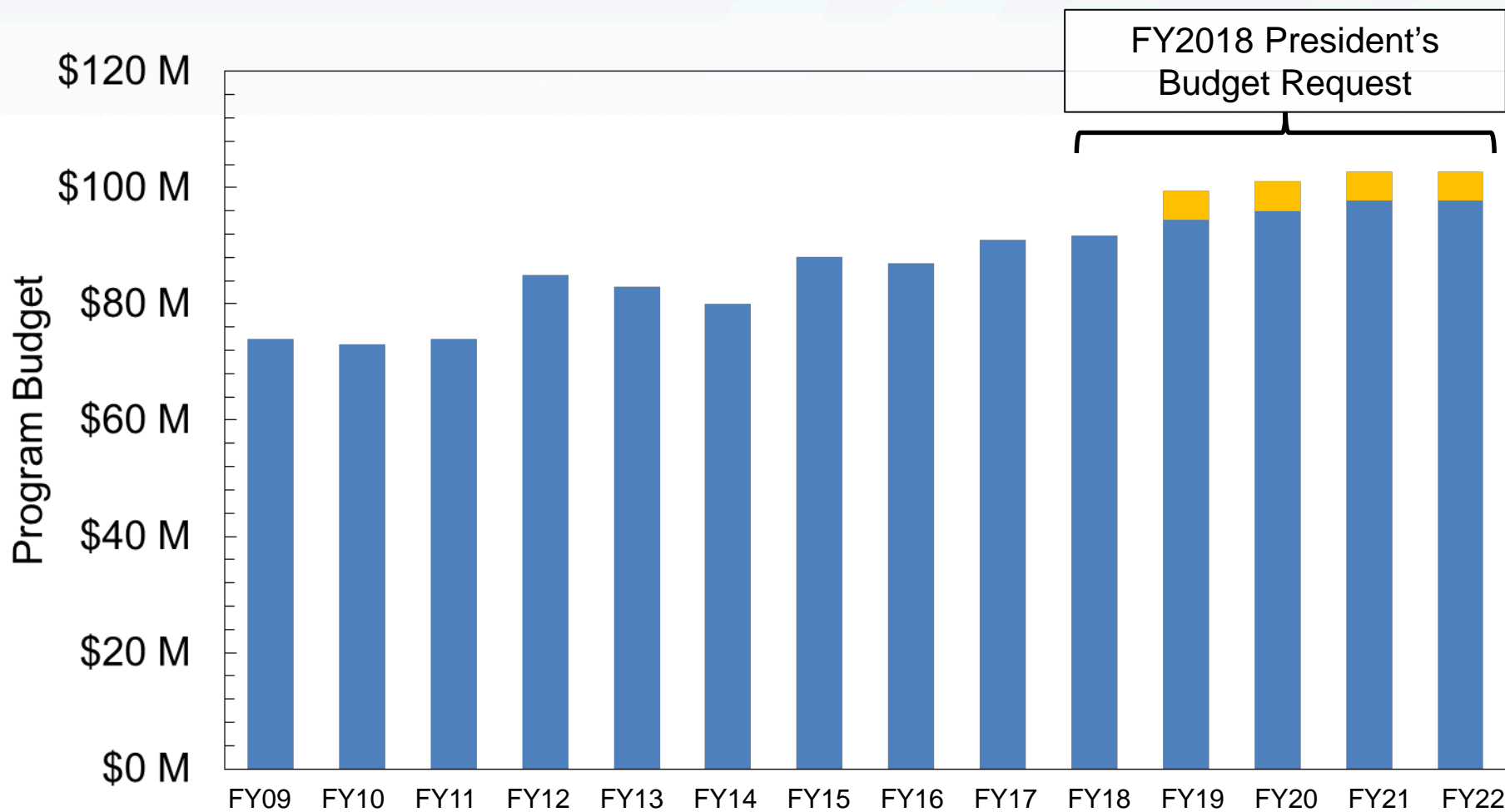
- Winter FY18 Conventional Balloon Campaign in Antarctica (single payload campaign)
  - Super-TIGER (Super Trans-Iron Galactic Element Recorder), PI Robert Binns/Washington University, was flight ready.
  - 16 launch attempts were made before the vortex started to change and the campaign was ended without a successful launch.
  - Super-TIGER will winter over in Antarctic.



