NSF 24-541: ACED: Accelerating Computing-Enabled Scientific Discovery (ACED)

Program Solicitation

Document Information

Document History

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National Science Foundation

Directorate for Computer and Information Science and Engineering Division of Information and Intelligent Systems Division of Computing and Communication Foundations Division of Computer and Network Systems Office of Advanced Cyberinfrastructure Directorate for Biological Sciences Division of Biological Infrastructure Division of Environmental Biology Division of Integrative Organismal Systems Division of Molecular and Cellular Biosciences Directorate for Engineering Division of Electrical, Communications and Cyber Systems Directorate for Mathematical and Physical Sciences **Division of Mathematical Sciences** Division of Chemistry **Division of Physics** Directorate for Technology, Innovation and Partnerships Innovation and Technology Ecosystems

Full Proposal Deadline(s) (due by 5 p.m. submitting organization's local time):

June 17, 2024

Emerging Ideas Proposals Only

September 17, 2025

Discovery Proposals Only

September 17, 2026

Discovery Proposals Only



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Important Information And Revision Notes

Deadlines for Discovery Proposals have been revised.

Any proposal submitted in response to this solicitation should be submitted in accordance with the NSF Proposal & Award Policies & Procedures Guide (PAPPG) that is in effect for the relevant due date to which the proposal is being submitted. The NSF PAPPG is regularly revised and it is the responsibility of the proposer to ensure that the proposal meets the requirements specified in this solicitation and the applicable version of the PAPPG. Submitting a proposal prior to a specified deadline does not negate this requirement.

Summary Of Program Requirements

General Information

Program Title:

ACED: Accelerating Computing-Enabled Scientific Discovery (ACED)

Synopsis of Program:

The ACED program seeks to harness computing to accelerate scientific discovery, while driving new computing advancements. The intent is to catalyze advancements on both sides of a virtuous cycle that: (a) benefit scientific disciplines through computational technologies and (b) foster novel computing technologies that will enable advances beyond the specific use cases or domains originally targeted. The program seeks continuous collaborations between at least two groups of researchers. One group is expected to consist of researchers in computing, which, for the purposes of this solicitation are those disciplines that are supported by the <u>Core</u> Programs of National Science Foundation's (NSF) <u>Computer and Information Science and Engineering</u> (CISE) directorate. The other group of researchers are expected to represent another scientific or engineering discipline, which, for the purposes of this solicitation, are defined as those supported within existing programs of the following NSF directorates: <u>Biological Sciences</u>, <u>Engineering</u>, or <u>Mathematical and Physical Sciences</u>.

The ACED program solicits proposals in two tracks:

Track I: Emerging Ideas Proposals: This track is intended to support speculative multidisciplinary projects that explore bold new research directions. The goal of these projects should be to obtain preliminary results, refine the overall research plan based on these results, and garner insights into whether these advances generalize beyond the targeted use case or domain. Projects are limited to \$500,000 in total budget, with durations of up to 18-24 months. Proposals accepted in 2024 Deadline Date.

Track II: Discovery Proposals: The objective of this track is to support transformative interdisciplinary research that will significantly advance both computing and the scientific discipline(s) to be studied. Proposals should clearly identify the scientific problem(s) to be addressed; the specific computing techniques to be developed; and be supported by preliminary collaborations and/or results that demonstrate the potential of the proposed ideas. Projects are limited to \$750,000 per year for a duration of up to 4 years for a total budget of up to \$3,000,000. Proposals accepted in 2025-2026 Deadline Dates.

Broadening Participation in STEM:

NSF recognizes the unique lived experiences of individuals from communities that are underrepresented and/or underserved in science, technology, engineering, and mathematics (STEM) and the barriers to inclusion and access to STEM education and careers. NSF highly encourages the leadership, partnership, and contributions in all NSF opportunities of individuals who are members of such communities supported by NSF. This includes leading and designing STEM research and education proposals for funding; serving as peer reviewers, advisory committee members, and/or committee of visitor members; and serving as NSF leadership, program, and/or administrative staff. NSF also highly encourages demographically diverse institutions of higher education (IHEs) to lead, partner, and contribute to NSF opportunities on behalf of their research and education communities. NSF expects that all individuals, including those who are members of groups that are underrepresented and/or underserved in STEM, are treated equitably and inclusively in the Foundation's proposal and award process.

