NSF 24-600: NSF Trailblazer Engineering Impact Award

Program Solicitation

Document Information

Document History

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U.S. National Science Foundation

Directorate for Engineering
Emerging Frontiers and Multidisciplinary Activities

Letter of Intent Due Date(s) (required) (due by 5 p.m. submitting organization's local time):

November 15, 2024

Preliminary Proposal Due Date(s) (required) (due by 5 p.m. submitting organization's local time):

January 14, 2025

Full Proposal Deadline(s) (due by 5 p.m. submitting organization's local time):

April 15, 2025



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Important Information And Revision Notes

Prospective PIs interested in submitting a TRAILBLAZER proposal must submit a Letter of Intent (LOI) by November 15, 2024. Refer to Section V. Proposal Preparation and Submission Instructions for further details. This is a change as compared to the FY24 TRAILBLAZER solicitation.

Also new for the FY25 Trailblazer solicitation, preliminary proposals are required. Full proposals will only be accepted for review from those PIs who are invited to submit a full proposal following review of their preliminary proposal.

Any proposal submitted in response to this solicitation should be submitted in accordance with the *NSF Proposal & Award Policies & Procedures Guide* (PAPPG) that is in effect for the relevant due date to which the proposal is being submitted. The NSF PAPPG is regularly revised and it is the responsibility of the proposer to ensure that the proposal meets the requirements specified in this solicitation and the applicable version of the PAPPG. Submitting a proposal prior to a specified deadline does not negate this requirement.

Summary Of Program Requirements

General Information

Program Title:

NSF Trailblazer Engineering Impact Award (Trailblazer)

Synopsis of Program:

The NSF Trailblazer Engineering Impact Award (TRAILBLAZER) program supports individual investigators who propose novel research projects with the potential to innovatively and creatively address national needs and/or grand challenges, advance US leadership, and catalyze the convergence of engineering and science domains. TRAILBLAZER will support engineers and scientists who leverage their distinctive track record of innovation and creativity to pursue new research directions that are distinct from their previous or current research areas.

All funded TRAILBLAZER projects will form an NSF TRAILBLAZER cohort, and principal investigators will be expected to participate in an annual meeting. TRAILBLAZER investigators may also be invited to additional activities.

INFORMATIONAL WEBINAR: The Emerging Frontiers and Multidisciplinary Activities (EFMA) Office will host an informational webinar on October 15, 2024 to discuss the TRAILBLAZER program and answer questions about the FY 2025 TRAILBLAZER solicitation. Details on how to join this webinar will be posted on the Directorate for Engineering and EFMA Websites.

Broadening Participation In STEM

NSF recognizes the unique lived experiences of individuals from communities that are underrepresented and/or underserved in science, technology, engineering, and mathematics (STEM) and the barriers to inclusion and access to STEM education and careers. NSF highly encourages the leadership, partnership, and contributions in all NSF opportunities of individuals who are members of such communities supported by NSF. This includes leading and designing STEM research and education proposals for funding; serving as peer reviewers, advisory committee members, and/or committee of visitor members; and serving as NSF leadership, program, and/or administrative staff. NSF also highly encourages demographically diverse institutions of higher education (IHEs) to lead, partner, and contribute to NSF opportunities on behalf of their research and education communities. NSF expects that all individuals, including those who are members of groups that are underrepresented and/or under-served in STEM, are treated equitably and inclusively in the Foundation's proposal and award process.

NSF encourages IHEs that enroll, educate, graduate, and employ individuals who are members of groups underrepresented and/or under-served in STEM education programs and careers to lead, partner, and contribute to NSF opportunities, including leading and designing STEM research and education proposals for funding. Such IHEs include, but may not be limited to, community colleges and two-year institutions, mission-based institutions such as Historically Black Colleges and Universities (HBCUs), Tribal Colleges and Universities (TCUs), women's colleges, and institutions that primarily serve persons with disabilities, as well as institutions defined by enrollment such as Predominantly Undergraduate Institutions (PUIs), Minority-Serving Institutions (MSIs), and Hispanic Serving Institutions (HSIs).

"Broadening participation in STEM" is the comprehensive phrase used by NSF to refer to the Foundation's goal of increasing the representation and diversity of individuals, organizations, and geographic regions that contribute to STEM teaching, research, and innovation. To broaden participation in STEM, it is necessary to address issues of equity, inclusion, and access in STEM education, training, and careers. Whereas all NSF programs might support broadening participation components, some programs primarily focus on supporting broadening participation research and projects. Examples can be found on the NSF <u>Broadening Participation in STEM</u> website.

Cognizant Program Officer(s):

Please note that the following information is current at the time of publishing. See program website for any updates to the points of contact.

