



# Foreign Financial Disclosure Report (FFDR) Demonstration

July 9, 2024



# Presenters

## **Rebecca Keiser**

Chief of Research Security Strategy and Policy

Office of the Director

[rlkeiser@nsf.gov](mailto:rlkeiser@nsf.gov)

## **Jean Feldman**

Head, Policy Office

Division of Institution and Award Support

Office of Budget, Finance, and Award Management

[jfeldman@nsf.gov](mailto:jfeldman@nsf.gov)

## **Stephanie Yee**

IT Project Manager

Division of Enterprise Services

Office of the Chief Information Officer

[syee@nsf.gov](mailto:syee@nsf.gov)





# Agenda

- Introduction to Foreign Financial Disclosure Report (FFDR)
- FFDR Requirements
- FFDR Timing
- FFDR Demo
- FFDR Training Resources & Outreach Opportunities
- Contacts for FFDR Questions





# Introduction to FFDR

- Section 10339B of CHIPS and Science Act of 2022
  - In accordance with Section 10339B, *Foreign Financial Support*, of the CHIPS and Science Act of 2022, (42 U.S.C. § 19040), each "recipient institution of higher education" must annually report all "current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source" which is "associated with a foreign country of concern," as defined in the NSF Proposal & Award Policies & Procedures Guide (PAPPG) Introduction, Section D.





# Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 24-1)

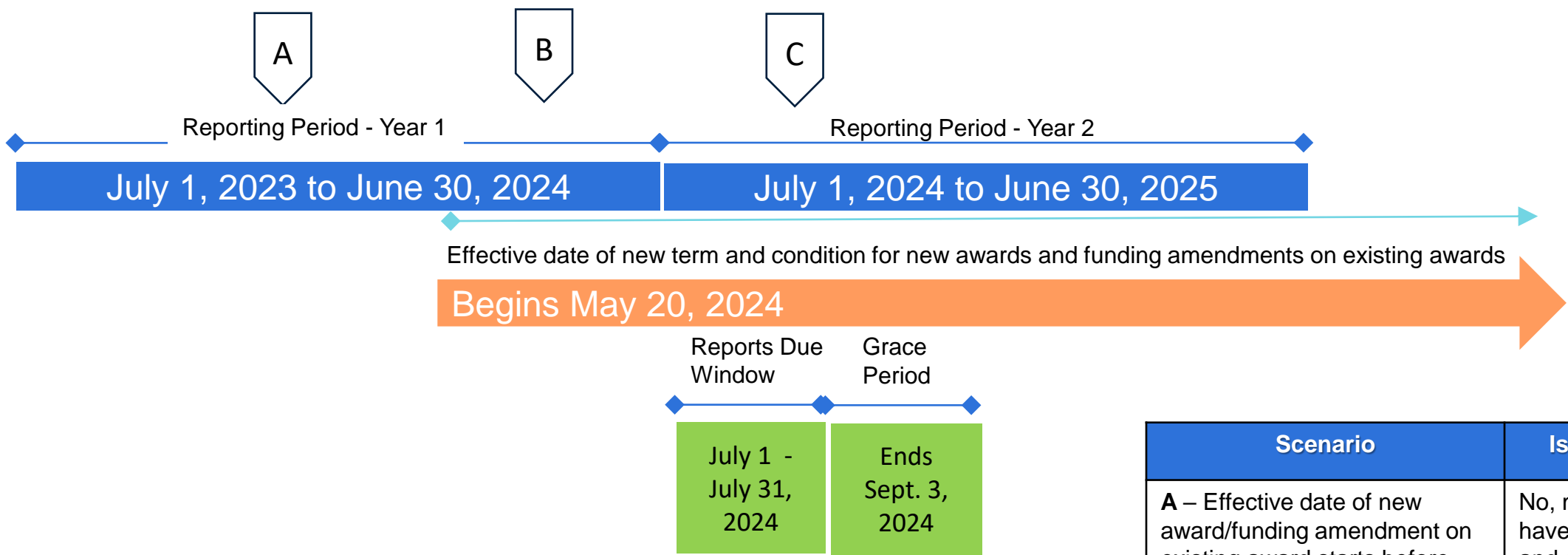
Post-award FFDR requirements (applies to each IHE that receives an award or funding amendment on an existing award made on or after May 20, 2024)

- Each IHE must submit an institutional report annually – negative reports are required
- Reporting includes any gift or contract with a cumulative value of \$50K or more from a country of concern (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, and Russian Federation)
- Reports must be submitted by July 31 each calendar year in Research.gov
- IHEs must maintain copies of relevant records
- NSF may request copies of contracts, agreements, or documentation of financial transactions associated with disclosures
- Organizations other than IHEs are not required to submit annual FFDR reports
- A new term and condition has been added implementing this requirement post-award





# FFDR Timing



Scenario	Is Reporting Required?
<b>A</b> – Effective date of new award/funding amendment on existing award starts before May 20, 2024	No, my institution does not have the new reporting term and condition and is not required to report
<b>B</b> - Effective date of new award/funding amendment on existing award starts on or after May 20, 2024, but on or before June 30, 2024	Yes, my institution has the new reporting term and condition and is required to report
<b>C</b> – Effective date of new award/funding amendment on existing award starts after June 30, 2024	Report not required in 2024, but my institution will be required to report in 2025





# Demo of FFDR Portal





# FFDR System-generated Email Notifications for Report Submission

- FFDR Preparer(s) for IHEs that received a new award or a funding amendment on an existing award between May 20, 2024, and June 30, 2024, received an email notification for submitting the annual FFDR during the **July 1 – July 31, 2024, reporting period** for Year 1
  - **Initial Notification Email:** Was sent to all FFDR Preparers when the reporting period opened on July 1
  - **Reminder Email:** Will be sent to all FFDR Preparers for IHEs with unsubmitted FFDR on July 24

## Example Initial Notification Email – July 1st distribution

**Subject:** NSF - Action Required: Submission of Foreign Financial Disclosure Report (FFDR)

Each "recipient institution of higher education" must annually report all **"current financial support" associated with** a foreign country of concern (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation). Negative reports are also required to be submitted. For more details, see [Foreign Financial Disclosure Report \(PAPPG\)](#).