NSF encourages IHEs that enroll, educate, graduate, and employ individuals who are members of groups underrepresented and/or underserved in STEM education programs and careers to lead, partner, and contribute to NSF opportunities, including leading and designing STEM research and education proposals for funding. Such IHEs include, but may not be limited to, community colleges and two-year institutions, mission-based institutions such as Historically Black Colleges and Universities (HBCUs), Tribal Colleges and Universities (TCUs), women's colleges, and institutions that primarily serve persons with disabilities, as well as institutions defined by enrollment such as Predominantly Undergraduate Institutions (PUIs), Minority-Serving Institutions (MSIs), and Hispanic Serving Institutions (HSIs).

"Broadening participation in STEM" is the comprehensive phrase used by NSF to refer to the Foundation's goal of increasing the representation and diversity of individuals, organizations, and geographic regions that contribute to STEM teaching, research, and innovation. To broaden participation in STEM, it is necessary to address issues of equity, inclusion, and access in STEM education, training, and careers. Whereas all NSF programs might support broadening participation

components, some programs primarily focus on supporting broadening participation research and projects. Examples can be found on the NSF <u>Broadening Participation in STEM</u> website.

Cognizant Program Officer(s):

Please note that the following information is current at the time of publishing. See program website for any updates to the points of contact.

- Christopher C. Yang, Program Director, telephone: (703) 292-8111, email: ACED@nsf.gov
- Accelerating Computer Enabled Discovery, telephone: (703) 292-8930, email: ACED@nsf.gov

Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):

- 47.041 --- Engineering
- 47.049 --- Mathematical and Physical Sciences
- 47.070 --- Computer and Information Science and Engineering
- 47.074 --- Biological Sciences
- 47.084 --- NSF Technology, Innovation and Partnerships

Award Information

Anticipated Type of Award: Standard Grant or Continuing Grant

Estimated Number of Awards: 42

Approximately 30 Track I awards are anticipated in year one, and approximately 12 Track II awards are anticipated over the next two years, subject to availability of funds and quality of proposals received.

Anticipated Funding Amount: \$15,000,000

Estimated program budget, number of awards and average award size/duration are subject to the availability of funds.

Eligibility Information

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Institutions of Higher Education (IHEs) Two- and four-year IHEs (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Special Instructions for International Branch Campuses of US IHEs: If the proposal includes funding to be provided to an international branch campus of a US institution of higher education (including through use of subawards and consultant arrangements), the proposer must explain the benefit(s) to the project of performance at the international branch campus, and justify why the project activities cannot be performed at the US campus.
- Non-profit, non-academic organizations: Independent museums, observatories, research laboratories, professional societies and similar organizations located in the U.S. that are directly associated with educational or research activities.

Who May Serve as PI:

Interdisciplinary teams are expected to include at least one researcher from a CISE discipline and one researcher from another NSF discipline.

By the submission deadline, any PI or co-PI must hold either:

- a tenured or tenure-track position, or
- a primary, full-time, paid appointment in a research or teaching position

Be at a US-based campus of an organization eligible to submit to this solicitation (see above), with exceptions granted for family or medical leave, as determined by the submitting organization. Individuals with *primary* appointments at for-profit non-academic organizations or at overseas branch campuses of US IHEs are not eligible.

Limit on Number of Proposals per Organization:

There are no restrictions or limits.

Limit on Number of Proposals per PI or co-PI: 1

An investigator may participate as Principal Investigator (PI) or co-Principal Investigator (co-PI) in **no more than one (1)** proposal submitted in response to any category of this solicitation per deadline.

These eligibility constraints will be strictly enforced in order to be fair and consistent. In the event that an individual exceeds this limit, the proposal with the earliest date and time of proposal submission will be accepted and the remainder will be returned without review. **No exceptions will be made.**

Proposal Preparation and Submission Instructions

A. Proposal Preparation Instructions

- Letters of Intent: Not required
- Preliminary Proposal Submission: Not required
- Full Proposals:
 - Full Proposals submitted via Research.gov: *NSF Proposal and Award Policies and Procedures Guide* (PAPPG) guidelines apply. The complete text of the PAPPG is available electronically on the NSF website at: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg.
 - Full Proposals submitted via Grants.gov: NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov guidelines apply (Note: The NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide).

B. Budgetary Information

• Cost Sharing Requirements:

Inclusion of voluntary committed cost sharing is prohibited.

• Indirect Cost (F&A) Limitations:

Not Applicable

• Other Budgetary Limitations:

Not Applicable

C. Due Dates

• Full Proposal Deadline(s) (due by 5 p.m. submitting organization's local time):

June 17, 2024

Emerging Ideas Proposals Only

September 17, 2025

Discovery Proposals Only

September 17, 2026

Discovery Proposals Only

Proposal Review Information Criteria

Merit Review Criteria:

National Science Board approved criteria. Additional merit review criteria apply. Please see the full text of this solicitation for further information.