- TRAILBLAZER Program, telephone: N/A, email: TRAILBLAZER@nsf.gov
- Gregory L. Rorrer, telephone: (703) 292-7470, email: grorrer@nsf.gov
- Alias Smith, telephone: (703) 292-8367, email: alismith@nsf.gov

Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):

• 47.041 --- Engineering

Award Information

Anticipated Type of Award: Standard Grant

Estimated Number of Awards: 5

The anticipated budget for this program solicitation is \$15,000,000 in FY 2025, pending the availability of funds. Each award will be funded as a Standard Grant or Continuing Grant. The Program anticipates making a minimum of 5 awards. Each project may receive support of up to a total of \$3,000,000 over three years.

Anticipated Funding Amount: \$15,000,000

Pending the availability of funds

Eligibility Information

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Non-profit, non-academic organizations: Independent museums, observatories, research laboratories, professional societies and similar organizations located in the U.S. that are directly associated with educational or research activities.
- Institutions of Higher Education (IHEs) Two- and four-year IHEs (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members.

Who May Serve as PI:

The PI must hold a tenured or tenure-eligible faculty appointment at the Associate or Full Professor rank or equivalent at an organization that is eligible to submit as described under "Who May Submit Proposals." Additionally, the PI must have an appointment in an Engineering School or College and/or have earned an Engineering Doctorate degree. If the proposal is submitted by a non-profit, non-academic organization, the PI must meet the following requirements: (1) the PI has a continuing appointment that is expected to last the 3 years of a TRAILBLAZER award; and (2) the appointment has substantial research responsibilities.

Prior or concurrent funding support for other projects that overlap with the proposed TRAILBLAZER project will preclude eligibility for a TRAILBLAZER award.

Only single PI TRAILBLAZER proposals will be accepted in response to this solicitation. Collaborative proposals, as described in PAPPG Chapter II.E.3.a. and II.E.3.b, are not allowed. Any proposal submitted with sub-awards, or as separate submissions from multiple organizations, will be returned without review.

Limit on Number of Proposals per Organization:

There are no restrictions or limits.

Limit on Number of Proposals per PI or co-PI: 1

An eligible Principal Investigator may submit only one TRAILBLAZER proposal in response to this solicitation. If an individual is listed as PI on more than one proposal submitted in response to this solicitation, all proposals submitted after the first one will be returned without review. No co-PIs are permitted for either the preliminary proposal or full proposal submission.

Proposal Preparation and Submission Instructions

A. Proposal Preparation Instructions

- Letters of Intent: Submission of Letters of Intent is required. Please see the full text of this solicitation for further information
- **Preliminary Proposals:** Submission of Preliminary Proposals is required. Please see the full text of this solicitation for further information.
- Full Proposals:
 - Full Proposals submitted via Research.gov: *NSF Proposal and Award Policies and Procedures Guide* (PAPPG) guidelines apply. The complete text of the PAPPG is available electronically on the NSF website at:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg.

 Full Proposals submitted via Grants.gov: NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov guidelines apply (Note: The NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide).

B. Budgetary Information

• Cost Sharing Requirements:

Inclusion of voluntary committed cost sharing is prohibited.

• Indirect Cost (F&A) Limitations:

Not Applicable

• Other Budgetary Limitations:

Not Applicable

C. Due Dates

• Letter of Intent Due Date(s) (required) (due by 5 p.m. submitting organization's local time):

November 15, 2024

• Preliminary Proposal Due Date(s) (required) (due by 5 p.m. submitting organization's local time):

January 14, 2025

• Full Proposal Deadline(s) (due by 5 p.m. submitting organization's local time):

April 15, 2025

Proposal Review Information Criteria

Merit Review Criteria:

National Science Board approved criteria. Additional merit review criteria apply. Please see the full text of this solicitation for further information.

Award Administration Information

Award Conditions:

Additional award conditions apply. Please see the full text of this solicitation for further information.

Reporting Requirements:

Standard NSF reporting requirements apply.

I. Introduction

The Emerging Frontiers and Multidisciplinary Activities (EFMA) Office in the Directorate for Engineering provides funding opportunities for researchers to advance the frontiers of fundamental engineering research. The EFMA office supports the Emerging Frontiers in Research and Innovation (EFRI) program, which provides critical, strategic support of fundamental discovery at the leading edges of engineering research and education. The EFRI program invests in research teams that show a high potential to contribute to new areas of fundamental or applied research, catalyze development of

new industries or capabilities that increase the leadership position for the country, and/or make significant progress towards addressing a national need or grand challenge.

The NSF Trailblazer Engineering Impact Award (TRAILBLAZER) program complements the EFRI program by supporting individual innovative and creative scientists and engineers to conduct research in emerging engineering frontiers, not limited to the topics currently and previously supported by the EFRI program. For the program to support the best possible researchers and research projects, individuals that meet the PI eligibility criteria from diverse backgrounds and from the full spectrum of eligible institutions in all geographic locations are strongly encouraged to submit to this solicitation.