While the PAPPG and Award Terms and Conditions stipulate that the Foreign Financial Disclosure Report (FFDR) is due on July 31, 2024, NSF is providing a one-time grace period for the initial report until **September 3, 2024 at 5:00 p.m.** (based on the submitting organization's local time).

**Organization:** National Science Foundation

**SAM Legal Business Name:** NATIONAL SCIENCE FOUNDATION

**Unique Entity Identifier (UEI):** XXXXXX123456

**Reporting Period:** July 1, 2023 - June 30, 2024

The report for this organization must be completed by an individual with a 'Foreign Financial Disclosure Report (FFDR) Preparer' role in Research.gov. All Authorized Organizational Representatives (AORs) have this role by default, but may request the role be reassigned to another individual (see instructions below).

### Access the report

Complete your report on the [Foreign Financial Disclosure Report](#) page (sign-in required)

### Reassign Foreign Financial Disclosure Report (FFDR) Preparer Role

- 1) [Sign into Research.gov](#)
- 2) Select 'My Profile,' then 'View My Roles,' then 'See Org Contact(s)' for a listing of Organizational Administrators
- 3) Contact an administrator directly for assistance

### Need Help?

You can find helpful Research.gov information by selecting Help in the top right-hand corner of Research.gov.

For additional assistance, please contact the IT Service Desk at 1 (800) 381-1532 or [rgov@nsf.gov](mailto:rgov@nsf.gov).

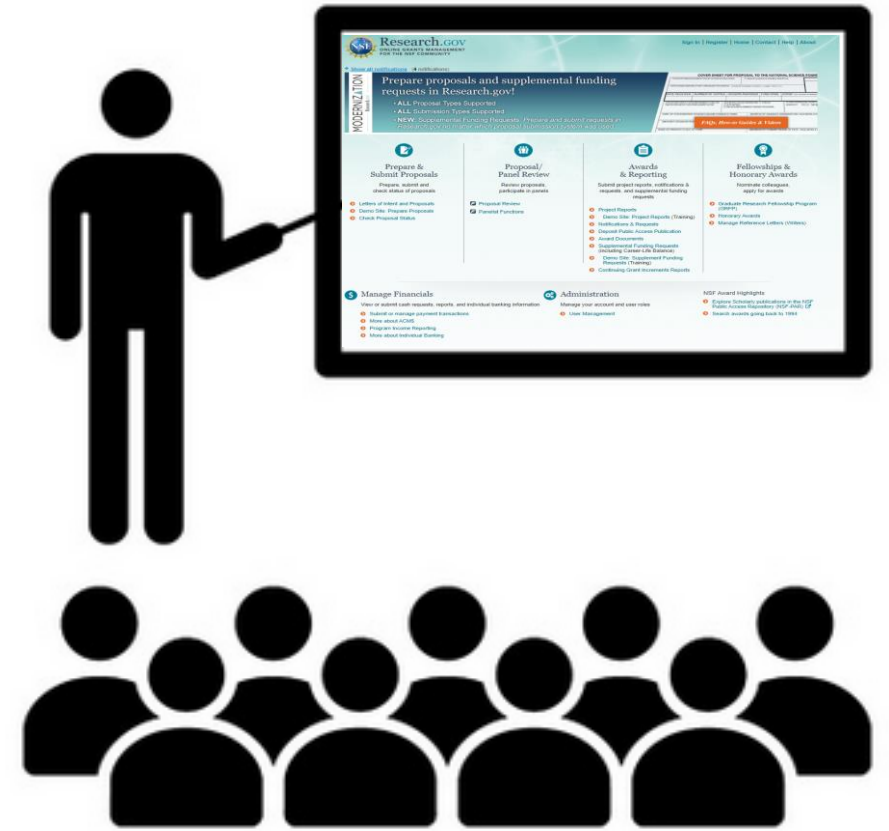
Please DO NOT REPLY TO THIS MESSAGE, as this email was sent from an address that cannot accept incoming messages.





# FFDR Portal Demo

- Accessing FFDR Portal in Research.gov
- Adding/Removing FFDR Preparer Role
- Preparing and Submitting a Negative Report
- Preparing and Submitting a Positive Report





# FFDR Post-submission

- Submitted reports are displayed on the FFDR main page
- All FFDR Preparers affiliated with the IHE for the submitted report will receive a system-generated submission confirmation email

## Foreign Financial Disclosure Report (FFDR)

CHIPS & Science Act of 2022

The submission window to report foreign support from countries of concern (see [PAPPG Chapter VII.D.3](#) for additional information) received between July 1, 2023 and June 30, 2024 is **open**. While the PAPPG and Award Terms and Conditions stipulate that the Foreign Financial Disclosure Report (FFDR) is due on July 31, 2024, NSF is providing a one-time grace period for the initial report until **September 3, 2024 at 5:00 p.m.** (based on the submitting organization's local time).

Any NSF recipient of higher education that receives a new award or a funding amendment on an existing award on or after May 20, 2024 is required to submit a Foreign Financial Disclosure Report (FFDR). The FFDR must be submitted to NSF **regardless of whether your organization receives financial support** from a foreign country of concern. For more details, see [Foreign Financial Disclosure Report \(PAPPG\)](#)

Show All

Showing 1 - 1 of 1

Foreign Financial Disclosure Report(s)						Create Report
Year	Organization	Unique Entity Identifier (UEI)	Submitted By	Submitted On	Actions	
2024	National Science Foundation <a href="#">View SAM Legal Business Name</a>	GGF7K5B4JSL5	Alan G. Alphaman	5/6/2024	<a href="#">Edit Report</a> <a href="#">View Report</a>	

Show All

Showing 1 - 1 of 1

**Subject:** NSF - Submitted: 2024 Foreign Financial Disclosure Report (FFDR)

The Foreign Financial Disclosure Report (FFDR) for **NATIONAL SCIENCE FOUNDATION** has been submitted to NSF. You may edit the report and resubmit to NSF until **September 3, 2024 at 5:00 p.m.** (based on the submitting organization's local time).

