



U.S. National Science Foundation  
Office of Award Management (OAM)

# OVERVIEW OF AWARD MANAGEMENT

## NSF EPSCOR PI MEETING



# ACRONYMS

U.S. NATIONAL SCIENCE FOUNDATION (NSF)

**OFFICE OF AWARD MANAGEMENT (OAM)**

GRANTS MANAGEMENT SPECIALIST (GMS)

**PROPOSAL AND AWARD POLICIES AND PROCEDURES GUIDE (PAPPG)**



# MEET THE OAM TEAM



**Cartia** (*Car-ti-a*) Brown-Morgan,  
GMS

Cartia is a Grants Management Specialist for the Engineering and Technology Branch.



**L. Rashawn Farrior**,  
Branch Chief

Rashawn is the Branch Chief for the Engineering and Technology Branch.



**Jannele Gosey**,  
Team Lead

Jannele is the Team Lead for the Engineering and Technology Branch.



**Mikki Slade**,  
GMS

Mikki is a Grants Management Specialist for Engineering and Technology Branch.





# KEY TOPICS

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 **ACRONYMS**

 **MEET OAM**

 **ROLES & RESPONSIBILITIES**

 **WORKING RELATIONSHIPS**

 **POST-AWARD BUDGET CHANGES**

**NO-COST EXTENSIONS (NCE)** 

**REPORTING REQUIREMENTS** 

**PROCESSING DELAYS** 

**ADDITIONAL RESOURCES** 

**CONTACT INFORMATION** 



# ROLES & RESPONSIBILITIES

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## PRINCIPAL INVESTIGATOR (PI)

is the individual(s) designated by the proposer, and approved by NSF, who will be responsible for the scientific or technical direction of the project.

## PROGRAM OFFICER (PO)

is responsible for the scientific, technical, and programmatic review and evaluation of proposals and for recommending that proposals be declined or awarded.

## SPONSORED RESEARCH OFFICE (SRO)

Full responsibility for the conduct of project activity and for adhering to the award conditions. Must ensure that the institution is in compliance to all applicable Federal requirements and to manage all expenditures and actions prudently.

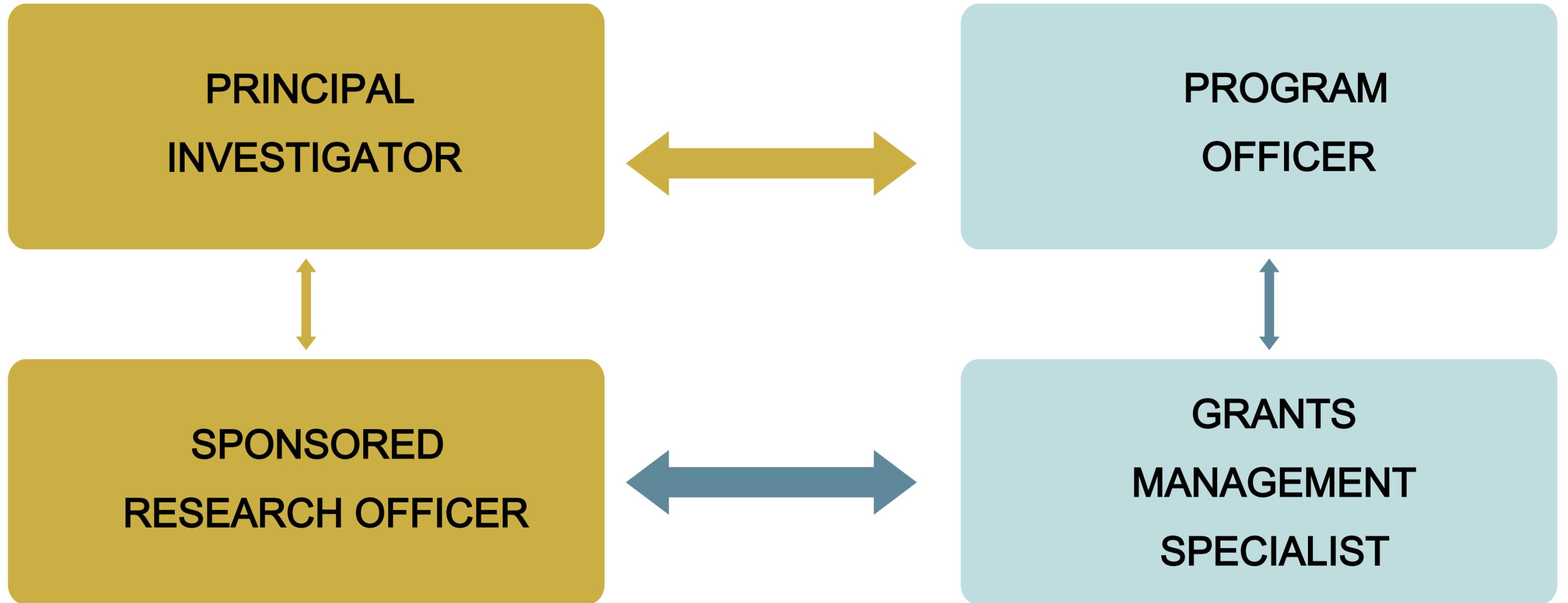
## GRANTS MANAGEMENT SPECIALIST (GMS)