# Growth in R&A Support



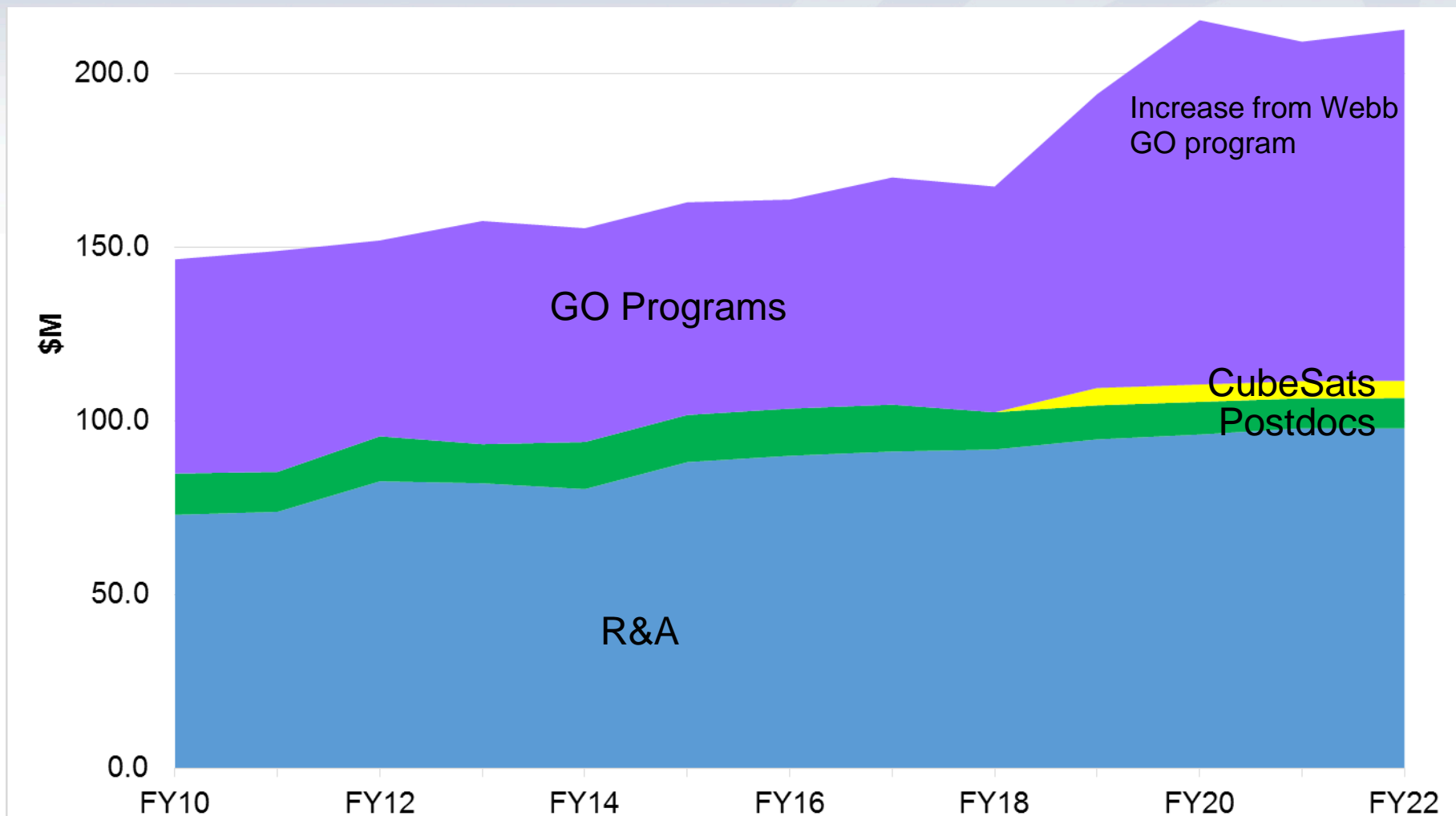
Program	FY09	FY10	FY11	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19	FY20	FY21	FY22
R&A	\$74 M	\$73 M	\$74 M	\$85 M	\$83 M	\$80 M	\$88 M	\$87 M	\$91 M	\$92 M	\$95 M	\$96 M	\$98 M	\$98 M
CubeSat											\$5 M	\$5 M	\$5 M	\$5 M
<b>Total</b>	<b>\$74 M</b>	<b>\$73 M</b>	<b>\$74 M</b>	<b>\$85 M</b>	<b>\$83 M</b>	<b>\$80 M</b>	<b>\$88 M</b>	<b>\$87 M</b>	<b>\$91 M</b>	<b>\$92 M</b>	<b>\$100 M</b>	<b>\$101 M</b>	<b>\$103 M</b>	<b>\$103 M</b>



# Growth in Total Community Support



Does not include SAT or science teams for flight projects (e.g. Webb, WFIRST, Explorers)



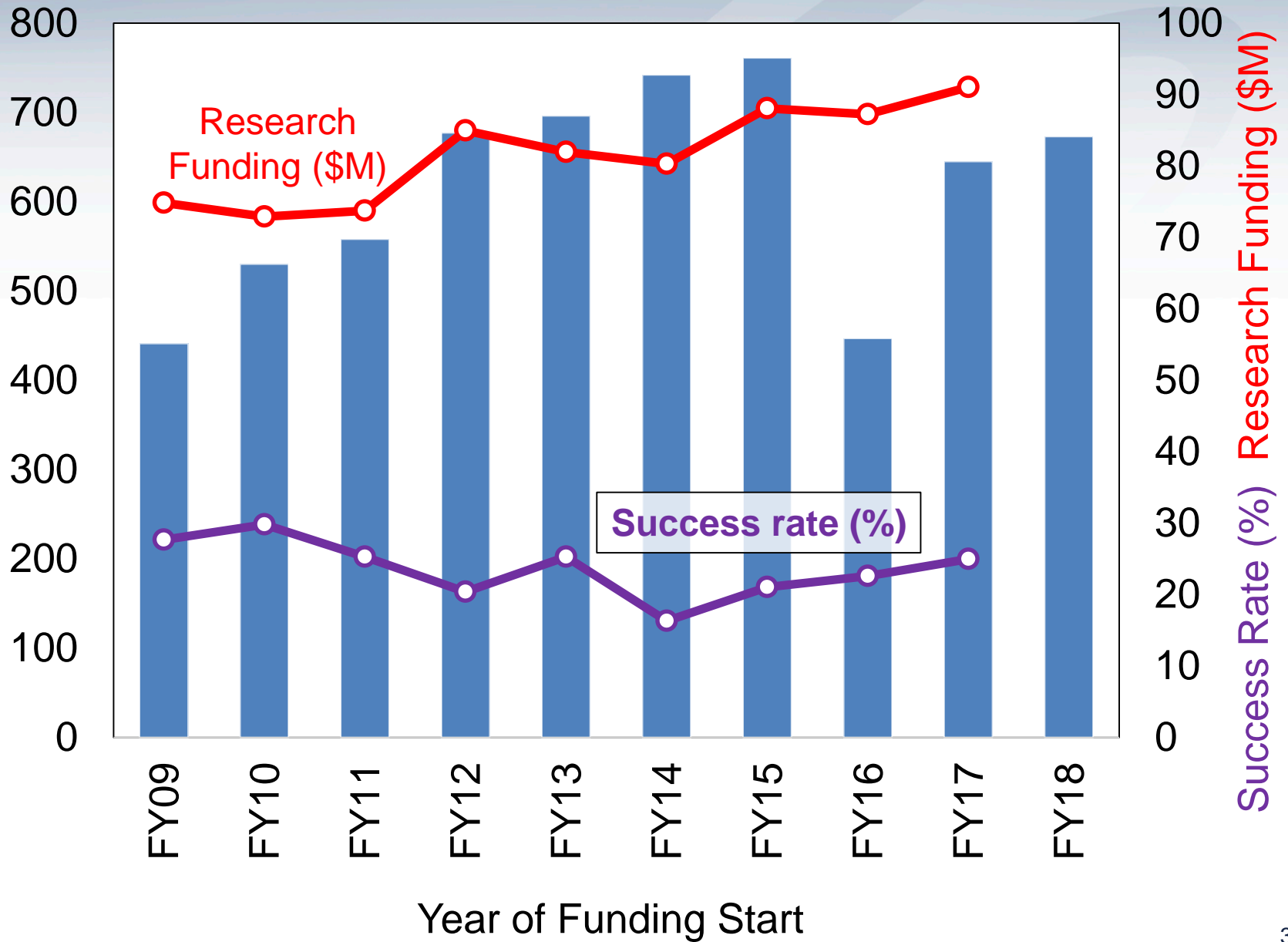
GO programs funded from Chandra, Fermi, Hubble, Kepler/K2, NuSTAR, SOFIA, Spitzer, Swift, TESS, Webb, XARM, XMM; does not include possible extensions following the 2019 Senior Review.