Award Administration Information

Award Conditions:

Standard NSF award conditions apply.

Reporting Requirements:

Standard NSF reporting requirements apply.

I. Introduction

Scientific discovery and computational advancements have long been intertwined, catalyzing mutual progress. Scientific exploration has driven computing innovations, and the resulting technologies have enabled new scientific advances, fueling a virtuous cycle of discovery. Supercomputing arose to meet the challenge of performing massive numeric simulations in the sciences; the World Wide Web (WWW) was initiated to share scientific data from the European Organization for Nuclear Research (CERN); and the Human Genome Project provides an example of novel systems thinking that led to new, collaborative ways of tackling grand challenges in genetics and beyond. In turn, these scientific endeavors motivated further advancements in computer algorithms, architectures, and systems, with areas of application extending far beyond the original scientific targets.

Recent breakthroughs continue to demonstrate the intertwined nature of progress across computing and science. Al systems have been used to predict a protein's 3D structure solely from its primary sequence; automated reasoning tools have been used to resolve Keller's long-unproved conjecture in geometry; and computational analysis has been used to create unprecedented telescopic imagery, including of the Sagittarius A* black hole at the center of our galaxy. Such examples point to an opportunity to facilitate the next leap forward in harnessing computing to accelerate scientific discovery, inspiring reciprocal innovations in computing, which may benefit a broad set of domains.

The ACED program seeks to catalyze reciprocal advancements that: (a) accelerate scientific discoveries through computational technologies and (b) foster novel computing technologies that will enable advances beyond the specific use cases or domains originally targeted. While there are active research communities developing new computing technologies for workflows, use cases, and problems within specific domains, there are relatively few cases where technological innovations are purposely designed to be transferred across disciplinary boundaries. The co-evolution of the scientific method and the next generation of computing techniques has the potential to generalize across multiple scientific domains, leading to parallel advances in the sciences and computing.

II. Program Description

A key objective of the ACED program is to grow an interdisciplinary research community to accelerate advancements in computer-enabled scientific discovery. The program seeks continuous collaborations between two groups of researchers.

The first group consists of researchers in computing, which, for the purposes of this solicitation, is broadly defined as any research topic supported in the Core Programs of NSF's <u>Computer and Information Science and Engineering</u> (CISE) directorate. The second group of researchers represent scientific disciplines, which, for the purposes of this solicitation, are defined as topics supported within existing programs of the following NSF directorates: <u>Biological Sciences</u>, <u>Engineering</u>, or <u>Mathematical and Physical Sciences</u>. Proposals that make strong advances primarily on one side of this relationship are not in scope. Proposals that seek to apply existing computing techniques **without intent to develop** fundamental advances that leverage characteristics of the underlying scientific domain are also not in scope.

Recent reports and workshops from the National Academies of Sciences and others highlight the need for interdisciplinary research at the confluence of computing and scientific discovery. Each underscores the significant opportunities for computer-enabled scientific discovery across diverse technological and scientific domains. To further explore opportunities for co-innovation, NSF supported a series of community-driven workshops in 2023 around five high-level, interrelated themes: data, machine learning, and artificial intelligence; computational modeling and digital twins; smart sensing and analytics; rigorous and reproducible scientific reasoning; and automated, programmable, and self-driving laboratories.

The ACED program solicits ambitious and innovative projects that seek to (a) accelerate scientific discoveries through computational technologies and (b) foster novel computing technologies that will enable advances beyond the specific use cases or domains originally targeted. Projects should address specific challenges that include overcoming technological bottlenecks; accelerating scientific workflows; improving performance, productivity, reliability, and reproducibility; and/or facilitating knowledge sharing and interoperability. A non-exhaustive list of representative research questions that might be explored is included below:

- What novel in situ or remote sensing techniques are needed to enable efficient, accurate scientific data collection, unlocking new scientific frontiers? Examples include sensing at diverse resolutions and temporal and spatial scales; smart sensing technologies that operate in extreme conditions with low or intermittent power and/or connectivity; privacy-preserving sensor technology, dual or multi-purpose sensing infrastructure; and novel biosensors, quantum sensors, and nanosensors.
- What new data curation, aggregation, and analytics techniques are needed to enable predictable, highaccuracy learning and inference based on large volumes of heterogenous sensor data? Examples include novel approaches to data aggregation, curation, and quality assessment of rich multi-modal scientific data; novel machine learning methods for predictable inference over multi-modal scientific data novel methods of data; new approaches to distributed and federated learning at the edge; advanced privacy-enhancing technology methods for complex scientific data; and efficient and secure cloud computing.
- What novel and generalizable artificial intelligence techniques are needed to accelerate scientific discovery? Examples include novel natural language processing approaches for systematic scientific literature review; new generative methods in the small-data regime common in many scientific scenarios; novel large language models for generating hypotheses and designing experiments; new machine learning approaches for more accurate predictions and decision-making from numerical, imaging and text data; and novel computer vision for visual data analysis.
- What new methods are needed to ensure correctness, reliability, robustness, reproducibility, safety, and efficiency of workflow across multiple scientific domains? Examples include domain-specific programming languages for specification and multi-scale modeling; formal analysis, validation, and verification for finding erroneous, unspecified, or unexpected behaviors in models or processes; compilers for correct-by-construction translation and optimization; and proof checking and hypothesis generation (e.g., via interactive theorem provers).
- What are the theoretical foundations and technological innovations needed to enable digital twins that far exceed the capabilities of traditional modeling and simulation frameworks? Examples include real-time, multi-scale data assimilation from disparate sources; physics-based and data-driven modeling that accounts for complexity, scale, and uncertainty; dynamic, high-precision, high-speed simulation capabilities; advanced visualization using virtual, augmented, and extended reality; and frameworks to enable interoperability among diverse twins.

• What is needed to enable programmable scientific laboratories that are partially or fully automated, with or without scientists in the loop, to allow faster and more efficient ways to design and conduct experiments? Examples include methods that can dramatically shorten the time between iterations of experiments; high fidelity, computationally tractable simulation to guide experimentation; identification of experiments that are most amenable to automation and directly support the data needs for new methods; and autonomous systems that make it possible to conduct experiments that are too dangerous for human technicians.

The ACED program solicits proposals in two tracks:

Track I: Emerging Ideas Proposals: Projects are limited to \$500,000 in total budget, with durations of up to 18-24 months.

This track is intended to support speculative projects that explore bold new research directions. The goal of a Track I project should be to explore feasibility, including methods and preliminary results; refine the overall research plan based on these results; and garner insights into whether these advances generalize beyond the particular use case or domain. Proposing teams are expected to have required expertise in computing and another scientific or engineering discipline, which in this solicitation is defined as those in the following NSF directorates: <u>Biological Sciences</u>, <u>Engineering</u>, or <u>Mathematical and Physical Sciences</u>. Further outreach activities to other computing and scientific domains are also encouraged. New collaborative efforts are highly encouraged; teams do **not** need preliminary results or a track record of collaboration to apply.

Track II: Discovery Proposals: Projects are limited to \$750,000 per year for a duration of up to 4 years, for a total budget of up to \$3,000,000.

The objective of this track is to support collaborative interdisciplinary research projects that, based on preliminary results, have demonstrated the potential to significantly advance both computing and another scientific discipline(s). Track II proposals should clearly identify the scientific problem(s) to be addressed; make an effective case for why solving these problems would be significant in accelerating scientific discovery; and describe the specific computing research needed to address the associated problems. Proposing teams are expected to have preliminary results that demonstrate the potential of the proposed research. Proposals should (if appropriate) include a plan for developing a substantial field demonstration, along with a detailed evaluation plan that discusses the scope of applicability, trade-offs, and limitations.

Track I awardees are not obligated to submit Track II proposals in the future. An ACED Track I award is not required for the submission of a Track II proposal.

ACED PI Meeting: ACED aims to grow a new interdisciplinary research community. In this spirit, the program plans to host an in-person PI meeting in the fall of 2025, with participation from all funded PIs, along with other representatives from the research community, government, and industry. For each ACED award, at least one collaborating PI focusing on computing and at least one PI focusing on the another scientific area must attend the PI meeting. Travel to this annual meeting in Alexandria, VA should be budgeted in the proposal submission for proposals in both tracks.

III. Award Information

Anticipated Type of Award: Standard Grant or Continuing Grant

Estimated Number of Awards: 42

Approximately 30 Track I awards are anticipated in year one, and approximately 12 Track II awards are anticipated over the next two years, subject to availability of funds and quality of proposals received.

Anticipated Funding Amount: \$15,000,000

Estimated program budget, number of awards and average award size/duration are subject to the availability of funds.

IV. Eligibility Information

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Institutions of Higher Education (IHEs) Two- and four-year IHEs (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Special Instructions for International Branch Campuses of US IHEs: If the proposal includes funding to be provided to an international branch campus of a US institution of higher education (including through use of subawards and consultant arrangements), the proposer must explain the benefit(s) to the project of performance at the international branch campus.
- Non-profit, non-academic organizations: Independent museums, observatories, research laboratories, professional societies and similar organizations located in the U.S. that are directly associated with educational or research activities.

