



# Foreign Financial Disclosure Report (FFDR) Webinar

July 16, 2024

# Presenters

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# Agenda

- Introduction to Foreign Financial Disclosure Report (FFDR)
- FFDR Requirements
- FFDR Timing
- FFDR Future Plans
- Frequently Asked FFDR Questions
- FFDR Training Resources & Outreach Opportunities
- Contacts for FFDR Questions



# Introduction to FFDR

- Section 10339B of CHIPS and Science Act of 2022
  - In accordance with Section 10339B, *Foreign Financial Support*, of the CHIPS and Science Act of 2022, (42 U.S.C. § 19040), each "recipient institution of higher education" must annually report all "current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source" which is "associated with a foreign country of concern," as defined in the NSF Proposal & Award Policies & Procedures Guide (PAPPG) Introduction, Section D.



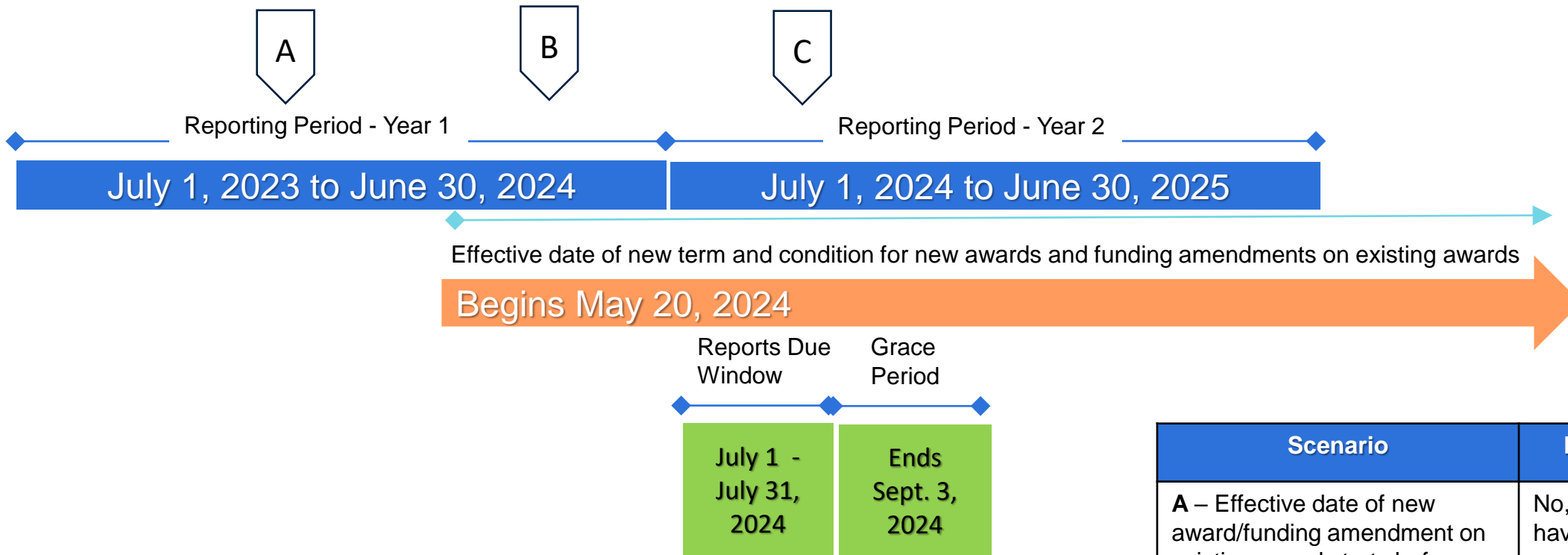
# Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 24-1)

Post-award FFDR requirements (applies to each IHE that receives an award or funding amendment on an existing award made on or after May 20, 2024)

- Each IHE must submit an institutional report annually – negative reports are required
- Reporting includes any gift or contract with a cumulative value of \$50K or more from a country of concern (i.e., People’s Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, and Russian Federation)
- Reports must be submitted by July 31 each calendar year in Research.gov
- IHEs must maintain copies of relevant records
- NSF may request copies of contracts, agreements, or documentation of financial transactions associated with disclosures
- Organizations other than IHEs are not required to submit annual FFDR reports
- A new term and condition has been added implementing this requirement post-award



# FFDR Timing



Scenario	Is Reporting Required?
<b>A</b> – Effective date of new award/funding amendment on existing award starts before May 20, 2024	No, my institution does not have the new reporting term and condition and is not required to report
<b>B</b> - Effective date of new award/funding amendment on existing award starts on or after May 20, 2024, but on or before June 30, 2024	Yes, my institution has the new reporting term and condition and is required to report
<b>C</b> – Effective date of new award/funding amendment on existing award starts after June 30, 2024	Report not required in 2024, but my institution will be required to report in 2025



# FFDR Future Plans

- Monitor feedback from the research community
- Add “copy” feature to reduce administrative burden for Year 2 and subsequent year reports
- Consider adding capability to download submitted reports
- Enhance post-submission processes (i.e., amendment and extension requests)
- Enhance FFDR functionality based on future PAPPG requirements



# Determining FFDR Eligibility

- How can an IHE find out if they are supposed to submit a FFDR?
  - IHEs can check NSF award status on: [NSF Award Search](#)
  - Enter in award number
  - View the “Latest Amendment Date”
  - If “Latest Amendment Date” is between May 20, 2024 and June 30, 2024, IHE is required to submit 2024 FFDR.





# Questions regarding FFDR Reporting Criteria

- What are some examples or strategies to identify gifts and contracts received "indirectly" from agent/intermediaries?
- Are gifts or contracts made to a foundation or related entity of an IHE reportable in the FFDR?
- What is considered a foreign country of concern? Does the list of foreign countries of concern change over time?



# Questions regarding FFDR Reporting Criteria

- How should relationships with vendors located in a foreign country of concern be reported?
- Are IHEs responsible for reporting gifts or contracts that are made directly to an individual affiliated with the institution, if the gift or contract is not managed by or funded to the institution?
- If faculty at an U.S. IHE review grants for organizations based in foreign countries of concern, do they need to report this activity in their NSF disclosures?



# FFDR Training Resources

## Research.gov [About Foreign Financial Disclosure page](#)

- Frequently Asked Questions (FAQs) by topic
- [How to Prepare and Submit a Foreign Financial Disclosure Report \(FFDR\) Guide](#)
- [Technical walk-through of FFDR portal](#)



# Upcoming FFDR Outreach Opportunities

- FFDR Virtual Office Hours
  - July 31<sup>st</sup> at 2:00 – 3:00 PM ET
  - Register [HERE](#)



# Contacts for FFDR Questions

## Ask Early, Ask Often!



- For **IT system-related questions or technical questions**, please contact the NSF IT Service Desk at 1-800-381-1532 (7:00 a.m. - 9:00 p.m. Eastern Time; Monday - Friday except federal holidays) or via [rgov@nsf.gov](mailto:rgov@nsf.gov)
- For **FFDR non-technical questions**, please contact the Office of the Chief of Research Security Strategy and Policy at [FFDR@nsf.gov](mailto:FFDR@nsf.gov)
- **Policy-related questions** should be directed to [policy@nsf.gov](mailto:policy@nsf.gov)



QUESTIONS