# Proposal Pressure



APRA + ADAP + ATP + XRP Proposals



# Proposal Status Update



Status: January 25, 2018

	Proposal Due Date	Notify Date	Days since received	Number received	Number selected	% selected
Astrophysics Theory	July 8, 2016	Dec 9, 2016	154	201	36	18%
Swift GI – Cycle 13	Sep 23, 2016	Jan 17, 2017	147	155	39	25%
K2 GO – Cycle 5	Dec 15, 2016	April 4, 2017	110	91	28	31%
NuSTAR GO – Cycle 3	Jan 27, 2017	May 10, 2017	103	217	80	37%
NESSF-17	Feb 1, 2017	June 1, 2017	120	143	8	6%
Fermi GI – Cycle 10	Feb 24, 2017	May 30, 2017	95	183	43	23%
Chandra GO – Cycle 19	Mar 16, 2017	July 10, 2017	116	574	155	27%
Roman Tech Fellowship	Mar 17, 2017	Sep 8, 2017	175	12	2	17%
SAT (Technology)	Mar 17, 2017	Sep 8, 2017	175	30	9	30%
APRA (Basic Research)	Mar 17, 2017	Sep 8, 2017	175	141	53	38%
Hubble GO – Cycle 25	Apr 7, 2017	June 26, 2017	80	971	271	28%
ADAP (Data Analysis)	May 16, 2017	Sep 11, 2017	118	264	35	13%
Exoplanet Research	May 25, 2017	Oct 8, 2017	136	50	9	18%
SOFIA GI – Cycle 6	June 30, 2017	Nov 7, 2017	130	198	104	53%
Astrophysics Theory	July 27, 2017	Dec 22, 2017	148	216	53	25%
Webb Early Rel. Science	Aug 18, 2017	Nov 13, 2017	87	106	13	12%
Swift GI – Cycle 14	Sep 28, 2017			146	119	
TESS – Cycle 1	Oct 6, 2017			143	111	
K2 GO – Cycle 6	Oct 12, 2017			69	105	
XARM Participating Sci.	Dec 13, 2017			39	43	

R&A Selection Rate = 19%

GO Selection Rate = 29%

# Look-ahead to R&A in 2018



- Introducing mandatory Notices of Intent to propose (NOIs) for Astrophysics R&A (APRA) and Strategic Astrophysics Technology (SAT)
  - Mandatory NOIs due January 25, 2018, for ROSES-17
- No Astrophysics Theory Program (ATP) solicitation in 2018
  - ATP solicitations are in alternate years
- New ROSES element for LISA Preparatory Science (LPS) planned
- New ROSES element for NICER GO program planned
  - After NICER completes prime mission
- Continue best practices in managing our R&A programs, reviews, and awards, including:
  - Actively taking steps to advance diversity, inclusion, and equal opportunity in the NASA workforce and among NASA grantee institutions
  - Planning to integrate results of high-risk/high-impact research review by advisory committees

# Upcoming Proposal Opportunities through April 2018



	Proposal Due Date	Reference
Habitable Worlds	January 17, 2018	ROSES-17 E.4
NuSTAR Guest Observer - Cycle 4	January 19, 2018	ROSES-17 D.10
Theoretical and Computational Astrophysics Networks (TCAN)	January 25, 2018	ROSES-17 D.12
System-Level Segmented Telescope Design	February 1, 2018	ROSES-17 D.15
NASA Earth and Space Science Fellowships (NESSF)	February 1, 2018	NSPIRES
Fermi Guest Investigator - Cycle 11	February 23, 2018	ROSES-17 D.6
Chandra General Observer - Cycle 20	March 15, 2018	<a href="http://cxc.harvard.edu">cxc.harvard.edu</a>
Roman Technology Fellowship	March 15, 2018	ROSES-17 D.9
Strategic Astrophysics Technology (SAT)	Mandatory NOI: Jan 25, 2018 Full proposal: March 15, 2018	ROSES-17 D.8
Astrophysics Research and Analysis (APRA)	Mandatory NOI: Jan 25, 2018 Full proposal: March 15, 2018	ROSES-17 D.3
Spitzer General Observer – Cycle 14	April 16, 2018	<a href="http://spitzer.caltech.edu">spitzer.caltech.edu</a>
Webb General Observer - Cycle 1	April 6, 2018	<a href="http://jwst.stsci.edu">jwst.stsci.edu</a>
K2 Guest Observer – Cycle 6	April 19, 2018	ROSES-17 D.7
SOFIA Next-Generation Instrumentation	TBD	ROSES-17 D.13



