

LEGEND

✓ = The system runs a compliance check and an Error or Warning message will be displayed (as noted in the “Error/Warning” column) if the proposal fails the compliance check.

N/A = The system does not run a compliance check because the proposal rule doesn’t apply for this submission.

AUTOMATED GRANTS.GOV PROPOSAL INITIAL COMPLIANCE CHECKS PERFORMED BY RESEARCH.GOV AS OF JANUARY 30, 2023			
#	COMPLIANCE CHECK	ERROR/ WARNING	GRANTS.GOV PROPOSALS
PROPOSAL PRE-CHECK VALIDATIONS			
1	Selected funding opportunity due dates are not more than 12 months from the current date.	Error	✓
2	Selected funding opportunity does not currently have any available due dates for submission.	Error	✓
3	Selected funding opportunity is not enabled in Research.gov.	Error	✓
4	Selected Directorate, Division, or Program in the proposal does not correspond with the selected funding opportunity.	Error	✓
5	Unique Entity Identifier (UEI) for the prime organization in the proposal must be valid and active in the System for Award Management (SAM).	Error	✓
6	NSF ID for the Authorized Organizational Representative (AOR) in the proposal must be valid and the individual must have an organization-approved AOR role at the prime organization.	Error	✓
7	NSF ID for the Principal Investigator (PI) in the proposal must be valid and the individual must have an organization-approved PI role at the prime organization.	Error	✓
8	Prime organization listed in the proposal is an individual, but the proposal type is not Fellowship.	Error	✓
9	Prime organization listed in the proposal is an individual, but the selected funding opportunity is not a Fellowship solicitation.	Error	✓
10	Prime organization listed in the proposal is not an individual, but the proposal type is Fellowship.	Error	✓
11	Prime organization listed in the proposal is not an individual, but the selected funding opportunity is a Fellowship solicitation.	Error	✓
12	Selected proposal type is invalid for a proposal that requires mandatory cost sharing. Refer to the relevant program solicitation for further details.	Error	✓

Notes:

- List provides current initial compliance checks (i.e., proposal pre-check validations) performed by the Research.gov system as of January 30, 2023, for proposals submitted to NSF in Grants.gov.
- If a Grants.gov proposal does not pass all initial compliance checks, the proposal cannot be processed in Research.gov. If an initial compliance check error is triggered, a system-generated email will be sent to the Principal Investigator listing the issue(s) that must be resolved before the proposal can be resubmitted in Grants.gov. Initial compliance check issues must be fixed in the proposal preparation source system (i.e., Grants.gov, or System-to-System) and then resubmitted to NSF via Grants.gov.
- The Research.gov automated proposal compliance checks for full proposals will be run on proposals submitted via Grants.gov after they pass all initial compliance checks (i.e., proposal pre-check validations). Please see the [Automated Compliance Checking of NSF Proposals](#) page for the list of current Research.gov automated proposal checks.
- Not all NSF policy requirements are currently enforced by automated compliance checks.
- Compliance checks that trigger an error message will stop proposal submission to NSF.
- Automated proposal compliance checks listed in this table apply to Grants.gov proposals in response to NSF solicitations, program descriptions, and program announcements, as well as to proposals submitted to the *Proposal & Award Policies & Procedures Guide* (PAPPG) as the funding opportunity type.

Questions may be directed to the NSF Help Desk at 1-800-381-1532 (7:00 AM - 9:00 PM ET; Monday–Friday except federal holidays) or via email to rgov@nsf.gov.