**Submitted By:** Jane Doe

**Submitted On:** 05/14/2024 4:34 PM EDT

**Reporting Period:** July 1, 2023 - June 30, 2024

The report can be accessed from your listing of Foreign Financial Disclosure Reports within Research.gov. If needed, you may request an amendment to the report within the FFDR system.

### Access the report

Complete your report on the [Foreign Financial Disclosure Report](#) page (sign-in required)

### Need Help?

You can find helpful Research.gov information by selecting Help in the top right-hand corner of Research.gov. For additional assistance, please contact the IT Service Desk at 1 (800) 381-1532 or [rgov@nsf.gov](mailto:rgov@nsf.gov).

Please DO NOT REPLY TO THIS MESSAGE, as this email was sent from an address that cannot accept incoming messages.





# 2024 FFDR Email Notifications Summary

- **Initial Email:** Email was sent on July 1 to all FFDR Preparer(s) affiliated with an IHE required to report (This is 30 days prior to the July 31 FFDR deadline.)
- **Reminder Email:** Email will be sent on July 24 to all FFDR Preparer(s) affiliated with an IHE that has NOT submitted a required report (This is 7 days prior to the July 31 FFDR deadline.)
- **Overdue Emails:** During the grace period in Year 1, overdue emails will be sent to all FFDR Preparers affiliated to an IHE that has NOT submitted a required report on August 1, August 14, and August 28
- **FFDR Deleted Email:** All FFDR Preparers affiliated with the IHE will receive a confirmation email when an in-progress report is deleted
- **FFDR Submitted Email:** All FFDR Preparers affiliated with the IHE will receive a report submission confirmation email





# FFDR Portal Key Takeaways

- ✓ All FFDR Preparers in the same IHE can create a report in Research.gov, edit an existing report, or submit a report created by another FFDR preparer
- ✓ Only one FFDR can be submitted in Research.gov per IHE
- ✓ On July 1, 2024, all FFDR Preparers affiliated to an IHE that received a new award or a funding amendment on an existing award between May 20, 2024, and June 30, 2024, received a system-generated email notifying them that the IHE must submit an FFDR for Year 1
- ✓ Every IHE organization must submit a negative or positive report in Research.gov
- ✓ Foreign support received between July 1, 2023, and June 30, 2024, will report in Year 1 submission window which began on July 1, 2024
- ✓ Once a report is submitted to NSF in Research.gov, it cannot be deleted or withdrawn





# FFDR Portal Key Takeaways (cont'd)

- ✓ Submitted report for Year 1 can be edited until September 3, 2024. After September 3, the FFDR Preparer must submit a request to Office of the Chief of Research Security Strategy and Policy Office to amend the submitted report
- ✓ FFDR is specific to financial support received from a foreign country of concern (i.e., People's Republic of China, Democratic People's Republic of Korea, Russian Federation, and Islamic Republic of Iran)
- ✓ If the cumulative value of all gifts and contracts received from a foreign source does not equal or exceed \$50,000, then it is not required to report them in Research.gov





# FFDR Future Plans

- Monitor feedback from the research community
- Add “copy” feature to reduce administrative burden for Year 2 and subsequent year reports
- Consider adding capability to download submitted reports
- Enhance post-submission processes (i.e., amendment and extension requests)
- Enhance FFDR functionality based on future PAPPG requirements





# FFDR Training Resources

Research.gov [About Foreign Financial Disclosure page](#)

- Frequently Asked Questions (FAQs) by topic
- [How to Prepare and Submit a Foreign Financial Disclosure Report \(FFDR\) Guide](#)





# Upcoming FFDR Outreach Opportunities

- FFDR Virtual Office Hours
  - July 16<sup>th</sup> at 2:00 – 3:00 PM ET
  - July 31<sup>st</sup> at 2:00 – 3:00 PM ET
  - Register [HERE](#) (Registration link is the same for both Office Hours sessions but you will need to select the session date)





# Contacts for FFDR Questions

Ask Early, Ask Often!



- For **IT system-related questions or technical questions**, please contact the NSF IT Service Desk at 1-800-381-1532 (7:00 a.m. - 9:00 p.m. Eastern Time; Monday - Friday except federal holidays) or via [rgov@nsf.gov](mailto:rgov@nsf.gov)
- For **FFDR non-technical questions**, please contact the Office of the Chief of Research Security Strategy and Policy at [FFDR@nsf.gov](mailto:FFDR@nsf.gov)
- **Policy-related questions** should be directed to [policy@nsf.gov](mailto:policy@nsf.gov)





QUESTIONS





# Appendix





# New Research.gov Account Management FFDR Preparer Role

- FFDR must be prepared and submitted in Research.gov by an individual with a "Foreign Financial Disclosure Report (FFDR) Preparer" role
- All active AORs were assigned the new FFDR Preparer role on May 20
- Organizational Administrators can add and remove FFDR Preparer role in Account Management for users within their organization

Roles

The Foreign Financial Disclosure Report (FFDR) Preparer role is automatically assigned to new Authorized Organizational Representatives. **The FFDR Preparer role is required to create, edit, and submit Foreign Financial Disclosure Reports for your organization.** Uncheck Foreign Financial Disclosure Report (FFDR) Preparer if you would prefer to assign that role to someone else.