is responsible for the award and administration of the majority of NSF's assistance awards – i.e., grants, cooperative agreements, and fellowship awards, recommended for support by NSF program offices.



# WORKING RELATIONSHIPS

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# POST-AWARD BUDGET CHANGES

## PAPPG CHAPTER X.A.3

The [Research Terms and Conditions \(RTC\), Appendix A](#), (NSF column) and the NSF Prior Approval Matrix for State & Local Governments and For-Profit Organizations, as applicable, provide a consolidated listing of prior approvals that are required to be obtained from the Foundation for NSF awards. Unless otherwise specified in the award notice or the applicable award general terms and conditions, no additional prior approvals beyond those specified in RTC Appendix A are required. Chapter VII.A.2 also provides a listing of required notifications to NSF.

attorneys, accountants, or investment counselor, whether or not employees of the non-Federal entity in connection with establishment or reorganization.													
<b>Participant support costs</b>	200.407(t)												
Transfer of funds into the participant support cost category.	200.456	Waived	Waived <sup>4</sup>	Waived	Required <sup>13</sup>	Waived	Waived	Waived	Required	Waived			
Transfer of funds budgeted for participant support costs to other categories of expense.	200.308(c)(5)	Required	Required	Required	Waived <sup>5</sup>	Required	Required	Required	Required	Required	Required	Required	Required
<b>Pre-award costs</b>	200.407(u)												
Inclusion of allowable pre-award costs.	200.458	Waived	Waived	Waived	Waived	Waived	Waived	Waived	Required	Waived			
Incur project costs 90 calendar days before the Federal awarding agency makes the Federal award.	200.308(e)(1)	Waived	Waived	Waived	Waived	Waived	Waived	Waived	Required	Waived			
Incur project costs more than 90 calendar days pre-award.	200.308(e)(1)	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required
<b>Rearrangement and reconversion costs</b>	200.407(v)												
Direct charge special arrangements and alterations costs incurred specifically for a Federal award.	200.462(a)	Required	Required <sup>2</sup>	Required	Waived <sup>12</sup>	Required	Required	Required	Required	Required	Required	Required	Required
<b>Selling and marketing costs</b>	200.407(w)												
Costs of selling and marketing any products or services of the non-Federal entity (unless allowed under §200.421).	200.467	Required	Required	Required	Required	Required	Required	Required	Required <sup>15</sup>	Required	Required	Required	Required
<b>Taxes (including Value Added Tax)</b>	200.407(x)												
Use of foreign tax reimbursement for approved activities under the Federal award.	200.470(c)	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required
<b>Travel costs</b>	200.407(y)												
Inclusion of travel costs for officials covered by 200.444 General costs of government.	200.474(a)	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required
Travel costs for dependents for travel of duration of six months or more.	200.474(c)(2)	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required	Required
<b>* Any of the authorities may be over-riden by a special term or condition of award.</b>													
<sup>1</sup> Except where specified otherwise in this matrix, the terms and conditions of award, or the applicable program solicitation or award notice.													
<sup>2</sup> This action requires the prior written approval of the cognizant NSF Program Officer.													
<sup>3</sup> Rearrangement and reconversion costs under \$25,000 may be approved by grantees.													
<sup>4</sup> Unless funds are being moved into the "Other" category of participant support.													
<sup>5</sup> Waived, funds added to the amount available for the project.													
<sup>6</sup> Required for the PI and any other individuals specifically named in the Notice of Award.													
<sup>7</sup> Waived, but costs not specifically covered in Subpart F are subject to the NIH Grants Policy Statement (NIHGPS).													
<sup>8</sup> Waived, unless change in scope. For the purposes of Kirschstein-National Research Service Award (NRSA) programs, this term does not apply. NIH will continue to use the terms trainees, trainee-related expenses, and trainee travel in accordance with NRSA Regulations. Participant support costs are only allowable when identified in specific Funding Opportunity Announcements (FOAs).													