Who May Serve as PI:

Interdisciplinary teams are expected to include at least one researcher from a CISE discipline and one researcher from another NSF discipline.

By the submission deadline, any PI or co-PI must hold either:

- a tenured or tenure-track position, or
- a primary, full-time, paid appointment in a research or teaching position

Be at a US-based campus of an organization eligible to submit to this solicitation (see above), with exceptions granted for family or medical leave, as determined by the submitting organization. Individuals with *primary* appointments at for-profit non-academic organizations or at overseas branch campuses of US IHEs are not eligible.

Limit on Number of Proposals per Organization:

There are no restrictions or limits.

Limit on Number of Proposals per PI or co-PI: 1

An investigator may participate as Principal Investigator (PI) or co-Principal Investigator (co-PI) in **no more than one (1)** proposal submitted in response to any category of this solicitation per deadline.

These eligibility constraints will be strictly enforced in order to be fair and consistent. In the event that an individual exceeds this limit, the proposal with the earliest date and time of proposal submission will be accepted and the remainder will be returned without review. **No exceptions will be made.**

V. Proposal Preparation And Submission Instructions

A. Proposal Preparation Instructions

Full Proposal Preparation Instructions: Proposers may opt to submit proposals in response to this Program Solicitation via Research.gov or Grants.gov.

Full Proposals submitted via Research.gov: Proposals submitted in response to this program solicitation should be
prepared and submitted in accordance with the general guidelines contained in the NSF Proposal and Award
Policies and Procedures Guide (PAPPG). The complete text of the PAPPG is available electronically on the NSF
website at: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg. Paper copies of the PAPPG may be
obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from nsfpubs@nsf.gov.
The Prepare New Proposal setup will prompt you for the program solicitation number.

Full proposals submitted via Grants.gov: Proposals submitted in response to this program solicitation via Grants.gov should be prepared and submitted in accordance with the NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov. The complete text of the NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at:

 (https://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide). To obtain copies of the Application Guide and Application Forms Package, click on the Apply tab on the Grants.gov site, then click on the Apply Step 1: Download a Grant Application Package and Application Instructions link and enter the funding opportunity number, (the program solicitation number without the NSF prefix) and press the Download Package button. Paper copies of the Grants.gov Application Guide also may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from nsfpubs@nsf.gov.

In determining which method to utilize in the electronic preparation and submission of the proposal, please note the following:

Collaborative Proposals. All collaborative proposals submitted as separate submissions from multiple organizations must be submitted via Research.gov. PAPPG Chapter II.E.3 provides additional information on collaborative proposals.

See PAPPG Chapter II.D.2 for guidance on the required sections of a full research proposal submitted to NSF. Please note that the proposal preparation instructions provided in this program solicitation may deviate from the PAPPG instructions.

Contributions to Computing and Scientific Discovery: All ACED Emerging Ideas and Discovery proposals **must** contain **a separate section in the Project Description titled "Contributions to Computing and Scientific Discovery"** to describe the novel contributions to both the computing and the scientific discipline and how these advances could generalize to other scientific domains. Proposing teams are expected to have required expertise in computing and at least one other scientific discipline. Discovery proposals must make a convincing case that the collaborative contributions of the project team will be greater than the sum of each of their individual contributions.

Collaboration Plan: All ACED Emerging Ideas and Discovery proposals **must** contain a detailed **Collaboration Plan submitted as a supplementary document that should not exceed two pages.** *Proposals that do not include a Collaboration Plan will be returned without review*. The Collaboration Plan must be labeled "Collaboration Plan" and must include: 1) the specific roles of the collaborating Pls, co-Pls, other Senior Personnel and paid consultants at all organizations involved; 2) how the project will be managed across institutions and disciplines; 3) identification of the specific collaboration mechanisms that will enable cross-discipline scientific integration (e.g., workshops, graduate student exchange, project meetings at conferences, use of videoconferencing and other communication tools, software repositories, etc.) and/or cross-institution; and 4) specific references to the budget line items that support these collaboration mechanisms.

Proposal Titles: Proposal titles must begin with ACED, followed by a colon and the title of the project (i.e., ACED: Title). If you submit a proposal as part of a set of collaborative proposals, the title of the proposal should begin with
 Collaborative Research followed by a colon, then ACED followed by a colon, and the title. For example, if you are submitting a collaborative set of proposals, then the title of each would be Collaborative Research: ACED: Title.

B. Budgetary Information

Cost Sharing:

Inclusion of voluntary committed cost sharing is prohibited.