Roles for Alan GS Alphaman at National Science Foundation

Prepare Proposals and Manage Awards

☐ Principal Investigator (PI/co-PI) ⓘ  
☐ Other Authorized User (OAU) ⓘ

Manage Organizations

☐ Administrator (Admin) ⓘ  
☒ Authorized Organizational Representative (AOR) ⓘ  
☒ Sponsored Projects Officer (SPO) ⓘ  
☒ View Only ⓘ  

☒ Foreign Financial Disclosure Report (FFDR) Preparer (For Institutions of Higher Education only) ⓘ

Manage Financials in Award Cash Management Service (ACMS)

☐ Awardee Preparer ⓘ  
☐ Awardee Certifier ⓘ  
☐ Awardee Financial Representative ⓘ

Graduate Research Fellowship Program (GRFP) Official

☒ Coordinating Official (CO) [currently Alan Alphaman] ⓘ  
☐ Alternate Coordinating Official (Alt. CO) ⓘ  
☐ Financial Official (FO) ⓘ

Save

Cancel





# Accessing the FFDR Portal in Research.gov

- FFDR Preparers must be signed into Research.gov to access FFDR portal. Two ways to access:
  - Foreign Financial Disclosure Report (FFDR) link under "Manage Financials" on the Research.gov homepage
  - FFDR link included in the FFDR system-generated emails from NSF
- Users without the FFDR Preparer role will see the link; however, it will have a lock icon and can only be accessed by users with the FFDR Preparer role

## View of Research.gov Homepage (after sign-in)

To access restricted features, add a role

**Proposals**  
Prepare and Submit Proposals (Letters of Intent, Preliminary, Full, Renewal, Postdoctoral Fellowships and SBIR/STTR)  
Demo Site: Prepare Proposals (Training)  
Proposal Status

**Reviews & Meetings**  
Provide Reviewer Profile Information  
Panelist Functions (Travel and Reimbursement, Meeting Sign-in, Panel Review System, Interactive Panel System)  
Prepare and Submit Ad Hoc Reviews (Prepare Review, View/Save Proposal for Review)  
Proposal Evaluation System (Pilot) (Reviews, Ratings, Panels)

**Awards & Reporting**  
Project Reports  
Demo Site: Project Reports (Training)  
Notifications & Requests  
Award Documents  
Supplemental Funding Requests (including Career-Life Balance)  
Demo Site: Supplemental Funding Requests (Training)  
Continuing Grant Increments Reports  
NSF Public Access Repository (NSF-PAR):  
Add Research Products  
Manage Research Products

**Fellowships**  
Graduate Research Fellowship Program (Applicants, Fellows)  
Graduate Research Fellowship Program (Officials)   
Manage Reference Letters (Writers)

**Manage Financials**  
ACMS (Award Cash Management Service)  
Program Income Reporting  
**Foreign Financial Disclosure Report (FFDR) (CHIPS & Science Act of 2022)**   
Individual Banking (Postdoctoral Fellows, Honorary Award Invitational Travelers, and other payees)  
Grant Post-Award Financial Contacts

**Administration**  
User Management  
Look Up NSF ID

Institutions of Higher Education that received a new award or funding amendment on an existing award on or after May 20, 2024 will be required to submit a report





# FFDR Landing Page

- FDR Preparer navigated to FFDR landing page from FFDR link
- Preparer initiates FFDR by selecting “Create Report”
- From landing page, Preparer can:
  - Access list of all FFDRs created and submitted for the IHE
  - Initiate various actions such as View Report and Edit Report

## Foreign Financial Disclosure Report (FFDR)

CHIPS & Science Act of 2022

**i** The submission window to report foreign support from countries of concern (see [PAPPG Chapter VII.D.3](#) for additional information) received between July 1, 2023 and June 30, 2024 is **open**. While the PAPPG and Award Terms and Conditions stipulate that the Foreign Financial Disclosure Report (FFDR) is due on July 31, 2024, NSF is providing a one-time grace period for the initial report until **September 3, 2024 at 5:00 p.m.** (based on the submitting organization's local time).

Any NSF recipient of higher education that receives a new award or a funding amendment on an existing award on or after May 20, 2024 is required to submit a Foreign Financial Disclosure Report (FFDR). The FFDR must be submitted to NSF **regardless of whether your organization receives financial support** from a foreign country of concern. For more details, see [Foreign Financial Disclosure Report \(PAPPG\)](#)

Showing 1 - 1 of 1

Foreign Financial Disclosure Report(s)							Create Report <b>i</b>
Year	Organization	Unique Entity Identifier (UEI)	Submitted By	Submitted On	Actions		

Showing 1 - 1 of 1





# FFDR Main Page

- FFDR Preparer is navigated to IHE report main page after creating a new report
- Top section displays important details including name of FFDR Preparer(s) who created and last updated report
- **Any user with the FFDR Preparer role and associated with the report's organization can access, edit, or submit the report**
- Report Actions:
  - Check Error(s) and Warning(s) – automated compliance validation checks
  - Delete Report

## 2024 Foreign Financial Disclosure Report

Organization Details:

National Science Foundation  
2415 EISENHOWER AVE  
ALEXANDRIA, VA 22314-4684  
US  
[View SAM Legal Business Name](#)

Unique Entity Identifier (UEI):

GGF7K5B4JSL5

Created By:

Alan GS. Alphaman

Last Updated By:

Alan GS. Alphaman

Created On:

5/5/2024

Last Updated On:

5/6/2024

Due Date: 7/31/2024

Report Actions

☒ Check Error(s) and Warning(s)

☐ Delete Report

Helpful Links

[FFDR \(PAPPG\)](#)

[FFDR FAQs](#)

[FFDR Definitions](#)

See Foreign Financial Disclosure Report (PAPPG) for instructions regarding what organizations must disclose.