Research Terms and Conditions Appendix A  
Prior Approval Matrix  
November 12, 2020

	Reference	RTC Overlay	NSF	DOE	NIH	USDA NIFA	DOC	NASA	DHS-GFAD	DHS-FEMA
<sup>9</sup> Waived unless change in scope and except when subrecipient is foreign.										
<sup>10</sup> Waived except when Notice of Award indicates prior approval is required.										
<sup>11</sup> Waived unless change in scope.										
<sup>12</sup> Waived for alterations and renovations costing up to \$500,000, unless change in scope or rebudgeting into										

# NO-COST EXTENSIONS (NCE)

WWW.RESEARCH.GOV

Must have funds remaining on award (or perform upward modification in ACM\$).

Funds cannot have lapsed. Awardees have a maximum of 6 years to spend down funds made in a certain Fiscal Year. For instance, on 9/30/2026, all Fiscal Year 2020 funds remaining in ACM\$ accounts will be canceled (returned to Treasury).

Must have work left to complete that is in the original scope of the project.

- Approvals:
  - 1st NCE – Grantee can self-approve for 1 year
  - 2nd NCE – Program Level Approval
  - 3+ NCE – Program Recommend, OAM Level Approval



# TOP 3 REASONS FOR DELAYS

IN AWARD PROCESSING

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## OVERDUE PROJECT REPORTS

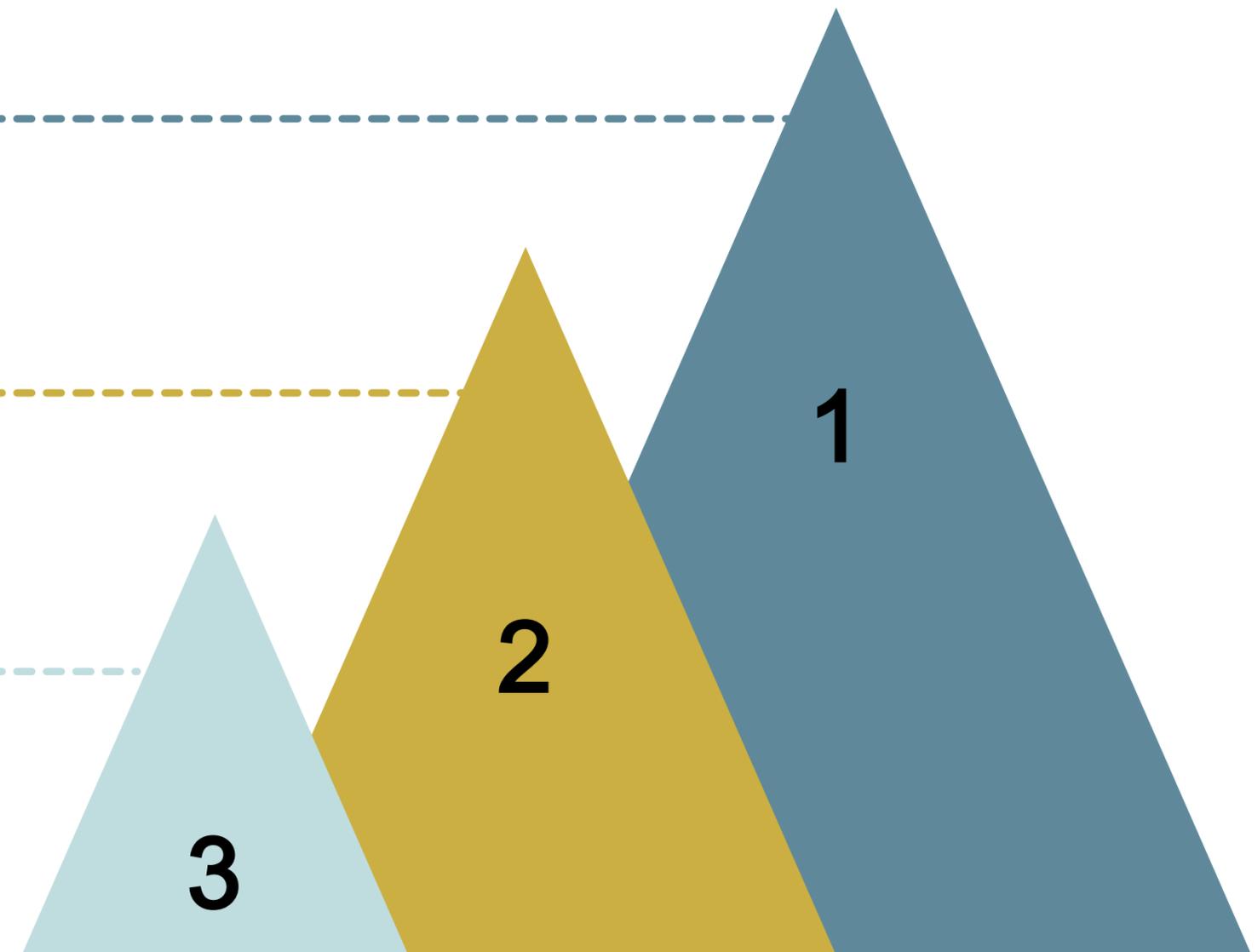
Annual Project Reports (APR), Interim Reports, Final Annual Project Reports (FPR), and Project Outcomes Report for the General Public (POR).

## NOT FOLLOWING SOLICITATION REQUIREMENTS

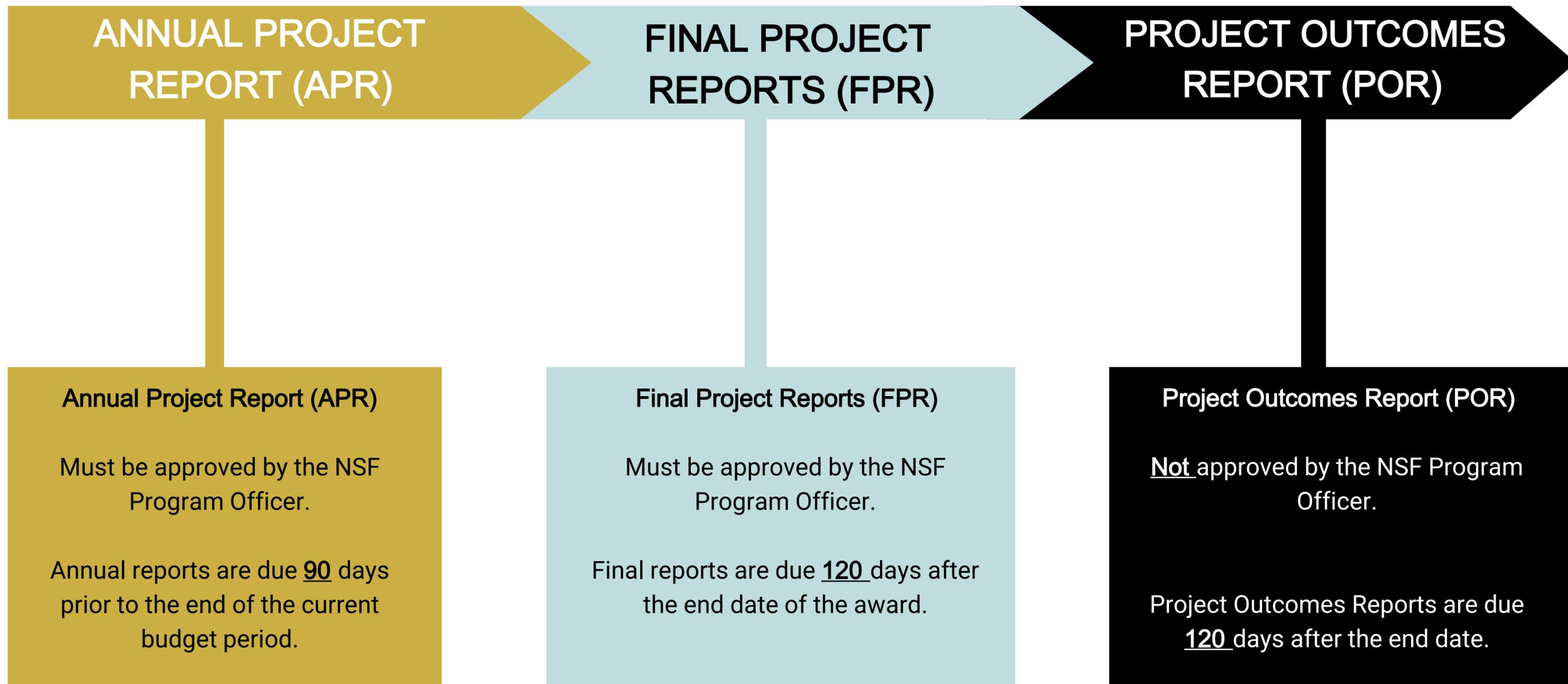
OAM reviews the proposal to ensure budgetary and administrative conditions are in accordance with the solicitation.