C. Due Dates

• Full Proposal Deadline(s) (due by 5 p.m. submitting organization's local time):

June 17, 2024

Emerging Ideas Proposals Only

September 17, 2025

Discovery Proposals Only

September 17, 2026

Discovery Proposals Only

D. Research.gov/Grants.gov Requirements

For Proposals Submitted Via Research.gov:

To prepare and submit a proposal via Research.gov, see detailed technical instructions available at: <u>https://www.research.gov/research-portal/appmanager/base/desktop?</u> <u>nfpb=true& pageLabel=research node display& nodePath=/researchGov/Service/Desktop/ProposalPreparationa</u> For Research.gov user support, call the Research.gov Help Desk at 1-800-381-1532 or e-mail <u>rgov@nsf.gov</u>. The Research.gov Help Desk answers general technical questions related to the use of the Research.gov system. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this funding opportunity.

For Proposals Submitted Via Grants.gov:

Before using Grants.gov for the first time, each organization must register to create an institutional profile. Once registered, the applicant's organization can then apply for any federal grant on the Grants.gov website. Comprehensive information about using Grants.gov is available on the Grants.gov Applicant Resources webpage: <u>https://www.grants.gov/web/grants/applicants.html</u>. In addition, the NSF Grants.gov Application Guide (see link in Section V.A) provides instructions regarding the technical preparation of proposals via Grants.gov. For Grants.gov user support, contact the Grants.gov Contact Center at 1-800-518-4726 or by email: <u>support@grants.gov</u>. The Grants.gov Contact Center answers general technical questions related to the use of Grants.gov. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this solicitation.

Submitting the Proposal: Once all documents have been completed, the Authorized Organizational Representative (AOR) must submit the application to Grants.gov and verify the desired funding opportunity and agency to which the application is submitted. The AOR must then sign and submit the application to Grants.gov. The completed application will be transferred to Research.gov for further processing.

The NSF <u>Grants.gov Proposal Processing in Research.gov informational page</u> provides submission guidance to applicants and links to helpful resources including the NSF <u>Grants.gov Application Guide</u>, <u>Grants.gov Proposal Processing in Research.gov how-to guide</u>, and <u>Grants.gov Submitted Proposals</u> <u>Frequently Asked Questions</u>. Grants.gov proposals must pass all NSF pre-check and post-check validations in order to be accepted by Research.gov at NSF.

When submitting via Grants.gov, NSF strongly recommends applicants initiate proposal submission at least five business days in advance of a deadline to allow adequate time to address NSF compliance errors and resubmissions by 5:00 p.m. submitting organization's local time on the deadline. Please note that some errors cannot be corrected in Grants.gov. Once a proposal passes pre-checks but fails any post-check, an applicant can only correct and submit the in-progress proposal in Research.gov.

Proposers that submitted via Research.gov may use Research.gov to verify the status of their submission to NSF. For proposers that submitted via Grants.gov, until an application has been received and validated by NSF, the Authorized Organizational Representative may check the status of an application on Grants.gov. After proposers have received an e-mail notification from NSF, Research.gov should be used to check the status of an application.

VI. NSF Proposal Processing And Review Procedures

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as *ad hoc* reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1.

A comprehensive description of the Foundation's merit review process is available on the NSF website at: <u>https://www.nsf.gov/bfa/dias/policy/merit_review/</u>.

Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in *Leading the World in Discovery and Innovation, STEM Talent Development and the Delivery of Benefits from Research - NSF Strategic Plan for Fiscal Years (FY) 2022 - 2026.* These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities.

One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning.

NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles

These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

• All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.

- NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified.
- Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project.

With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria

All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. **Both** criteria are to be given **full consideration** during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.D.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.D.2.d(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

- Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and
- **Broader Impacts:** The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

- 1. What is the potential for the proposed activity to
 - a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and
 - b. Benefit society or advance desired societal outcomes (Broader Impacts)?
- 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
- 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
- 4. How well qualified is the individual, team, or organization to conduct the proposed activities?

5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?

Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and other underrepresented groups in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

Proposers are reminded that reviewers will also be asked to review the Data Management and Sharing Plan and the Mentoring Plan, as appropriate.

Additional Solicitation Specific Review Criteria

Proposals in both Tracks will be evaluated on the basis of the following solicitation-specific review criteria:

(1) the importance and novelty of the problems to be addressed in both the computing and scientific domains;

(2) the demonstrated strength of a virtuous cycle of innovation likely to lead to significant scientific and computational advances that span multiple domains;

(3) the effectiveness of the proposed collaboration mechanisms in ensuring project outcomes; and,

(4) for **Track II: Discovery Proposals** only: The quality of a plan, if appropriate, for developing a substantial field demonstration. All Track II proposals will also be evaluated along with a detailed evaluation plan that discusses the scope of applicability, trade-offs, and limitations.