The TRAILBLAZER program supports individual investigators who demonstrate a deep capacity for research excellence, creativity, and innovation to undertake novel research projects that have the potential to address national needs and/or grand challenges, advance US leadership, and/or catalyze the convergence of engineering and science domains. A distinctive feature of the TRAILBLAZER program is that the PI must demonstrate how their track record of innovation and creativity will be uniquely leveraged to pursue new research directions that are distinct from their previous or current research areas. TRAILBLAZER PIs are expected to convene and lead an effective team to conduct the proposed research activities. These teams are finalized after the award and not at the time of proposal submission. The proposed projects are anticipated to generate novel solutions to grand challenge(s), fundamental new insights, and/or pioneering technological advances. Proposed projects are expected to involve exceptionally innovative approaches and/or unconventional hypotheses. TRAILBLAZER is not intended to expand a current research program into the proposed area of research. Projects that are extensions of ongoing or previous research are not eligible.

II. Program Description

The TRAILBLAZER program supports individual investigators to undertake projects that have the potential to address new areas of fundamental research related to national needs and/or grand challenges, advance US global leadership, and/or catalyze the convergence of engineering and science domains. The TRAILBLAZER program emphasizes the qualities of the investigator which illustrate they can design and lead potentially transformative research projects and make major contributions toward solving significant research problems. The principal investigator must also have a demonstrated record of success and impact in an area of engineering research. Building on this track record of research excellence, creativity and innovation, TRAILBLAZER PIs are expected to propose unconventional hypotheses in new areas distinct from their current or previous research. In this context, the proposed projects should focus on bold, innovative, and potentially risky approaches to address problems that may seem intractable. The proposed research must hold potential for transformative outcomes, address a national need and/or grand challenge, and offer a clear leadership role for Engineering.

Research topics should be relevant to the broad mission of NSF. TRAILBLAZER will consider proposals from investigators who propose projects that have the potential for unusually broad impact in engineering research and education, that stimulate development of emerging technologies, and/or that imagine novel investigative tools. TRAILBLAZER projects should realize the convergence of engineering and science domains.

The TRAILBLAZER proposal does not require a detailed experimental plan or preliminary data. Review of the proposal will focus on the investigator's history of being a creative and innovative researcher, and the suitability of the proposed project for the TRAILBLAZER program.

III. Award Information

The anticipated budget for this program solicitation is \$15,000,000 in FY 2025, pending the availability of funds. Each award will be funded as a Standard Grant or Continuing Grant. The Program anticipates making a minimum of 5 awards. Each project may receive support of up to a total of \$3,000,000 over three years.

IV. Eligibility Information

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Non-profit, non-academic organizations: Independent museums, observatories, research laboratories, professional societies and similar organizations located in the U.S. that are directly associated with educational or research activities.
- Institutions of Higher Education (IHEs) Two- and four-year IHEs (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members.

Who May Serve as PI:

The PI must hold a tenured or tenure-eligible faculty appointment at the Associate or Full Professor rank or equivalent at an organization that is eligible to submit as described under "Who May Submit Proposals." Additionally, the PI must have an appointment in an Engineering School or College and/or have earned an Engineering Doctorate degree. If the proposal is submitted by a non-profit, non-academic organization, the PI must meet the following requirements: (1) the PI has a continuing appointment that is expected to last the 3 years of a TRAILBLAZER award; and (2) the appointment has substantial research responsibilities.

Prior or concurrent funding support for other projects that overlap with the proposed TRAILBLAZER project will preclude eligibility for a TRAILBLAZER award.

Only single PI TRAILBLAZER proposals will be accepted in response to this solicitation. Collaborative proposals, as described in PAPPG Chapter II.E.3.a. and II.E.3.b, are not allowed. Any proposal submitted with sub-awards, or as separate submissions from multiple organizations, will be returned without review.

Limit on Number of Proposals per Organization:

There are no restrictions or limits.

Limit on Number of Proposals per PI or co-PI: 1

An eligible Principal Investigator may submit only one TRAILBLAZER proposal in response to this solicitation. If an individual is listed as PI on more than one proposal submitted in response to this solicitation, all proposals submitted after the first one will be returned without review. No co-PIs are permitted for either the preliminary proposal or full proposal submission.

V. Proposal Preparation And Submission Instructions

A. Proposal Preparation Instructions

Letters of Intent (required):

A one-page Letter of Intent is required. The letter should be submitted via Research.gov no later than the date specified in this solicitation. The subject heading of the letter should include a brief title of the proposal and the name of the lead organization. Each letter must include the following:

- 1. Title Title of the TRAILBLAZER proposal, preceded by the word "TRAILBLAZER:".
- 2. Principal Investigator Name, departmental and organizational affiliation, and expertise of the Principal Investigator.
- 3. Synopsis Brief description of the specific goals of the proposed research, and how the proposed project is appropriate for the TRAILBLAZER program. Provide the name of the Program(s) and their associated Division(s) within the Engineering Directorate of NSF with which the proposal topic best aligns.