## UNALLOWABLE OR UNJUSTIFIED BUDGET ITEMS

PAPPG II.D.2.f(xiii) & 2 CFR 200, Subpart E provides comprehensive information regarding costs allowable under Federal awards.



# REPORTING REQUIREMENTS



## Background

While publication of the PAPPG has been deferred, NSF will continue to publish financial assistance policies to advance administration priorities, implement statutes, memorialize current practices, and implement other time sensitive matters. NSF will issue policy changes via NSF supplemental Policy Notices in the interim.<sup>1</sup>

### Changes to the NSF [Public Access Repository \(PAR\)](#) include:

- Removing the 12-month publication embargo from all Portable Document Format (PDF) product types.
- Enabling input of Author Accepted Manuscripts (AAM) and Version of Record (VoR).
- Making machine-readable versions of publications in Extensible Markup Language (XML) format available for download.
- Enabling PAR Identifiers (IDs) to behave as Persistent Identifiers (PID), similar to Digital Object Identifiers (DOIs).

### Changes to [Research.gov](#) Project Reports include:

- Auto-populating PAR IDs as Persistent ID links from PAR into Project Reports for journal articles, conference papers, datasets and workshop reports.
- Auto-populating Author Accepted Manuscript (AAM) and Version of Record (VOR) metadata from PAR into Project Reports for journal articles, conference papers and workshop reports.
- Prohibiting direct dataset entry into project reports within Research.gov.

## Policy revisions

The following sections of the PAPPG are revised to align with NSF's [Gold Standard Science implementation plan](#):