B. Review and Selection Process

Proposals submitted in response to this program solicitation will be reviewed by Ad hoc Review and/or Panel Review, or Reverse Site Review.

Proposals will normally be reviewed by a Review Panel augmented as necessary with *ad hoc* reviews, and for Track II proposals, possibly followed by a reverse site visit, following the *NSF Selection Process* described below. **Some Track II proposals may be selected for a Reverse Site Visit (RSV) prior to final selection**. If your proposal is selected, you will be asked to choose key project personnel and make them available to participate in this RSV via video teleconference.

Reviewers will be asked to evaluate proposals using two National Science Board approved merit review criteria and, if applicable, additional program specific criteria. A summary rating and accompanying narrative will generally be completed and submitted by each reviewer and/or panel. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF strives to be able to tell proposers whether their proposals have been declined or recommended for funding within six months. Large or particularly complex proposals or proposals from new recipients may require additional review and processing time. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director acts upon the Program Officer's recommendation.

After programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements or the Division of Acquisition and Cooperative Support for review of business, financial, and policy implications. After an administrative review has occurred, Grants and Agreements Officers perform the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

Once an award or declination decision has been made, Principal Investigators are provided feedback about their proposals. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers or any reviewer-identifying information, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

VII. Award Administration Information

A. Notification of the Award

Notification of the award is made to *the submitting organization* by an NSF Grants and Agreements Officer. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

B. Award Conditions

An NSF award consists of: (1) the award notice, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award notice; (4) the applicable award conditions, such as Grant General Conditions (GC-1)*; or Research Terms and Conditions* and (5) any announcement or other NSF issuance that may be incorporated by reference in the award notice. Cooperative agreements also are administered in accordance with NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) and the applicable Programmatic Terms and Conditions. NSF awards are electronically signed by an NSF Grants and Agreements Officer and transmitted electronically to the organization via e-mail.

*These documents may be accessed electronically on NSF's Website at <u>https://www.nsf.gov/awards/managing/award_conditions.jsp?org=NSF</u>. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from <u>nsfpubs@nsf.gov</u>.

More comprehensive information on NSF Award Conditions and other important information on the administration of NSF awards is contained in the NSF *Proposal & Award Policies & Procedures Guide* (PAPPG) Chapter VII, available electronically on the NSF Website at https://www.nsf.gov/publications/pub_summ.jsp?ods.key=pappg.

Administrative and National Policy Requirements

Build America, Buy America

As expressed in Executive Order 14005, <u>Ensuring the Future is Made in All of America by All of America's Workers</u> (86 FR 7475), it is the policy of the executive branch to use terms and conditions of Federal financial assistance awards to maximize, consistent with law, the use of goods, products, and materials produced in, and services offered in, the United States.

Consistent with the requirements of the Build America, Buy America Act (Pub. L. 117-58, Division G, Title IX, Subtitle A, November 15, 2021), no funding made available through this funding opportunity may be obligated for an award unless all iron, steel, manufactured products, and construction materials used in the project are produced in the United States. For additional information, visit NSF's <u>Build America, Buy America</u> webpage.

C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer no later than 90 days prior to the end of the current budget period. (Some programs or awards require submission of more frequent project reports). No later than 120 days following expiration of a grant, the PI also is required to submit a final annual project report, and a project outcomes report for the general public.

Failure to provide the required annual or final annual project reports, or the project outcomes report, will delay NSF review and processing of any future funding increments as well as any pending proposals for all identified PIs and co-PIs on a given award. PIs should examine the formats of the required reports in advance to assure availability of required data.

PIs are required to use NSF's electronic project-reporting system, available through Research.gov, for preparation and submission of annual and final annual project reports. Such reports provide information on accomplishments, project participants (individual and organizational), publications, and other specific products and impacts of the project. Submission of the report via Research.gov constitutes certification by the PI that the contents of the report are accurate and complete. The project outcomes report also must be prepared and submitted using Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. This report will be posted on the NSF website exactly as it is submitted by the PI.

More comprehensive information on NSF Reporting Requirements and other important information on the administration of NSF awards is contained in the *NSF Proposal & Award Policies & Procedures Guide* (PAPPG) Chapter VII, available electronically on the NSF Website at <u>https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg</u>.

VIII. Agency Contacts

Please note that the program contact information is current at the time of publishing. See program website for any updates to the points of contact.