\* Required

Foreign Source Ownership and Control

\* 1. Is National Science Foundation, or any part thereof, owned or substantially controlled by a foreign source based in a country of concern? (i.e., [People's Republic of China](#), Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation) [What is owned or substantially controlled?](#) [What is a foreign source?](#)  
☒ No, National Science Foundation, or any part thereof, is not owned or substantially controlled by a foreign source  
☐ Yes, National Science Foundation, or any part thereof, is owned or substantially controlled by a foreign source

Foreign Source Gifts and Contracts

\* 2. Has National Science Foundation received Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period? (i.e., [People's Republic of China](#), Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation)  
☒ No, National Science Foundation does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period  
☐ Yes, National Science Foundation has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period

Submit Report

Save





# Preparing and Submitting a Negative Report

1. Select “No” for **Foreign Source Ownership and Control for Question 1** if the IHE, or any part thereof, is not owned or substantially controlled by a foreign source.
2. Select “No” for **Foreign Source Gifts and Contracts for Question 2** if the IHE does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period.
3. Click the **Submit Report** button.
4. Certify and click the **Sign and Submit** button.

2024 Foreign Financial Disclosure Report

Organization Details: National Science Foundation  
2415 EISENHOWER AVE.  
ALEXANDRIA, VA 22314-4604  
US  
[View SAM Legal Business Name](#)

Unique Entity Identifier (UEI): GGF7K5B4JSL5  
Created By: Alan GS. Alphaman  
Last Updated By: Alan GS. Alphaman  
Created On: 5/5/2024  
Last Updated On: 5/6/2024

Due Date: 7/31/2024

Report Actions  
☒ Check Error(s) and Warning(s)  
☐ Delete Report

Helpful Links  
[FFDR \(PAPPG\)](#)  
[FFDR FAQs](#)  
[FFDR Definitions](#)

See Foreign Financial Disclosure Report (PAPPG) for instructions regarding what organizations must disclose.

\* Required

**Foreign Source Ownership and Control**

\* 1. Is National Science Foundation, or any part thereof, owned or substantially controlled by a foreign source based in a country of concern? (i.e., [People's Republic of China](#), [Islamic Republic of Iran](#), [Democratic Republic of Korea](#), or [Russian Federation](#))

☒ No, National Science Foundation, or any part thereof, is not owned or substantially controlled by a foreign source  
☐ Yes, National Science Foundation, or any part thereof, is owned or substantially controlled by a foreign source

**Foreign Source Gifts and Contracts**

\* 2. Has National Science Foundation received Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period? (i.e., [People's Republic of China](#), [Islamic Republic of Iran](#), [Democratic Republic of Korea](#), or [Russian Federation](#))

☒ No, National Science Foundation does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period.  
☐ Yes, National Science Foundation has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period

Submit Report  Save

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information ✓ 2. Certify and Submit ✓

**Certify and Submit**

\* Required

Foreign Source Disclosure Report Certification(s)

I certify that, in accordance with Section 10339B, Foreign Financial Disclosure Report, of the CHIPS and Science Act of 2022, (42 U.S.C. § 19040), the organization is herewith reporting all "current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source associated with a foreign country of concern," as defined in the PAPPG Introduction, Section D. Misrepresentations and/or omissions may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§ 287, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.

☒ \* I have read and agree to the certifications listed above

By clicking the "Sign and Submit" button you are electronically signing, as well as, submitting your report(s).

Previous  Sign and Submit





# Preparing and Submitting a Positive Report Overview

1. Select “Yes” for **Foreign Source Ownership and Control for Question 1** if the IHE, or any part thereof, is owned or substantially controlled by a foreign source. Then enter foreign source information.
2. Select “Yes” for **Foreign Source Gifts and Contracts for Question 2** if the IHE has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period. Then enter foreign source information.
3. Add **gift(s) and/or contract(s)** on the Gift Details and/or Contract Details screens
  - ~ 20 questions for reporting a gift
  - ~ 18 questions for reporting a contract
4. Certify and click “Sign and Submit” button

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information ▼ 2. Certify and Submit

Review Report Information

Organization Information

Organization National Science Foundation	Unique Entity Identifier (UEI) GGF7K5B4JSL5
Submitting Foreign Financial Disclosure Report Preparer Alan GS Alghaman	Address 2415 EISENHOWER AVE ALEXANDRIA, VA 22314-4684 US

Report Information

Foreign Source Ownership and Control Organizations	Program Changes
Test	Test

Foreign Source Gifts and Contracts Organizations	Cumulative Total
Test	\$600000

Cancel Next

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information ▼ 2. Certify and Submit ▼

Certify and Submit

\* Required

Foreign Source Disclosure Report Certification(s)

I certify that, in accordance with Section 103396, Foreign Financial Disclosure Report, of the CHIPS and Science Act of 2022, (42 U.S.C. § 19040), the organization is herewith reporting all "current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source associated with a foreign country of concern," as defined in the PAPPG Introduction, Section D. Misrepresentations and/or omissions may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§ 207, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.

☒ I have read and agree to the certifications listed above

By clicking the "Sign and Submit" button you are electronically signing, as well as, submitting your report(s).