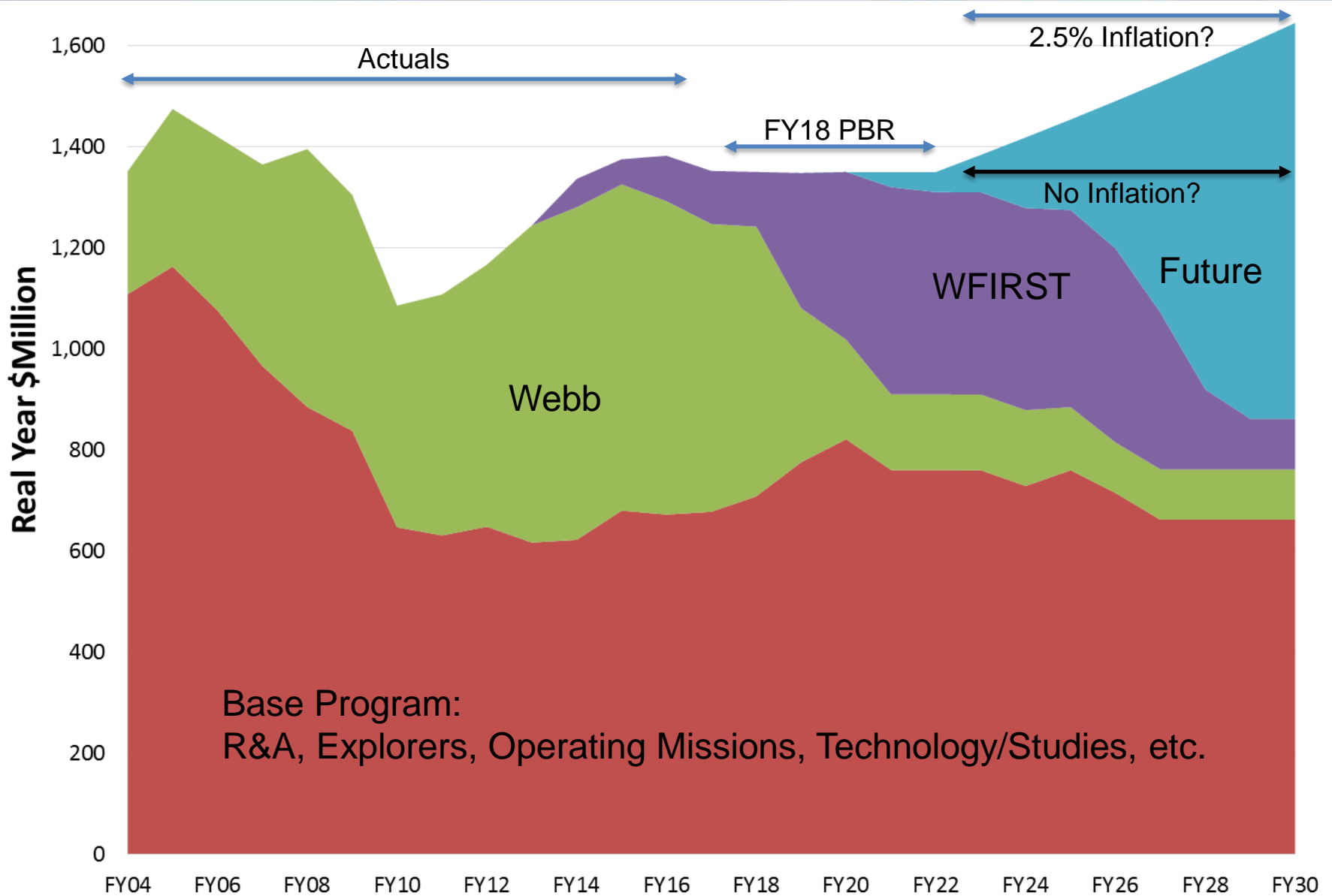
# Current Program: an integrated strategic plan



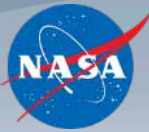
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- International partnerships extend science opportunities for all
- Investing in the community has been prioritized
  - R&A, technology development, supporting capabilities, ....
- **Planning for the future is underway**
  - **Mission concept studies, technology investments**

# Planning for the Future



# Preparing for the 2020 Decadal Survey



- Large Mission Concept Studies

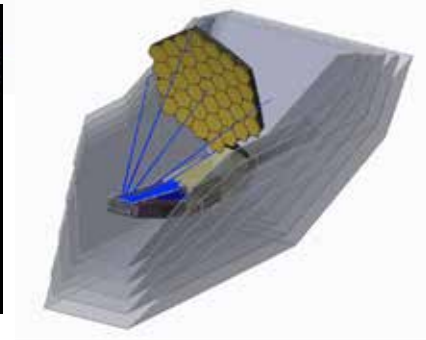
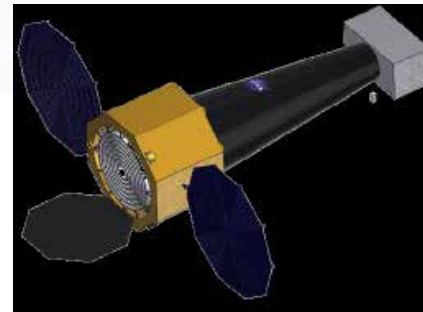
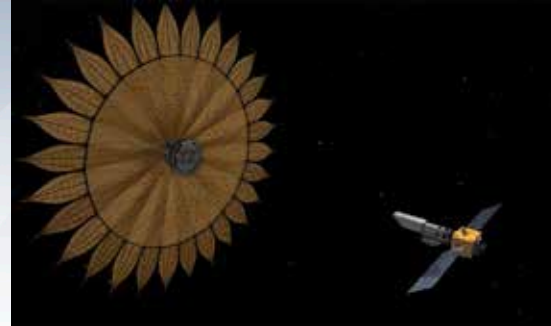