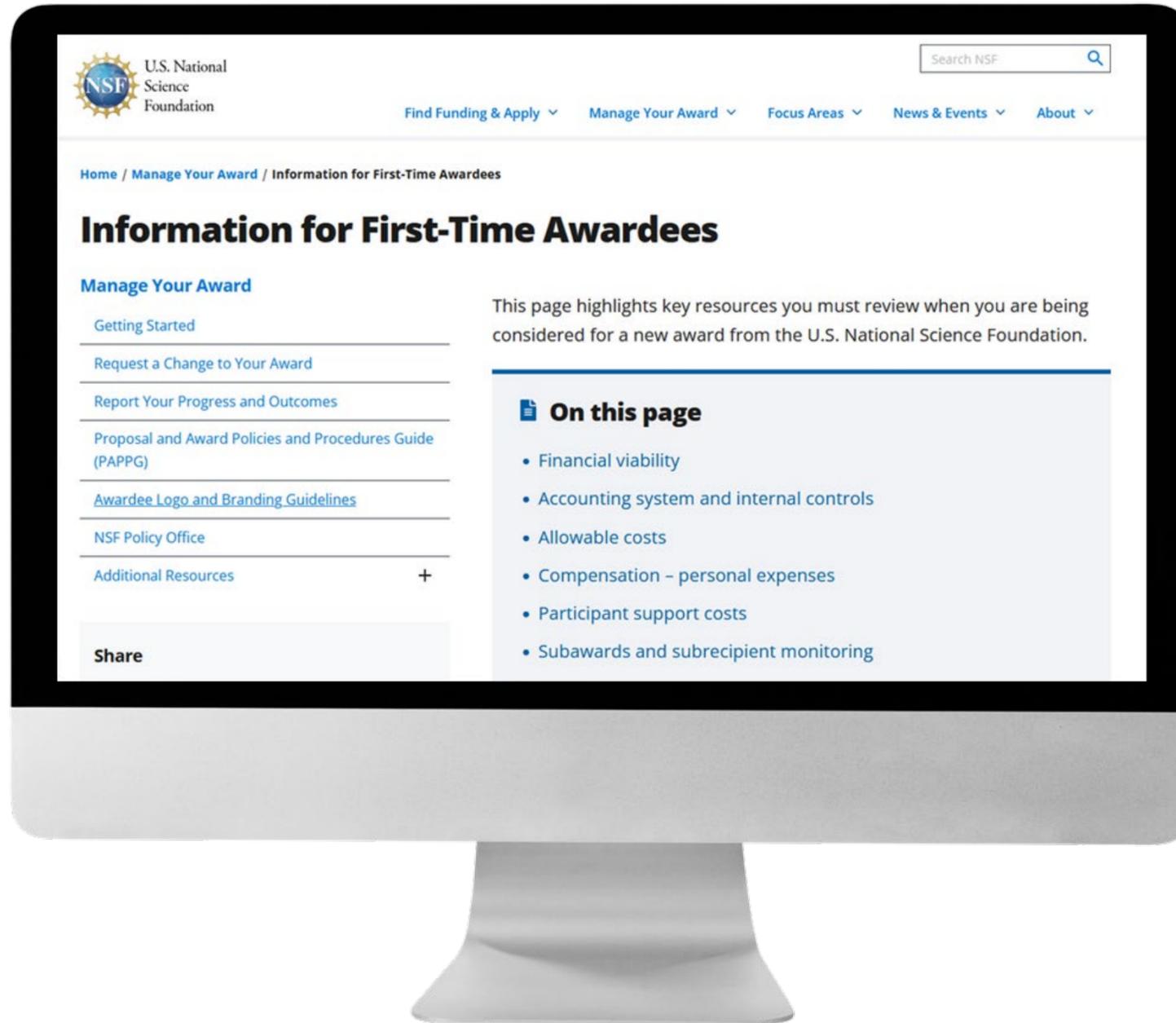
1. [Public Access to Research Products](#) (Chapter XI.D.2.c)
2. [Dissemination and Sharing of Research Results](#) (Chapter XI.D.4)
3. [Data Management and Sharing Plan](#) (Chapter II.D.2.(i).(ii))

EFFECTIVE JANUARY 22, 2026

# POLICY NOTICE: IMPLEMENTATION OF POLICY CHANGES TO PROPOSAL AND AWARD POLICIES AND PROCEDURES GUIDE (PAPPG) 24-1, SUPPLEMENT 2

The information set out in this notice takes precedence over existing policies and procedures in PAPPG 24-1. It applies for all financial assistance awarded on or after Jan. 22, 2026.





# INFORMATION FOR FIRSTTIME AWARDEES

This page highlights key resources you must review when you are being considered for a new award from the U.S. National Science Foundation.

<https://www.nsf.gov/awards/first-time-awardees>



# CONTACT INFORMATION

Established Program to Stimulate Competitive Research (EPSCoR)

## TECHNICAL QUESTIONS ABOUT AN NSF AWARD

Contact the managing program officer listed on your award notice.

## NSF AWARD-SPECIFIC QUESTIONS



DGAOIA@nsf.gov  
www.nsf.gov