Previous Sign and Submit



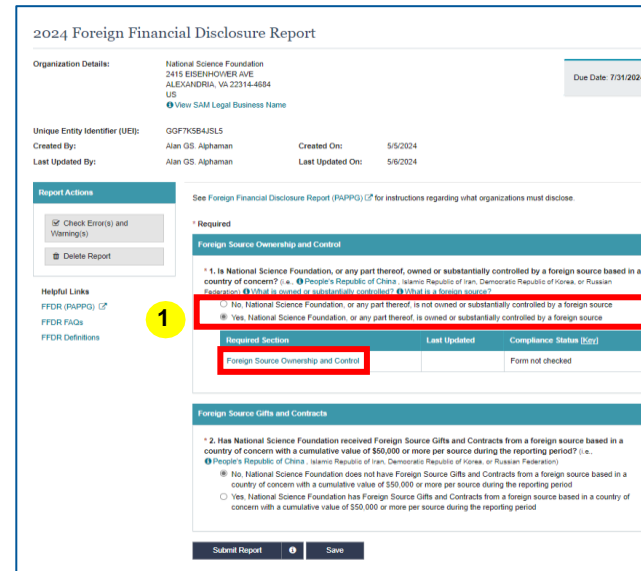


# Preparing and Submitting a Positive Report

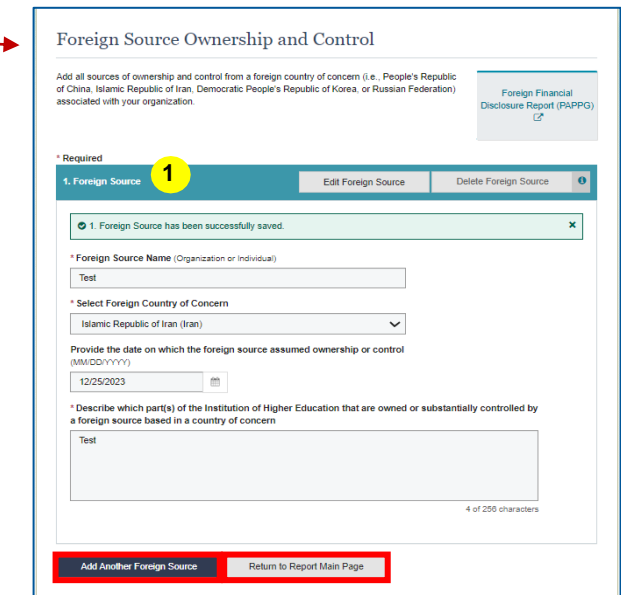
**FFDR Preparers complete the Foreign Source Ownership and Control section.**

1. Select “Yes” for **Foreign Source Ownership and Control for Question 1** if the IHE, or any part thereof, is owned or substantially controlled by a foreign source. Then enter the foreign source information by clicking the Foreign Source Ownership and Control link in the Required Section table.

Select “No” for **Foreign Source Ownership and Control for Question 1** if the IHE, or any part thereof, is not owned or substantially controlled by a foreign source and proceed to the Foreign Source Gifts and Contracts section.



- Additional foreign sources can be entered by clicking the Add Another Foreign Source button. The button is disabled until the foreign source information being worked on is completed and saved.
- Foreign Sources can be edited and deleted.
- Use the Return to Report Main Page button to continue working on the positive report.





# Preparing and Submitting a Positive Report (cont'd)

**FFDR Preparers complete the Foreign Source Gifts and Contracts section.**

2. Select “Yes” for **Foreign Source Gifts and Contracts for Question 2** if the IHE has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period. Then enter foreign source information by clicking the Add Foreign Organization button.

Select “No” for **Foreign Source Gifts and Contracts for Question 2** if the IHE does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period.

2024 Foreign Financial Disclosure Report

Organization Details: National Science Foundation  
2415 EISENHOWER AVE  
ALEXANDRIA, VA 22314-6084  
US  
View SAM Legal Business Name

Unique Entity Identifier (UEI): GGF7K5B4JSL5  
Created By: Alan GS. Alphaman  
Last Updated By: Alan GS. Alphaman  
Created On: 5/5/2024  
Last Updated On: 5/5/2024

Report Actions  
Check Error(s) and Warning(s)  
Delete Report

Helpful Links  
FFDR (PAPPG) [C](#)  
FFDR FAQs  
FFDR Definitions

See Foreign Financial Disclosure Report (PAPPG) [C](#) for instructions regarding what organizations must disclose.

\* Required

Foreign Source Ownership and Control

\* 1. Is National Science Foundation, or any part thereof, owned or substantially controlled by a foreign source based in a country of concern? (i.e., [People's Republic of China](#), [Islamic Republic of Iran](#), [Democratic Republic of Korea](#), or [Russian Federation](#)) [What is owned or substantially controlled?](#) [What is a foreign source?](#)

☐ No, National Science Foundation, or any part thereof, is not owned or substantially controlled by a foreign source  
☒ Yes, National Science Foundation, or any part thereof, is owned or substantially controlled by a foreign source

Required Section	Last Updated	Compliance Status <a href="#">Key</a>
Foreign Source Ownership and Control	5/5/2024	No Issues Found

Foreign Source Gifts and Contracts

\* 2. Has National Science Foundation received Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period? (i.e., [People's Republic of China](#), [Islamic Republic of Iran](#), [Democratic Republic of Korea](#), or [Russian Federation](#))

☐ No, National Science Foundation does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period  
☒ Yes, National Science Foundation has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period

Show All [v](#) Showing 0 - 0 of 0

Foreign Organizations

Foreign Organization Name	DBA/Trade Name	Compliance Status <a href="#">Key</a>	Actions
---------------------------	----------------	---------------------------------------	---------

There are currently no organizations added. You are required to add all foreign organizations that have gifts and contracts with a cumulative value of \$50,000 or more per source during the reporting period. You will be able to add gifts and contracts after an organization is added.

Show All [v](#) Showing 0 - 0 of 0

Submit Report [C](#) Save

- Additional foreign organizations can be added by clicking the Add Foreign Organization button.
- Click the Save button to continue working on the positive report.

Add Foreign Organization [X](#)

Only add an organization if the cumulative value of its gifts and contracts from a foreign country of concern is \$50,000 or more per source during the reporting period

\* Required

Foreign Source Legal Name (Conditionally required [C](#))  
Test

DBA/Trade Name (Conditionally required [C](#))  
Test

\* Country  
Democratic People's Republic of Korea (North Korea) [v](#)

\* City  
Test

Add Foreign Organization Cancel





# Preparing and Submitting a Positive Report (cont'd)

FFDR Preparers add all gifts and contracts with a cumulative value of \$50,000 or more per source received during the reporting period.

- Click the **Question 2 Manage Gifts or Contracts** link in the Foreign Source Organizations table to add gifts and contracts from each foreign organization.