HabEx

LUVOIR

Lynx

OST



- Medium (Probe) Concept Studies

- Cosmic Dawn Intensity Mapper (A. Cooray)
- Cosmic Evolution through UV Spectroscopy Probe (W. Danchi)
- Galaxy Evolution Probe (J. Glenn)
- High Spatial Resolution X-ray Probe (R. Mushotzky)
- Inflation Probe (S. Hanany)
- Multi-Messenger Astrophysics Probe (A. Olinto)
- Precise Radial Velocity Observatory (P. Plavchan)
- Starshade Rendezvous Mission (S. Seager)
- Transient Astrophysics Probe (J. Camp)
- X-ray Timing and Spectroscopy Probe (P. Ray)

# Preparing for the 2020 Decadal Survey Technology Development



## HabEx

- 12 of 12 gaps being addressed
- mirror coatings, starshade starlight suppression, starshade controlling scattered sunlight, starshade lateral formation sensing, starshade petal position accuracy, starshade petal shape and stability, *telescope vibration control*, deformable mirrors, **visible detectors**, large aperture primary mirror, **wavefront sensing and control**, **coronagraph optics and architecture**

## LUVOIR

- 7 of 9 gaps being addressed
- closed-loop segment phasing, *vibration isolation*, **wavefront sensing and control**, mirror segments, **high-contrast segmented-aperture coronagraphy**, deformable mirrors, near infrared detectors, **visible detectors**, mirror coatings

## Lynx X-ray Surveyor

- 4 of 5 gaps being addressed
- high-resolution lightweight X-ray optics, non-deforming X-ray reflecting coatings, megapixel X-ray imaging detectors, large-format, high resolution X-ray detectors, X-ray grating arrays

## Origins Space Telescope

- 2 of 5 gaps being addressed
- far-infrared (FIR) detectors, cryogenic readouts for large-format FIR detectors, warm readout electronics for large-format FIR detectors, sub-Kelvin Coolers, cryogenic FIR mirror segments

- Purple: technologies being advanced through SAT or directed development,
- **Bold**: technologies being advanced by WFIRST or ATHENA
- *Italics*: technologies being worked on through the STDT's design studies
- Additional gaps being addressed through APRA but not tallied here

# Segmented Mirror Telescope Technology



NASA is committed to advance and mature key mirror technologies for future large telescopes that could be recommended in the upcoming decade.

- **Genesis:** RFI issued on February 6, 2017 (NNG17FB01RFI), multiple responses received; informed planning.
- **Phase 1:** ROSES NRA (D.15) issued on December 1, 2017; \$2.5M available in FY18 to fund one or more 1-year system-level segmented telescope design studies; proposals due February 1, 2018.
  - NASA is soliciting industry proposals to carry out system-level engineering design and modeling studies of large segmented-aperture telescopes, with integrated coronagraphs, that will lead to the identification of priority technology investments.
  - For astronomy at ultraviolet, visible, and near-infrared wavelengths a key technology priority is sub-nanometer wavefront stability.
  - For astronomy at mid- and far-infrared wavelengths, a key technology priority is to dramatically reduce mirror manufacturing and verification costs.
- **Phase 2:** RFP for 2-years soliciting testbed and laboratory demonstrations of key technologies; \$10M for FY19 and FY20 (planned).
- **Phase 3:** Post-Decadal, RFP for 3-years soliciting maturing key technologies; \$15M for FY21-23 (tentative, depends on Decadal Survey priorities).

