

Graduate Research Fellowship Program Certification Pages

Certification for Authorized Organizational Representative (or Equivalent)

By signing the Certification Pages, the Authorized Organizational Representative is: (1) certifying that statements made herein are true and complete to the best of his/her knowledge; and (2) agreeing to accept the obligation to comply with NSF award terms and conditions. Further, the applicant is hereby providing certifications regarding conflict of interest (when applicable), drug-free workplace, debarment and suspension, lobbying activities (see below), nondiscrimination, flood hazard insurance (when applicable), responsible conduct of research, organizational support, Federal tax obligations, unpaid Federal tax liability, and criminal convictions as set forth in the *NSF Proposal & Award Policies & Procedures Guide* (PAPPG), Chapter II.D.2.a. Willful provision of false information in this application and its supporting documents or in reports required under an ensuing award is a criminal offense (U. S. Code, Title 18, §1001).

Certification Regarding Conflict of Interest

The Authorized Organization Representative is required to complete certifications stating that the organization has implemented and is enforcing a written policy on conflicts of interest (COI), consistent with the provisions of PAPPG, Chapter IX.A; that, to the best of his/her knowledge, all financial disclosures required by the conflict of interest policy were made; and that conflicts of interest, if any, were, or prior to the organization's expenditure of any funds under the award, will be, satisfactorily managed, reduced or eliminated in accordance with the organization's conflict of interest policy.

Conflicts that cannot be satisfactorily managed, reduced or eliminated and research that proceeds without the imposition of conditions or restrictions when a conflict of interest exists, must be disclosed to NSF via use of the Notifications and Requests Module in Research.gov.

Certification for Contracts, Grants, Loans and Cooperative Agreements

The Authorized Organizational Representative certifies, to the best of his or her knowledge and belief, that:

(1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, reference Grants.gov Post-Award Reporting Forms for *Disclosure of Lobbying Activities* form and instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by §1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Certification Regarding Responsible and Ethical Conduct of Research (RECR)

By signing the Certification Pages, the Authorized Organizational Representative is certifying that the institution has a plan to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduate students, graduate students, postdoctoral scholars, faculty, and other senior/key personnel who will be supported by NSF to conduct research and that such training addresses mentor training and mentorship, training to raise awareness of potential research security threats, and Federal export control, disclosure, and reporting requirements.

Certification Regarding Organizational Support

By signing the Certification Pages, the Authorized Organizational Representative (or equivalent) is certifying that there is organizational support for the award as required by [Section 526 of the America COMPETES Reauthorization Act of 2010](#). This support extends to the portion of the activities developed to satisfy the Broader Impacts Review Criterion as well as the Intellectual Merit Review Criterion, and any additional review criteria specified in the solicitation. Organizational support will be made available, as described in the award, in order to address the broader impacts and intellectual merit activities to be undertaken.

Certification Regarding Mentoring Plans

By signing the Certification Page, the Authorized Organizational Representative (AOR) is providing certification that each Graduate Research Fellow hosted by the institution will be provided with, at a minimum, access to the mentoring activities described in the document entitled “Mentoring Plan”.

Certification Regarding Research Security Training for Federal Research Award Personnel

In accordance with Section 10634 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19234), the AOR must certify that all individuals identified as senior/key personnel have completed the requisite research security training that meets the requirements specified in Item 2 of Important Notice No. 149 within 12 months prior to proposal submission.

Certification Regarding Malign Foreign Talent Recruitment Program

In accordance with Section 10632 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232), the AOR must certify that all individuals identified as senior/key personnel have been made aware of and have complied with their responsibility under that section to certify that the individual is not a party to a malign

foreign talent recruitment program.

Certification Regarding IHEs Hosting or Supporting Confucius Institutes

In compliance with Section 10339A of the CHIPS and Science Act of 2022 (42 U.S.C. § 19039), the AOR must certify that, absent a waiver granted by the NSF Director, the IHE does not maintain a contract or agreement between the institution and a Confucius Institute.

Certification Regarding Registration of Authorized Organizational Representative (or equivalent)

The undersigned certifies that they are registered, at the institution, as an Authorized Organizational Representative (or equivalent).

Authorized Organizational Representative Name & Title

Institution Name

Date Signed