2024 Foreign Financial Disclosure Report

Gifts and contracts with a cumulative value of \$50,000 or more are **required** for all foreign organizations on the report. Add all gifts and contracts from each foreign organization by clicking "Manage Gifts or Contracts" in the table below.

**Organization Details:** National Science Foundation  
2415 EISENHOWER AVE  
ALEXANDRIA, VA 22314-4684  
US  
[View SAM Legal Business Name](#)

**Unique Entity Identifier (UEI):** GGF7K5B4JSL5

**Created By:** Alan GS. Alphaman **Created On:** 5/5/2024

**Last Updated By:** Alan GS. Alphaman **Last Updated On:** 5/6/2024

**Due Date:** 7/31/2024

**Report Actions**

☒ Check Error(s) and Warning(s)

☐ Delete Report

**Helpful Links**

[FFDR \(PAPPG\)](#)

[FFDR FAQs](#)

[FFDR Definitions](#)

See Foreign Financial Disclosure Report (PAPPG) for instructions regarding what organizations must disclose.

**\* Required**

**Foreign Source Ownership and Control**

\* 1. Is National Science Foundation, or any part thereof, owned or substantially controlled by a foreign source based in a country of concern? (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation) What is owned or substantially controlled? What is a foreign source?

☐ No, National Science Foundation, or any part thereof, is not owned or substantially controlled by a foreign source

☒ Yes, National Science Foundation, or any part thereof, is owned or substantially controlled by a foreign source

Required Section	Last Updated	Compliance Status (Key)
Foreign Source Ownership and Control	5/6/2024	<input checked="" type="radio"/> No Issues Found

**Foreign Source Gifts and Contracts**

\* 2. Has National Science Foundation received Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period? (i.e., People's Republic of China, Islamic Republic of Iran, Democratic Republic of Korea, or Russian Federation)

☐ No, National Science Foundation does not have Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period

☒ Yes, National Science Foundation has Foreign Source Gifts and Contracts from a foreign source based in a country of concern with a cumulative value of \$50,000 or more per source during the reporting period

Show All

Showing 1 - 1 of 1

Foreign Organization Name	DSIA/Trade Name	Compliance Status (Key)	Actions
Test	Test	Form not checked	<a href="#">Edit Foreign Organization</a> <a href="#">Manage Gifts or Contracts</a> <a href="#">Delete Foreign Organization</a>

Show All

Showing 1 - 1 of 1

**Submit Report** **Save**





# Preparing and Submitting a Positive Report (cont'd)

## FFDR Preparers add gift(s) on the Gift Details screen.

3. Use the **Add Gift button** to report gifts for Question 2. There are ~ 20 questions that must be answered on the Gift Details screen when reporting a gift. Depending on answers provided for certain questions, additional information must be entered.
- After entering the gift details, **click the Save Gift button**.
- Research.gov will run the automated compliance validation checks, and any error or warning messages will display at the top of the Gift Details screen. The report can be saved, but any identified errors must be corrected in order to submit the report.
- After saving the gift details, the FFDR Preparer can add another gift, delete the gift, or return to the Gifts and Contracts screen.

Foreign Source Gifts and Contracts - Test

You must disclose in the report all gifts or contracts received, directly or indirectly, with a cumulative value of \$50,000 or more per source during the reporting period. This includes support received by a foundation or a related entity.

What should be considered a gift or contract?

Foreign Financial Disclosure Report (PAPPG) [?](#)

\* Required

Show All

Showing 0 - 0 of 0

Gifts and Contracts

3 Add Gift Add Contract

Gift or Contract ID Date Added Amount Compliance Status [x] Actions

There are currently no gifts or contracts added. For each foreign organization, you are required to add all gifts and contracts with a cumulative value at \$50,000 or more per source during the reporting period. After a gift or contract is added you will be able to provide additional details.

Show All

Showing 0 - 0 of 0

Return to Report Main Page

Gift Details

Test

Foreign Financial Disclosure Report (PAPPG) [?](#)

Save Gift Add Another Gift Return to Gifts and Contracts Delete Gift

\* Required

Gift from a Foreign Source

\* 1. Gift Amount (in U.S. dollars)

\$100,000

\* 2. Date Gift Received (MM/DD/YYYY)

10/03/2024

\* 3. Is this a multiyear gift?

☒ No

☐ Yes

Type of Foreign Source

\* 4. Is the gift from a foreign government, including but not limited to any agency of a foreign government?

☐ No

☒ Yes (must answer question 5)

\* 5. Is the gift from a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states?

☐ No

☒ Yes (must answer question 10)

\* 6. Is the gift from an individual who is not a citizen or a national of the United States or a trust territory or protectorate thereof?

Gift Details - 294

Test

Foreign Financial Disclosure Report (PAPPG) [?](#)

The form contains the following error(s) which must be fixed before submitting the report.

- The following required field(s) are missing for Country of Attribution: 10. Country Attributable to Other Foreign Source
- The following required field(s) are missing for Specific Restricted or Conditional Gift Provisions: 18. Other Category Detailed Description

Save Gift Add Another Gift Return to Gifts and Contracts Delete Gift

\* Required

Gift from a Foreign Source

\* 1. Gift Amount (in U.S. dollars)

\$100,000

\* 2. Date Gift Received (MM/DD/YYYY)

10/03/2024

\* 3. Is this a multiyear gift?





# Preparing and Submitting a Positive Report (cont'd)

FFDR Preparers add contract(s) on the Contract Details screen.

3. Use the **Add Contract button** to report contracts for Question 2. There are ~ 18 questions that must be answered on the Contract Details screen when reporting a contract. Depending on answers provided for certain questions, additional information must be entered.