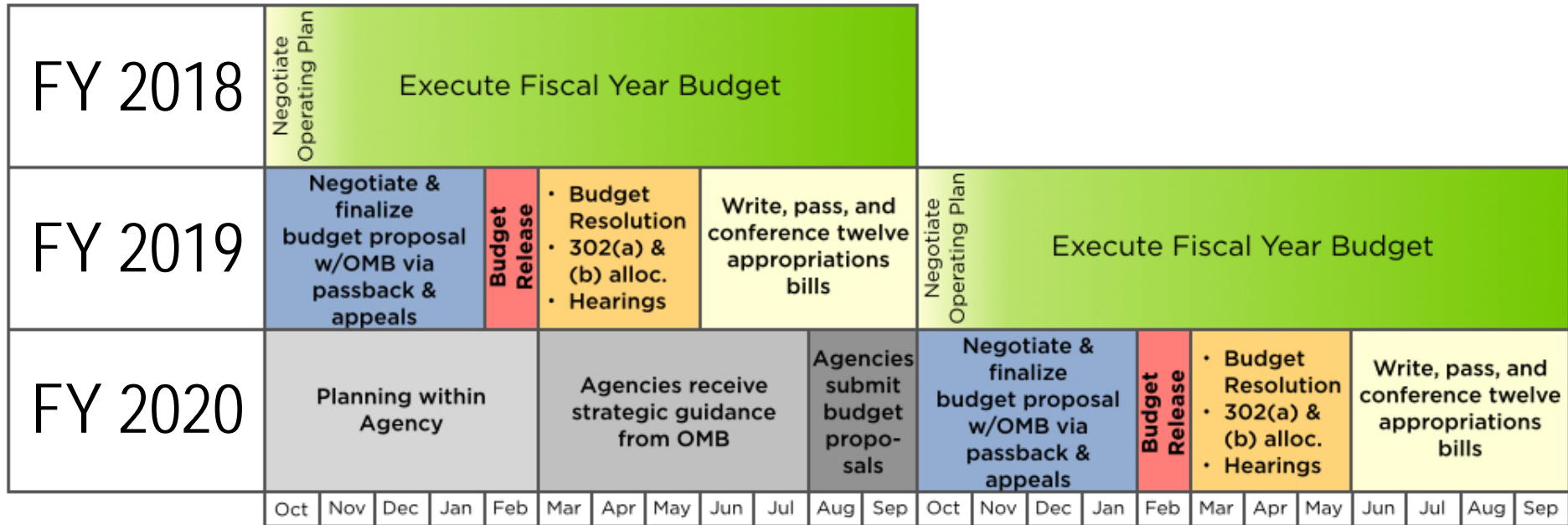




# **NASA Astrophysics**

## **Budget Update**

# Federal Budget Cycle

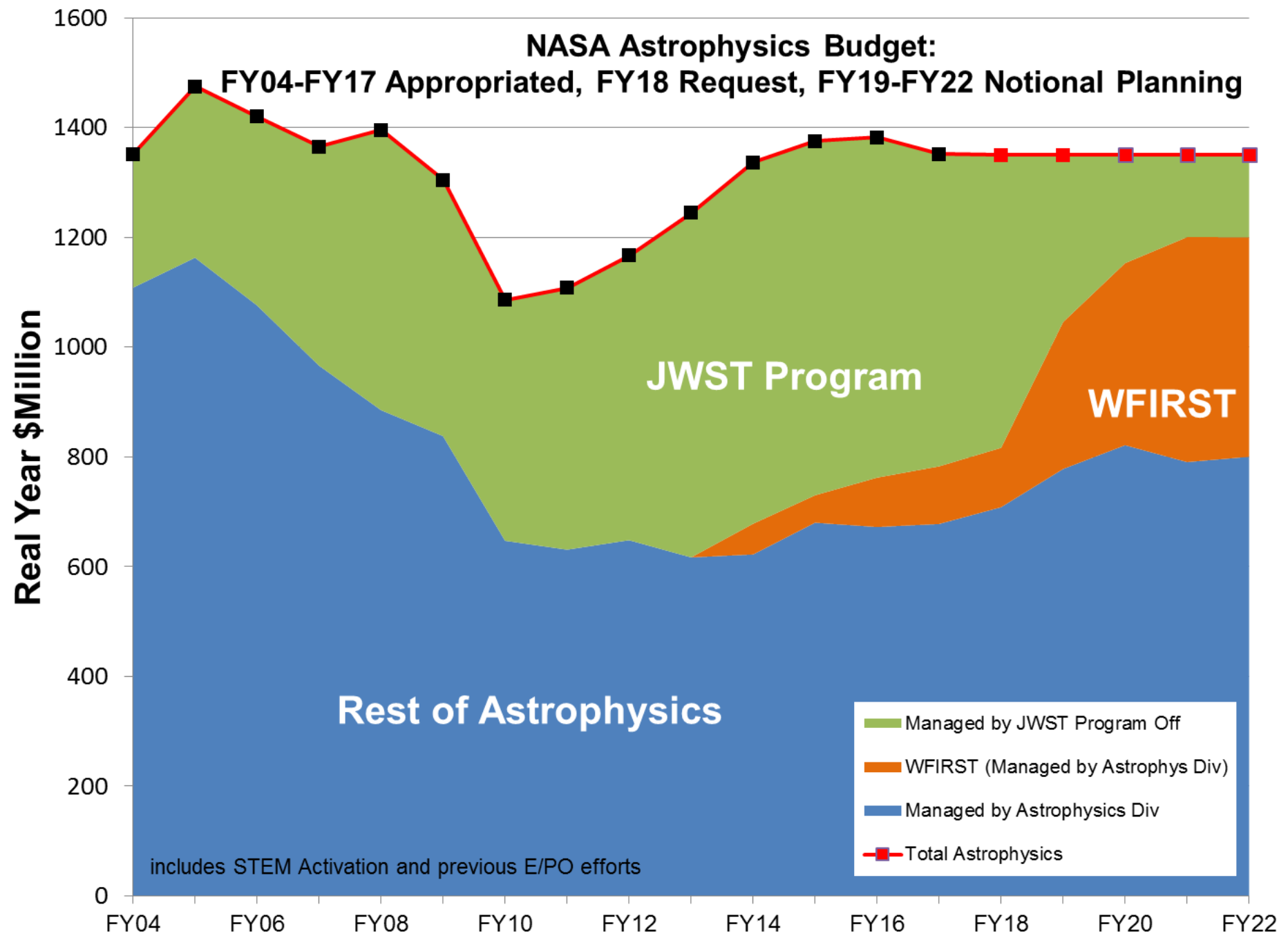


Start of  
Calendar  
Year 2018

**We are here.**  
**Continuing resolution**  
**through ?????**

Start of  
Calendar  
Year 2019

Adapted by Kevin Marvel (AAS)  
[https://aas.org/files/budgetprocess\\_adaptedfromaas.jpg](https://aas.org/files/budgetprocess_adaptedfromaas.jpg)  
 from budget presentation by Matt Hourihan (AAAS)  
<http://www.aaas.org/page/presentations>



# FY18 Appropriation Markups

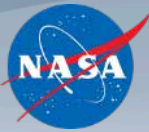


- Both Markups
  - Follow the Decadal Survey
  - Webb must be \$533.7M (= requested) but do not overrun
  - STEM Activation must be \$44.0M (= request); other language
- House Markup
  - Core R&A must be \$74.1M (= request)
  - SOFIA must be \$85.2M (+\$5.3M over request, = FY17 level); other language
  - WFIRST must be \$126.6M (= request) but spend \$20M on starshade technology
  - Language on high energy observatories, astrophysics probes, finding target(s) for interstellar probe
- Senate Markup
  - WFIRST must be \$150.0M (+23.4M over request); review; data w/ Hubble, Webb
  - Hubble must be \$98.3M (+\$15M over request)
  - At least \$10M on “life detection technology”; consistent with request (maybe)

	FY18 PBR	FY18 Markups	
Total Astrophysics	\$ 1,350.5 M	\$ 1,350.5 M	
Line Item Projects	\$ 941.6 M	\$ 995.3 M	Webb, WFIRST, Hubble, SOFIA, R&A, STEM, “Life Detect Tech” *
Rest of Astrophysics	\$ 408.9 M	\$ 355.2 M	\$53.7M (13%) reduction

\* Combined House and Senate markups

# NASA Astrophysics: an integrated strategic plan



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- Planning for the future is underway
  - Mission concept studies, technology investments



- Formulation
- Implementation
- Primary Ops
- Extended Ops

+ MIDEX/MO (2023),  
SMEX/MO (2025), etc.