These letters of intent are not used as pre-approval mechanisms for the submission of preliminary proposals and no feedback is provided to the submitters, *however letters of intent are required for all submitted preliminary proposals to this*

solicitation. The letters of intent are not reviewed but are used to assess the overall response to the solicitation. They help NSF anticipate review requirements for preliminary proposals. For more information on letters of intent, please review the PAPPG.

Letter of Intent Preparation Instructions:

When submitting a Letter of Intent through Research.gov in response to this Program Solicitation please note the conditions outlined below:

- Submission by an Authorized Organizational Representative (AOR) is not required when submitting Letters of Intent
- Submission of multiple Letters of Intent is not permitted

Preliminary Proposals (required): Preliminary proposals are required and must be submitted via Research.gov, even if full proposals will be submitted via Grants.gov.

Preliminary proposals should provide a brief overview of the project, focusing on its transformative aspects, and should include sufficient information to allow assessment of the main ideas and approaches as well as how proposed projects are appropriate for the TRAILBLAZER program as opposed to other NSF programs. Review of the preliminary proposals will include particular emphasis on the potentially transformative nature and impact of the proposed idea. The preliminary proposal must explain how the PI's research expertise and track record of creativity, innovation, and collaboration will be used to propose a new research direction. TRAILBLAZER is not intended to expand the PI's previous or current research areas. Projects that are a continuation or extension of the PI's ongoing or previous research are not eligible under this program. Prior or concurrent funding support for other projects that overlap with the proposed TRAILBLAZER project will preclude eligibility for a TRAILBLAZER award.

Preliminary Proposal Preparation Instructions:

Preliminary proposals must be submitted via Research.gov in accordance with the instructions below. Preliminary proposals that are not compliant with this solicitation will be returned without review. It is the submitting organization's responsibility to ensure that the proposal is compliant with all applicable requirements. Preliminary proposals must contain the items listed below and must strictly adhere to the specified page limitations. No additional information may be provided as an appendix or by links to web pages. Figures and tables must be included within the applicable page limit.

Preliminary Proposal Set-Up: Select "Prepare New Preliminary Proposal" in Research.gov. Search for and select this solicitation title in Step One of the Preliminary Proposal wizard. Select "Single proposal (with or without sub-awards). Separately submitted collaborative proposals will be returned without review.

Title of Proposed Project: The title for the proposed project must begin with "TRAILBLAZER Preliminary Proposal:".

Project Summary: The project summary may not exceed one page in length and must consist of three parts:

- 1. In the *Overview* section, include the title of the project, the research expertise of the principal investigator (PI), and a statement of how the PI's capacity for research excellence, creativity and impactful innovation will be leveraged to pivot to a distinctly new area of research with transformative potential.
- 2. Provide a succinct summary of the *Intellectual Merit* of the proposed project. This should include the transformative nature of the proposed research and the significant leap or paradigm shift in fundamental engineering knowledge that it will provide.
- 3. Describe the *Broader Impacts* of the proposed work, including the potential long-term impact on national needs and/or grand challenges, and plans for broadening participation.

Proposals that do not separately address in the project summary both intellectual merit and broader impacts will be returned without review.

Project Description: The project description of the preliminary proposal is limited to five pages and should include the following three sections:

- 1. **Investigator Expertise:** In approximately one page, highlight the principal investigator's (PI's) track record of research excellence, creativity, and impactful innovations, the PI's demonstrated ability to work collaboratively and convergently across diverse disciplines, and the PI's present capacity to pivot to new research areas.
- 2. TRAILBLAZER Vision and Goals: In 2-3 pages, describe how the proposed research represents a distinct departure from the PI's current research areas into new areas with significant potential for innovation and disruptive advances in engineering and science. This section must explain how the PI's capacity for research excellence, creativity, and impactful innovation will be leveraged to pivot to this new research area through the development of innovative approaches designed to evaluate unconventional hypotheses. This section should describe the vision and goals of the proposed project, the overall plan of research, an overview of the approaches and methodologies to attain the goals, and the expected outcomes of the proposed research. The ethical, legal, social, economic, and environmental implications of the proposed research should also be articulated as appropriate. The TRAILBLAZER program does not request preliminary data or detailed experimental plans. Nevertheless, reviewers must be able to understand how the proposed research will be pursued in a robust and rigorous manner.
- 3. **Broader Impacts:** In one page or less, describe the transformative nature of the proposed project, how the outcomes of the proposed research will address national needs and/or grand challenges, advance US leadership, demonstrate convergence of engineering and science domains, and broaden participation.