- After entering the contract details, **click the Save Contract button**.
- Research.gov will run the automated compliance validation checks, and any error or warning messages will display at the top of the Contract Details screen. The report can be saved, but any identified errors must be corrected in order to submit the report.
- After saving the contract details, the FFDR Preparer can add another contract, delete the contract, or return to the Gifts and Contracts screen.

Foreign Source Gifts and Contracts - Test

You must disclose in the report all gifts or contracts received, directly or indirectly, with a cumulative value of \$50,000 or more per source during the reporting period. This includes support received by a foundation of the institution or a related entity.

What should be considered a gift or contract?

Required

Show All

Showing 1 - 1 of 1

Gifts and Contracts

3 Add Gift Add Contract

Gift or Contract	ID	Date Added	Amount	Compliance Status (Ex)	Actions
Gift	294	5/7/2024	\$100,000	No issues found	✎ Edit Gift Details 🗑 Delete Gift

Show All

Showing 1 - 1 of 1

Return to Report Main Page

Contract Details - 299

Test

Foreign Financial Disclosure Report (FFDR) (PAPPG) (F)

Save Contract Add Another Contract Return to Gifts and Contracts Delete Contract

Required

Contract with a Foreign Source

\* 1. Contract Amount (in U.S. dollars)

\$500,000

\* 2. Contract Start Date (MM/DD/YYYY)

08/08/2023

\* 3. Contract End Date (MM/DD/YYYY)

12/31/2023

Type of Foreign Source

\* 4. Is the contract from a foreign government, including but not limited to any agency of a foreign government?

☐ No

☒ Yes (must answer question 5)

\* 5. Is the contract with a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states?

☒ No

☐ Yes (must answer question 10)

\* 6. Is the contract with an individual who is not a citizen or a national of the United States or a...

Contract Details - 299

Test

Foreign Financial Disclosure Report (FFDR) (PAPPG) (F)

Save Contract Add Another Contract Return to Gifts and Contracts Delete Contract

Required

Contract with a Foreign Source

\* 1. Contract Amount (in U.S. dollars)

\$500,000

\* 2. Contract Start Date (MM/DD/YYYY)

08/08/2023

\* 3. Contract End Date (MM/DD/YYYY)

12/31/2023

Type of Foreign Source

\* 4. Is the contract from a foreign government, including but not limited to any agency of a foreign government?

☐ No

☒ Yes (must answer question 5)

\* 5. Is the contract with a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states?

☒ No

☐ Yes (must answer question 10)

\* 6. Is the contract with an individual who is not a citizen or a national of the United States or a...

The form contains the following error(s) which must be fixed before submitting the report.

- The following required text(s) are missing for Specific Restricted or Conditional Contract Provisions 15. Detailed Description





# Preparing and Submitting a Positive Report (cont'd)

**FFDR Preparers review the Gifts and Contracts table to ensure all required gifts and contracts have been added.**

3. Saved gifts and contracts will display in the Gifts and Contracts table. Compliance status for each gift and contract is also shown. (If returning to an in-progress report, navigate to this table by clicking the Manage Gifts or Contracts link in the Actions column of the Foreign Organizations table at the bottom of the report main page.)

- Listed gifts and contracts may be edited or deleted using the links in the Actions column.
- Additional gifts and contracts can be added by using the Add Gift and Add Contract buttons.
- Once all gifts and contracts have been added, click the Return to Report Main Page button to submit the report.

### Foreign Source Gifts and Contracts - Test

You must disclose in the report all gifts or contracts received, directly or [indirectly](#), with a **cumulative value of \$50,000 or more per source during the reporting period**. This includes support received by a foundation of the institution or a related entity.  
[What should be considered a gift or contract?](#)

Foreign Financial Disclosure Report (PAPPG) [↗](#)

**\* Required**

Show All ▾

Showing 1 - 2 of 2

**\* Gifts and Contracts** **3**

Add Gift Add Contract

Gift or Contract ▾	ID ▾	Date Added ▾	Amount ▾	Compliance Status [Key] ▾	Actions
Contract	299	5/7/2024	\$500,000	✔ No issues found	<a href="#">Edit Contract Details</a> <a href="#">Delete Contract</a>
Gift	294	5/7/2024	\$100,000	✔ No issues found	<a href="#">Edit Gift Details</a> <a href="#">Delete Gift</a>

Show All ▾

Showing 1 - 2 of 2

Return to Report Main Page





# Preparing and Submitting a Positive Report (cont'd)

## FFDR Preparers submit the report

4. Click the **Submit Report button** to initiate the report submission process.

  - FFDR Preparer reviews report information and then clicks the Next button to proceed.
  - FFDR Preparer certifies and then clicks the Sign and Submit button.
  - Note that submitted reports can be edited in Research.gov after submission and resubmitted until the report due date.

4 Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information ✓ 2. Certify and Submit

Review Report Information

Organization Information

Organization National Science Foundation	Unique Entity Identifier (UEI) GGF7K5B4JSL5
Submitting Foreign Financial Disclosure Report Preparer Alan GS Alphaman	Address 2415 EISENHOWER AVE ALEXANDRIA, VA 22314-4684 US

Report Information

Foreign Source Ownership and Control Organizations	Program Changes
Test	Test

Foreign Source Gifts and Contracts Organizations	Cumulative Total
Test	\$600000

Cancel Next

Submit 2024 Foreign Financial Disclosure Report

1. Review Report Information ✓ 2. Certify and Submit ✓

Certify and Submit

\* Required

Foreign Source Disclosure Report Certification(s)

I certify that, in accordance with Section 10339B, Foreign Financial Disclosure Report, of the CHIPS and Science Act of 2022, (42 U.S.C. § 19040), the organization is herewith reporting all "current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source associated with a foreign country of concern," as defined in the PAPPG Introduction, Section D. Misrepresentations and/or omissions may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§ 287, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.

☒ \* I have read and agree to the certifications listed above

By clicking the 'Sign and Submit' button you are electronically signing, as well as, submitting your report(s).

Previous Sign and Submit