Spitzer  
8/25/2003

Kepler  
3/7/2009

WFIRST  
Mid 2020s

Webb  
2019

Euclid (ESA)  
2020

Chandra  
7/23/1999

XMM-Newton (ESA)  
12/10/1999

TESS  
2018

Swift  
11/20/2004

NuSTAR  
6/13/2012

Fermi  
6/11/2008

IXPE  
2021

Hubble  
4/24/1990

XARM (JAXA)  
2021

GUSTO  
2021

ISS-NICER  
6/3/2017

ISS-CREAM  
8/14/2017

SOFIA  
Full Ops 5/2014

+ Athena (late 2020s),  
LISA (mid 2030s)

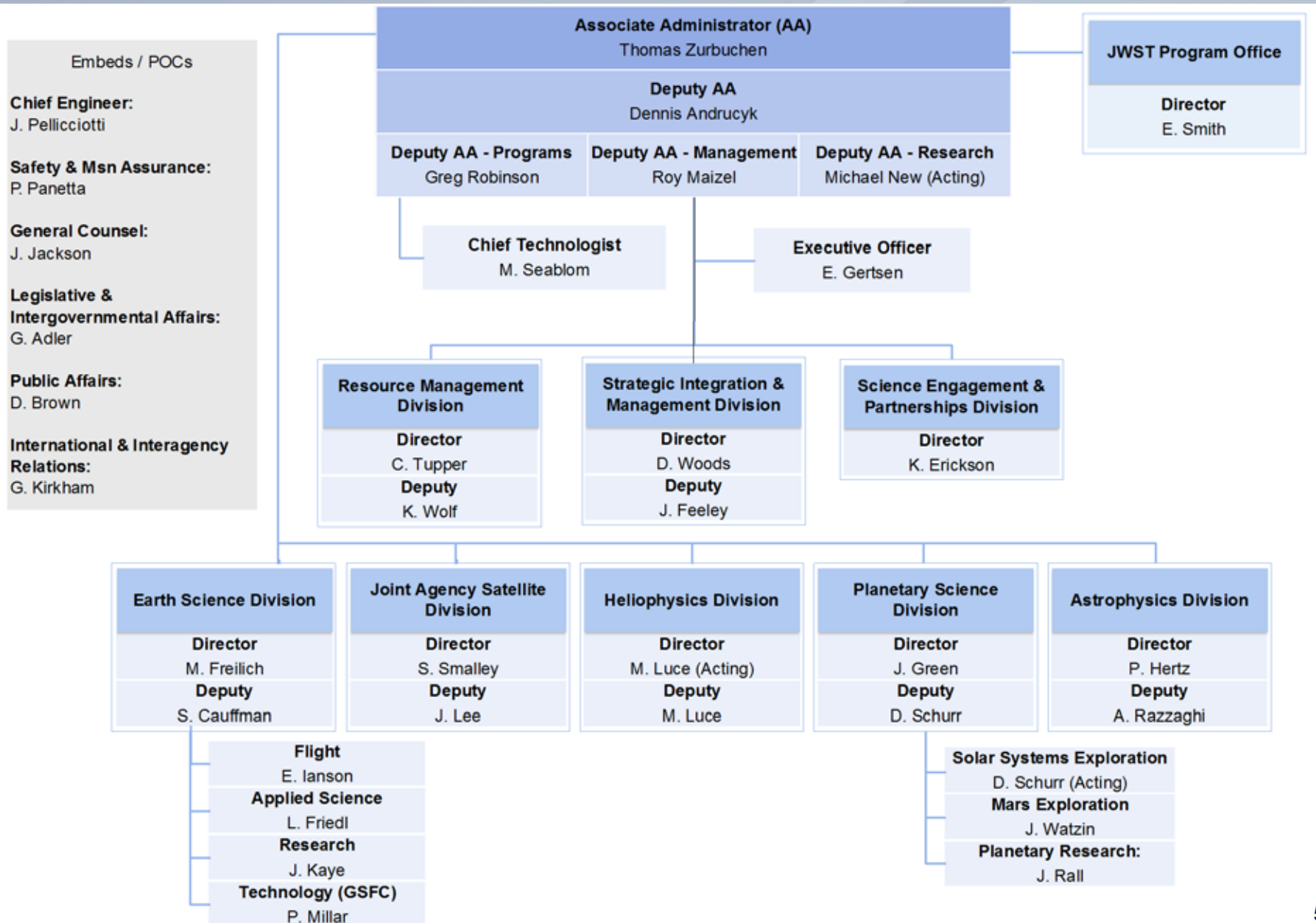




# **NASA Astrophysics**

## **Backup**

# SMD Organization Chart



# Astrophysics Division, NASA Science Mission Directorate

## Resource Management

Omana Cawthon+  
Clemencia Gallegos-Kelly+  
Debra Mcneill+

## Director

Paul Hertz

## Deputy Director

Andrea Razzaghi

*Lead Secretary:* Kelly Johnson

*Secretary:* Kyle Nero

*Program Support Specialist:* Jackie Mackall

## Cross Cutting

*Technology Lead:* Nasser Barghouty\*

*Education POC:* Hashima Hasan (Lead Comm Team)

