

3. Agency Component Abbreviations

Component Abbreviation	Component Name
OIG	Office of Inspector General
OGC	Office of General Counsel

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F.	OGC	2	2
			OIG	0	

		Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).			
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V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
OGC	780	348	291	837
OIG	23	76	83	16
AGENCY OVERALL	803	424	374	853

After reviewing its database, OGC and OIG adjusted the number of requests pending as of the start of the Fiscal Year.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component	Request Withdrawn	Fee-Related Reason	Records not Reasonably	Improper FOIA Request for Other	Not Agency Record	Duplicate Request	Other *Explain in Chart	

		Denials			or Agency			Described	Reason			Below	
OGC	23	44	5	13	1	201	0	1	0	0	3	0	291
OIG	8	17	4	35	11	3	0	0	5	0	0	0	83
AGENCY OVERALL	31	61	9	48	12	204	0	1	5	0	3	0	374

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	0	2	49	3	51	0	0	0	0	0	0	0	0
OIG	0	1	0	0	7	9	0	0	10	0	0	0	0	0
AGENCY OVERALL	0	1	2	49	10	60	0	0	10	0	0	0	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OGC	0	11	8	3
AGENCY OVERALL	0	11	8	3

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OGC	2	3	2	1	8
AGENCY OVERALL	2	3	2	1	8

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	0	0	1	0	1	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	1	0	1	0	0	0	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request	Request Withdrawn	Fee-Related Reason	Records not Reasonably	Improper Request for Other	Not Agency Record	Duplicate Request or	Request in Litigation	Appeal Based Solely on Denial of	Other *Explain in chart
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		Level			Described	Reasons		Appeal		Request for Expedited Processing	below
OGC	0	0	1	0	0	0	0	2	0	0	0
AGENCY OVERALL	0	0	1	0	0	0	0	2	0	0	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency /	Median Number	Average	Lowest Number	Highest Number
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Component	of Days	Number of Days	of Days	of Days
OGC	204	109.57	14	305
AGENCY OVERALL	204	109.57	14	305

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
OGC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2024- 04-01	2023- 10-18	2023- 10-18
	Number of Days Pending	0	0	0	0	0	0	0	127	237	237
AGENCY OVERALL	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2024- 04-01	2023- 10-18	2023- 10-18
	Number of Days Pending	0	0	0	0	0	0	0	127	237	237

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	225.5	709.66	<1	2408	1466	1275.43	<1	2679	69	69	69	69
OIG	11	27.39	<1	397	87	174.53	17	828	604	604	380	828
AGENCY OVERALL	112.75	414.81	<1	2408	871.5	1154.5	<1	2679	380	425.66	69	828

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	22	39.56	<1	169	58	64.30	2	186	69	69	69	69
OIG	16	65.2	7	397	127	161.85	19	448	N/A	N/A	N/A	N/A
AGENCY OVERALL	21	106.21	<1	397	65	153.10	2	448	69	69	69	69

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
OGC	9	8	5	1	5	0	1	0	1	0	2	0	31	63
OIG	33	6	4	0	3	1	0	0	0	0	0	1	0	48
AGENCY OVERALL	42	14	9	1	8	1	1	0	1	0	2	1	31	111

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
OGC	0	13	9	11	6	2	4	2	2	1	4	1	172	227
OIG	4	3	2	5	1	2	1	0	2	1	3	1	2	27
AGENCY OVERALL	4	16	11	16	7	4	5	2	4	2	7	2	174	254

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
OGC	0	0	0	1	0	0	0	0	0	0	0	0	0	1
OIG	0	0	0	0	0	0	0	0	0	0	0	1	1	2
AGENCY OVERALL	0	0	0	1	0	0	0	0	0	0	0	1	1	3

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
OGC	332	316	439.68	470	357	516.34	2	81	81
OIG	2	148	148	14	179	264.14	0	N/A	N/A
AGENCY OVERALL	334	<1	<1	484	<1	<1	2	<1	<1

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
OGC	Date of Receipt	2015-09-10	2015-04-22	2014-12-08	2014-11-20	2014-09-22	2014-08-05	2014-08-04	2014-02-07	2014-01-03	2013-10-24
	Number of Days Pending	2241	2339	2429	2439	2480	2513	2514	2637	2660	2706
OIG	Date of Receipt	2024-02-14	2024-02-01	2024-01-24	2024-01-09	2024-01-09	2022-11-18	2022-11-08	2022-11-04	2022-06-02	2022-05-19
	Number of Days Pending	159	168	174	184	184	463	469	471	578	587
AGENCY OVERALL	Date of Receipt	2015-09-10	2015-04-22	2014-12-08	2014-11-20	2014-09-22	2014-08-05	2014-08-04	2014-02-07	2014-01-03	2013-10-24
	Number of Days Pending	2241	2339	2429	2439	2480	2513	2514	2637	2660	2706

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
OGC	1	0	36	36	0
OIG	2	0	604	604	0
AGENCY OVERALL	3	0	380	414.66	0

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
OGC	3	3	1762.5	1546.83
OIG	0	0	N/A	N/A
AGENCY OVERALL	3	3	1762.5	1546.83

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full- Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs
OGC	4	0.00	4.00	508073.00	0.00	508073.00
OIG	1	0.00	1.00	167276.00	0.00	167276.00
AGENCY OVERALL	5	0.00	5.00	675349.00	0.00	675349.00

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
OGC	0.00	0.0000
OIG	0.00	0.0000
AGENCY	0.00	0.0000

OVERALL		
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XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
OGC	0
OIG	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
OGC	0	0

OIG	0	0
AGENCY OVERALL	0	0

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
OGC	769	3
OIG	15	N/A
AGENCY OVERALL	784	3

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal	Number of Consultations Received from Other Agencies that were	Number of Consultations Received from Other Agencies that were
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	<u>Pending at the Agency as of Start of the Fiscal Year</u>	<u>Year</u>	<u>Processed by the Agency During the Fiscal Year</u>	<u>Pending at the Agency as of End of the Fiscal Year</u>
OGC	13	14	9	18
OIG	0	0	0	0
AGENCY OVERALL	13	14	9	18

After reviewing its database, OGC adjusted the number of consultations pending as of the start of the Fiscal Year.

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
OGC	Date	2023-09-27	2023-06-05	2023-04-13	2023-03-01	2023-02-08	2023-01-25	2022-10-11	2021-12-03	2021-08-06	2021-03-13
	Number of Days	251	330	366	397	411	421	489	702	781	882
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	2023-09-27	2023-06-05	2023-04-13	2023-03-01	2023-02-08	2023-01-25	2022-10-11	2021-12-13	2021-12-03	2021-08-06

	Number of Days	251	330	366	397	411	421	489	702	781	882
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XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OGC	297	348	135	291
OIG	42	76	23	83
AGENCY OVERALL	339	424	158	374

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency /	Number of Backlogged	Number of Backlogged
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Component	Requests as of End of the Fiscal Year from Previous Annual Report	Requests as of End of the Fiscal Year from Current Annual Report
OGC	631	769
OIG	13	15
AGENCY OVERALL	644	784

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OGC	1	11	2	8
AGENCY OVERALL	1	11	2	8

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OGC	0	3
AGENCY OVERALL	0	3