References Cited: Indicate with an asterisk any cited publications that resulted from prior research funded by NSF for the PI.

Senior Personnel Documents

- **Biographical sketch** for the PI, prepared per PAPPG requirements.
- Current and Pending (Other) Support for the PI, prepared per PAPPG requirements.

In the **Supplementary Documentation** section, include the following:

• A PowerPoint Slide summarizing the vision of the TRAILBLAZER proposal, including PI Expertise, Trailblazer Vision & Goals, and Broader Impacts. This slide will be used during review panel discussions.

Preliminary proposals will be reviewed by panels of outside experts under the Intellectual Merit and Broader Impacts criterion, as well as the Additional Solicitation Specific Review Criteria, as detailed under section VI.2 of this solicitation. Based on the reviews, a limited number of PIs will be invited to submit full proposals. For fiscal year 2025, invited proposers should expect to receive an invitation from the TRAILBLAZER program to submit a full proposal by mid-February 2025.

The following sections are not required for preliminary proposals and must not be included: "Results from Prior NSF Support"; "Budget and Budget Justification"; "Facilities, Equipment and Other Resources"; "Collaborators and Other Affiliations Information", "Synergistic Activities", "Data Management and Sharing Plan", and "Mentoring Plan". Preliminary proposals containing items other than those required above will be returned without review.

Full Proposal Preparation Instructions: Proposers may opt to submit proposals in response to this Program Solicitation via Research.gov or Grants.gov.

• Full Proposals submitted via Research.gov: Proposals submitted in response to this program solicitation should be prepared and submitted in accordance with the general guidelines contained in the NSF Proposal and Award Policies and Procedures Guide (PAPPG). The complete text of the PAPPG is available electronically on the NSF website at: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg. Paper copies of the PAPPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from nsfpubs@nsf.gov. The Prepare New Proposal setup will prompt you for the program solicitation number.

• Full proposals submitted via Grants.gov: Proposals submitted in response to this program solicitation via Grants.gov should be prepared and submitted in accordance with the NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov. The complete text of the NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: (https://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide). To obtain copies of the Application Guide and Application Forms Package, click on the Apply tab on the Grants.gov site, then click on the Apply Step 1: Download a Grant Application Package and Application Instructions link and enter the funding opportunity number, (the program solicitation number without the NSF prefix) and press the Download Package button. Paper copies of the Grants.gov Application Guide also may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from nsfpubs@nsf.gov.

See PAPPG Chapter II.D.2 for guidance on the required sections of a full research proposal submitted to NSF. Please note that the proposal preparation instructions provided in this program solicitation may deviate from the PAPPG instructions.

The following instructions supplement or deviate from the guidance in the PAPPG.

Only single PI TRAILBLAZER proposals will be accepted in response to this solicitation. Collaborative proposals, as described in PAPPG Chapter II.E.3.a. and II.E.3.b, are not allowed. Any proposal submitted with sub-awards, or as separate submissions from multiple organizations, will be returned without review.

Full proposals will only be accepted from PIs who have submitted preliminary proposals in the current review cycle and who were invited to submit a full proposal.

Title of Proposed Project: The title for the proposed TRAILBLAZER project must begin with "TRAILBLAZER:". The title must state clearly and succinctly the research and innovation that is the focus for the project.

Project Summary: The project summary may not exceed one page in length and must consist of three parts:

- 1. In the *Overview* section, include the title of the project, the research expertise of the principal investigator (PI), and a statement of how the PI's capacity for research excellence, creativity and impactful innovation will be leveraged to pivot to a distinctly new area of research with transformative potential.
- 2. Provide a succinct summary of the *Intellectual Merit* of the proposed project. This should include the transformative nature of the proposed research and the significant leap or paradigm shift in fundamental engineering knowledge it will provide.
- 3. Describe the *Broader Impacts* of the proposed work, including the potential long-term impact on national needs and/or grand challenges, and plans for broadening participation.

Project Description (maximum 15 pages) must include the subsections listed below. Please see instructions below for providing Results from Prior NSF Support as a Supplementary Document.

• Intellectual Merit:

- Evidence of Research Excellence, Innovation, and Collaboration: Provide evidence of the PI's capacity for
 research excellence, creativity, and impactful innovations, the PI's ability to work collaboratively and
 convergently across diverse disciplines, and the PI's experience in forming and leading inter- and/or
 multidisciplinary teams.
- TRAILBLAZER Suitability: Describe how the proposed research represents a distinct departure from the PI's
 current research areas into new areas with significant potential for innovation and disruptive advances in
 engineering and science. This section must explain how the PI's capacity for research excellence,
 creativity, and impactful innovation will be leveraged to pivot to this new research area through the
 development of innovative approaches designed to evaluate unconventional hypotheses. Describe why
 the proposed research is suited to the TRAILBLAZER program rather than to an NSF core program.
 TRAILBLAZER is not intended to expand the PI's previous or current research into the proposed area of
 research. Projects that are extensions of the PI's ongoing or previous research are not eligible under this