*Public Affairs Lead:* Kartik Sheth

*Information Manager:* Lisa Wainio\*

*Strategic Planning:* Rita Sambruna

## Astrophysics Research

**Program Manager:** Dan Evans

Program Support: Ingrid Farrell\*

Astrophysics Data Analysis: Doug Hudgins

Astrophysics Theory: Keith MacGregor\*

Exoplanet Research: Martin Still\*

APRA lead: Michael Garcia\*

Cosmic Ray, Fund Physics: Thomas Hams\*, Vernon Jones,  
Keith MacGregor\*, Rita Sambruna

Gamma Ray/X-ray: Valerie Connaughton\*, Dan Evans,  
Michael Garcia\*, Stefan Immler\*, Rita Sambruna

Optical/Ultraviolet: Michael Garcia\*, Hashima Hasan, Patricia Knezek\*, Mario Perez\*, Martin Still\*

IR/Submillimeter/Radio: Dominic Benford\*, Doug Hudgins,  
William Latter\*, Kartik Sheth, Eric Tollestrup\*

Lab Astro: Doug Hudgins, William Latter\*

Theory & Comp Astro Net: Keith MacGregor\*

Roman Tech Fellows: Nasser Barghouty\*

Data Archives: Hashima Hasan

Astrophysics Sounding Rockets: Thomas Hams\*

Balloons Program: Vernon Jones(PS), Mark Sistilli (PE)

CREAM: Vernon Jones(PS), Jeff Hayes (PE)

## Programs / Missions & Projects

Program Scientist

Program Executive

### Strategic Astrophysics Mission

WFIRST

**Dominic Benford\***

**John Gagosian**

### Exoplanet Exploration (EXEP)

**Program**

**Doug Hudgins**

**John Gagosian**

Keck

Hashima Hasan

Mario Perez\*

Kepler/K2

Mario Perez\*

Jeff Hayes

LBTI

Doug Hudgins

Mario Perez\*

NN-EXPLORE Doug Hudgins

Mario Perez\*

### Cosmic Origins (COR)

**Program**

**Mario Perez\***

**Shahid Habib**

Herschel

Dominic Benford\*

Jeff Hayes

Hubble

Michael Garcia\*

Jeff Hayes

SOFIA

Kartik Sheth

Lucien Cox\*

Spitzer

Kartik Sheth

Jeff Hayes

Webb^

Hashima Hasan

N/A

### Physics of the Cosmos (PCOS)

**Program**

**Rita Sambruna**

**Shahid Habib**

Athena

Michael Garcia\*

Shahid Habib

Chandra

Stefan Immler\*

Jeff Hayes

Euclid

Eric Tollestrup\*

Shahid Habib

Fermi

Stefan Immler\*

Jeff Hayes

LISA

Rita Sambruna

Shahid Habib

Planck

Rita Sambruna

Jeff Hayes

ST-7/LPF

Rita Sambruna

Jeff Hayes

XMM-Newton

Stefan Immler\*

Jeff Hayes

### Astrophysics Explorers (APEX)

**Program**

**Linda Sparke**

**Mark Sistilli**

GUSTO

Thomas Hams\*

Lucien Cox\*

IXPE

Eric Tollestrup\*

Mark Sistilli

NICER

Rita Sambruna

Jeff Hayes

NuSTAR

Stefan Immler\*

Jeff Hayes

Swift

Martin Still\*

Jeff Hayes

TESS

Martin Still\*

Mark Sistilli

XARM

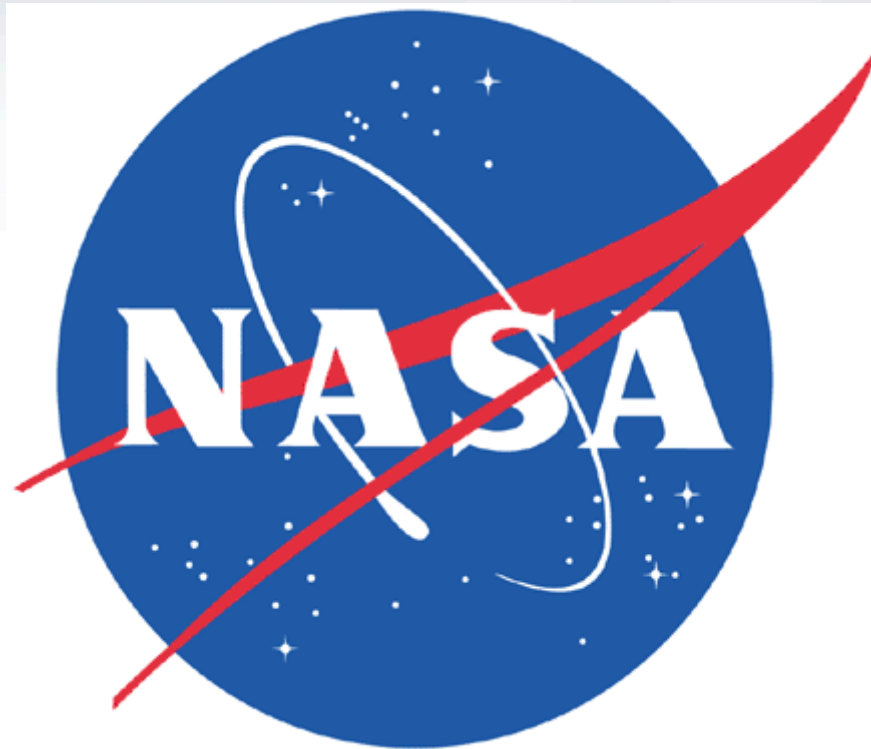
Dan Evans

Shahid Habib

+ Member of the Resources Management Division

\* Detailee, IPA, or contractor

^ Webb is part of the JWST Program Office.



Astrophysics Division  
Science Mission Directorate  
National Aeronautics and Space Administration