General inquiries regarding this program should be made to:

- Christopher C. Yang, Program Director, telephone: (703) 292-8111, email: ACED@nsf.gov
- Accelerating Computer Enabled Discovery, telephone: (703) 292-8930, email: ACED@nsf.gov

For questions related to the use of NSF systems contact:

- NSF Help Desk: 1-800-381-1532
- Research.gov Help Desk e-mail: rgov@nsf.gov

For questions relating to Grants.gov contact:

• Grants.gov Contact Center: If the Authorized Organizational Representatives (AOR) has not received a confirmation message from Grants.gov within 48 hours of submission of application, please contact via telephone: 1-800-518-4726; e-mail: support@grants.gov.

IX. Other Information

The NSF website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this website by potential proposers is strongly encouraged. In addition, "NSF Update" is an information-delivery system designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF <u>Grants Conferences</u>. Subscribers are informed through e-mail or the user's Web browser each time new publications are issued that match their identified interests. "NSF Update" also is available on <u>NSF's website</u>.

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this mechanism. Further information on Grants.gov may be obtained at https://www.grants.gov.

About The National Science Foundation

The National Science Foundation (NSF) is an independent Federal agency created by the National Science Foundation Act of 1950, as amended (42 USC 1861-75). The Act states the purpose of the NSF is "to promote the progress of science; [and] to advance the national health, prosperity, and welfare by supporting research and education in all fields of science and engineering."

NSF funds research and education in most fields of science and engineering. It does this through grants and cooperative agreements to more than 2,000 colleges, universities, K-12 school systems, businesses, informal science organizations and other research organizations throughout the US. The Foundation accounts for about one-fourth of Federal support to academic institutions for basic research.

NSF receives approximately 55,000 proposals each year for research, education and training projects, of which approximately 11,000 are funded. In addition, the Foundation receives several thousand applications for graduate and postdoctoral fellowships. The agency operates no laboratories itself but does support National Research Centers, user facilities, certain oceanographic vessels and Arctic and Antarctic research stations. The Foundation also supports cooperative research between universities and industry, US participation in international scientific and engineering efforts, and educational activities at every academic level.

Facilitation Awards for Scientists and Engineers with Disabilities (FASED) provide funding for special assistance or equipment to enable persons with disabilities to work on NSF-supported projects. See the *NSF Proposal & Award Policies & Procedures Guide* Chapter II.F.7 for instructions regarding preparation of these types of proposals.

The National Science Foundation has Telephonic Device for the Deaf (TDD) and Federal Information Relay Service (FIRS) capabilities that enable individuals with hearing impairments to communicate with the Foundation about NSF programs, employment or general information. TDD may be accessed at (703) 292-5090 and (800) 281-8749, FIRS at (800) 877-8339.

The National Science Foundation Information Center may be reached at (703) 292-5111.

The National Science Foundation promotes and advances scientific progress in the United States by competitively awarding grants and cooperative agreements for research and education in the sciences, mathematics, and engineering.

To get the latest information about program deadlines, to download copies of NSF publications, and to access abstracts of awards, visit the NSF Website at <u>https://www.nsf.gov</u>

• Location:	2415 Eisenhower Avenue, Alexandria, VA 22314
• For General Information (NSF Information Center):	(703) 292-5111
• TDD (for the hearing-impaired):	(703) 292-5090
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or telephone:	(703) 292-8134
• To Locate NSF Employees:	(703) 292-5111

Privacy Act And Public Burden Statements

The information requested on proposal forms and project reports is solicited under the authority of the National Science Foundation Act of 1950, as amended. The information on proposal forms will be used in connection with the selection of qualified proposals; and project reports submitted by proposers will be used for program evaluation and reporting within the Executive Branch and to Congress. The information requested may be disclosed to qualified reviewers and staff assistants as part of the proposal review process; to proposer institutions/grantees to provide or obtain data regarding the proposal review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers and educators as necessary to complete assigned work; to other government agencies or other entities needing information regarding proposers or nominees as part of a joint application review process, or in order to coordinate programs or policy; and to another Federal agency, court, or party in a court or Federal administrative proceeding if the government is a party. Information about Principal Investigators may be added to the Reviewer file and used to select potential candidates to serve as peer reviewers or advisory committee members. See <u>System of Record</u> Notices, NSF-50, "Principal Investigator/Proposal File and Associated Records," and <u>NSF-51</u>, "Reviewer/Proposal File and Associated Records." Submission of the information is voluntary. Failure to provide full and complete information, however, may reduce the possibility of receiving an award.

An agency may not conduct or sponsor, and a person is not required to respond to, an information collection unless it displays a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 3145-0058. Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions. Send comments regarding the burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden, to:

Suzanne H. Plimpton Reports Clearance Officer Policy Office, Division of Institution and Award Support Office of Budget, Finance, and Award Management National Science Foundation Alexandria, VA 22314

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Plain language



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