- program. Furthermore, prior or concurrent funding support for other projects that overlap with the proposed TRAILBLAZER project will preclude eligibility for a TRAILBLAZER award.
- TRAILBLAZER Transformative Impact: Explain how the outcomes of the proposed research will address a national need or grand challenge, advance US leadership, and demonstrate convergence of engineering and science domains to provide innovative and transformative impacts.
- Research Approach and Research Plan: Describe the vision and goals of the proposed research, approaches,
 and methodologies to attain the goals, the expected outcomes, and the ethical, legal, economic, social and
 environmental implications of the proposed research, as appropriate. The TRAILBLAZER program does not
 request preliminary data or detailed experimental plans; however, sufficient details should be provided to
 justify that the proposed research will be pursued in a robust and rigorous manner.
- Management Plan: Describe how a strong and effective team will be convened and led by the PI to conduct the proposed research, including team member expertise, team development, means of communication, management of personnel within the project group, management of intellectual property resulting from the project, and timeline of activities. While potential team members may be named, letters of support and team member Biographical Sketches are not permitted. However, letters of collaboration are encouraged.
- **Broader Impacts:** Please follow the guidance provided in the PAPPG to prepare the Broader Impacts section. As a reminder, this must be a separate section labeled "Broader Impacts." The following solicitation-specific information should also be included:
 - The Broader Impacts section should discuss how the proposed project will lead to a significant shift in fundamental engineering knowledge and will have strong long-term potential for significant impact on national needs and/or grand challenges.
 - The Broader Impacts section should also describe ways in which education, outreach, and community engagement are integrated within the research program to effectively achieve societal impact.
 - The Directorate for Engineering (ENG) promotes diversity in all aspects of its programs. In keeping with ENG's priority to broaden the participation and inclusion of the full spectrum of diverse talents in engineering, the Office of Emerging Frontiers and Multidisciplinary Activities (EFMA) is addressing the need to support diversity in all fields of engineering by requiring all TRAILBLAZER projects to include a **Broadening Participation Plan** as part of the TRAILBLAZER 2025 Solicitation. This requirement will not only promote diversity and inclusion in the human resources engaged in TRAILBLAZER projects but will also expand diversity of thought, ideas, impact, and approaches to define and solve important questions in TRAILBLAZER research. The Broadening Participation Plan must be described as part of Broader Impacts of the proposal both in the Project Summary and in the Project Description. The PI is encouraged to focus on community building, innovative and inclusive engineering practices, advancing engineering talent, diversifying pathways to and through engineering, and addressing the equity, access, and inclusion considerations. The EFMA Office encourages proposers to be creative in the planning of activities to attract and retain persons from the full spectrum of diverse talents to the fields of engineering and engineering research when developing their Broadening Participation Plans.

References Cited: Indicate with an asterisk any cited publications that resulted from prior research funded by NSF for the PI.

Budget: A budget and a budget justification are required. Funds may be requested for personnel, supplies, equipment, and other allowable costs. If a proposal is to be recommended for an award, the NSF TRAILBLAZER Program Directors will negotiate a more detailed budget with the PI prior to award recommendation. Because a **significant commitment of PI time is expected, PIs may request more than two months of salary support**. TRAILBLAZER PIs may commit more than 2 person months per year, up to six months of salary support in a given year. Consistent with PAPPG Chapter II.D.2 regarding NSF's Senior Personnel Salaries & Wages Policy, compensation for the PI in excess of two months must be disclosed in the proposal budget, justified in the budget justification, and specifically approved by NSF in the award notice budget.

Facilities, Equipment, and Other Resources: Provide a description of available facilities and priorities for their use, if applicable. For TRAILBLAZER projects requiring additional equipment, justify the need for these resources in the proposed research.

In the **Supplementary Documentation** section, include the following:

- **Unattributed Project Summary:** PI's must provide an unattributed version of the proposal Project Summary, which does **NOT** contain references to the PI's name, identity, or the PI's institution.
- A PowerPoint Slide summarizing the vision of the TRAILBLAZER proposal, including PI Expertise, Trailblazer Vision & Goals, Transformative Impact, and Broadening Participation. This slide will be used during review panel discussions.
- **Results from Prior NSF Support** (maximum five pages): Please follow the guidance provided in the PAPPG for reporting results from prior NSF support. Note that this information does not need to be included in the Project Description of the proposal.
- **Mechanisms for sharing the outcomes** of the research with the scientific community, e.g., publications, web sites, *etc.* (maximum two pages). The description should be specific and should describe what, how, and when the community will have access to the outcomes of the project. This is particularly important for projects that will produce tangible research tools and resources.
- **Department Head Letter:** A signed letter from the PI's department head (or equivalent), or immediate supervisor if PI is Department Head, certifying the PI's eligibility must be uploaded as a supplementary document and contain **only** the text provided below:

"This letter certifies that the PI is a full-time tenured or tenure-eligible Associate or Full Professor, or equivalent at an organization that is eligible to submit as described under "Who May Submit Proposals." Additionally, the PI has an appointment in an Engineering School or College and/or has earned an Engineering Doctorate degree and is eligible to participate in the TRAILBLAZER solicitation as described under "Who May Submit Proposals"."

The **Data Management and Sharing Plan** should describe the management of digital assets and intellectual property rights, including plans for sharing data, code, digital designs, information, and materials resulting from the award. Data and other digital products should be identified, and the following described for each of them:

- The types of data, samples, physical collections, software, curriculum materials, and other materials to be produced in the course of the project;
- Meta-data to be collected and disseminated with primary data;
- The standards to be used for data and meta-data format and content:
- Policies for access and sharing including provisions for appropriate protection of privacy, confidentiality, security, intellectual property, or other rights or requirements;
- Policies and provisions for re-use, re-distribution, and the production of derivatives;
- Release considerations: Timetable, Constraints, Responsible person(s), Public repository used;
- License for use (emphasis on open source licenses such as MIT and GPL);
- All software and code must be in a versioned code repository (e.g., GitHub/BitBucket) and released immediately. Code must be well documented for others to reuse;
- Other digital products including (but not limited to) 3D models for printing, circuit boards designs, phenotyping data, image data, and machine learning models must be included in the data management plan;
- Letters of collaboration (uploaded as supplementary document(s)) should be provided from databases or stock centers that agree to distribute project outcomes, including the actions planned and funds needed (if any) for the distribution.

Pre-submission Check List:

- The PI *must* be full-time tenured or tenure-eligible Associate or Full Professor, or equivalent, within an engineering college, school, or department and/or have earned an Engineering Doctorate degree;
- Total budget does not exceed \$3,000,000 and is spread over 3 years;
- **Unattributed Project Summary:** PI's must provide an unattributed version of the proposal Project Summary, which does **NOT** contain references to the PI's name, identity, or the PI's institution;
- **Broadening Participation Plan:** All proposals must include a Broadening Participation Plan in both the Project Summary and the Project Description.
- A **PowerPoint Slide** summarizing the vision of the TRAILBLAZER proposal, including PI Expertise, Trailblazer Vision & Goals, Transformative Impact, and Broadening Participation. This slide will be used during review panel discussions:
- **Mechanisms for sharing the outcomes** of the research with the scientific community, e.g., publications, web sites, *etc.* (maximum two pages), is included as a supplementary document;
- **Department Head Letter**: A signed letter from the PI's department head (or equivalent), or immediate supervisor if PI is Department Head, certifying the PI's eligibility is included as a supplementary document.
- Letters of Collaboration as appropriate.

This checklist is provided to aid in the preparation of the proposal. The burden to ensure that the proposal is complete and meets all solicitation requirements remains with the Principal Investigator.

B. Budgetary Information

Cost Sharing:

Inclusion of voluntary committed cost sharing is prohibited.

C. Due Dates

• Letter of Intent Due Date(s) (required) (due by 5 p.m. submitting organization's local time):

November 15, 2024

• Preliminary Proposal Due Date(s) (required) (due by 5 p.m. submitting organization's local time):

January 14, 2025

• Full Proposal Deadline(s) (due by 5 p.m. submitting organization's local time):

April 15, 2025

D. Research.gov/Grants.gov Requirements

For Proposals Submitted Via Research.gov:

To prepare and submit a proposal via Research.gov, see detailed technical instructions available at: https://www.research.gov/research-portal/appmanager/base/desktop?
nfpb=true&pageLabel=research-node-display&nodePath=/researchGov/Service/Desktop/ProposalPreparationa
For Research.gov user support, call the Research.gov Help Desk at 1-800-381-1532 or e-mail rgov@nsf.gov.
The Research.gov Help Desk answers general technical questions related to the use of the Research.gov system. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this funding opportunity.

For Proposals Submitted Via Grants.gov:

Before using Grants.gov for the first time, each organization must register to create an institutional profile. Once registered, the applicant's organization can then apply for any federal grant on the Grants.gov website. Comprehensive information about using Grants.gov is available on the Grants.gov Applicant Resources web page: https://www.grants.gov/applicants. In addition, the NSF Grants.gov Application Guide (see link in Section V.A) provides instructions regarding the technical preparation of proposals via Grants.gov. For Grants.gov user support, contact the Grants.gov Contact Center at 1-800-518-4726 or by email: support@grants.gov. The Grants.gov Contact Center answers general technical questions related to the use of Grants.gov. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this solicitation.

Submitting the Proposal: Once all documents have been completed, the Authorized Organizational Representative (AOR) must submit the application to Grants.gov and verify the desired funding opportunity and agency to which the application is submitted. The AOR must then sign and submit the application to Grants.gov. The completed application will be transferred to Research.gov for further processing.

The NSF <u>Grants.gov Proposal Processing in Research.gov informational page</u> provides submission guidance to applicants and links to helpful resources including the NSF <u>Grants.gov Application Guide</u>, <u>Grants.gov Proposal Processing in Research.gov how-to guide</u>, and <u>Grants.gov Submitted Proposals</u>
<u>Frequently Asked Questions</u>. Grants.gov proposals must pass all NSF pre-check and post-check validations in order to be accepted by Research.gov at NSF.

When submitting via Grants.gov, NSF strongly recommends applicants initiate proposal submission at least five business days in advance of a deadline to allow adequate time to address NSF compliance errors and resubmissions by 5:00 p.m. submitting organization's local time on the deadline. Please note that some errors cannot be corrected in Grants.gov. Once a proposal passes pre-checks but fails any post-check, an applicant can only correct and submit the in-progress proposal in Research.gov.

Proposers that submitted via Research.gov may use Research.gov to verify the status of their submission to NSF. For proposers that submitted via Grants.gov, until an application has been received and validated by NSF, the Authorized Organizational Representative may check the status of an application on Grants.gov. After proposers have received an email notification from NSF, Research.gov should be used to check the status of an application.

VI. NSF Proposal Processing And Review Procedures

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgment and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as *ad hoc* reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1.

A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit review/.

Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Leading the World in Discovery and Innovation, STEM Talent Development and the Delivery of Benefits from Research - NSF Strategic Plan for Fiscal Years (FY) 2022 - 2026. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities.

One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning.

NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles

These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

- All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.
- NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified.
- Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping
 in mind the likely correlation between the effect of broader impacts and the resources provided to implement
 projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful.
 Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the
 individual project.

With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria

All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. **Both** criteria are to be given **full consideration** during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.D.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.D.2.d(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

- Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and
- **Broader Impacts:** The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

- 1. What is the potential for the proposed activity to
 - a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and
 - b. Benefit society or advance desired societal outcomes (Broader Impacts)?
- 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
- 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
- 4. How well qualified is the individual, team, or organization to conduct the proposed activities?
- 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?

Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and other underrepresented groups in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

Proposers are reminded that reviewers will also be asked to review the Data Management and Sharing Plan and the Mentoring Plan, as appropriate.

Additional Solicitation Specific Review Criteria

In addition to the two NSF review criteria (Intellectual Merit and Broader Impacts), the following criteria will be used in the review of TRAILBLAZER proposals. Note that only criteria 1-3 will be used when reviewing preliminary proposals, while **ALL** criteria will be used when reviewing full proposals.

- 1. **Principal Investigator Research Expertise & Leadership** To what extent does the proposal demonstrate that the PI has a strong track record of creativity and impactful innovations, and to what extent does the PI provide evidence of their ability to form and lead a team appropriate for the proposed project?
- 2. **TRAILBLAZING Potential** To what extent does the proposed research project convincingly leverage the PI's capacity for creativity and innovation to achieve a significant leap or paradigm shift in fundamental engineering knowledge that is uniquely enabled by the PI's pivot to a distinctly new, bold, and potentially transformative area of research?
- 3. **National Need and/or Grand Challenge** To what extent does the proposed research have the potential to make significant progress on a national need and/or grand challenge?
- 4. **Broadening Participation Plan** To what extent does the plan actively promote, increase, and enhance the participation of the full spectrum of diverse talents in the field of engineering?
- 5. **Management Plan** To what extent does the plan describe how a strong and effective team will be convened and led by the PI to conduct the proposed research?

B. Review and Selection Process

Proposals submitted in response to this program solicitation will be reviewed by Ad hoc Review and/or Panel Review, or Internal NSF Review.

Based on ad hoc and Panel Review, the TRAILBLAZER Program will invite selected PIs for Panel interviews prior to making award recommendations. TRAILBLAZER anticipates extending invitations and holding interviews in May 2025.

The review criteria for the Interview Panel will be identical to those applied to the full proposal, as described above. Candidates will be evaluated in terms of their effectiveness at demonstrating evidence of past research innovations and creativity, the transformative nature of the proposed project, the suitability for the TRAILBLAZER Program, particularly with regards to proposing a bold new research direction distinct from previous or current research, and the plan to broaden participation in engineering.

The National Science Foundation will notify each PI of the schedule and location for their interview presentation and provide further details as they become available. Presentations should comply with these instructions and any additional instructions that NSF may provide prior to the interview.

Each interview will comprise the following activities:

- The PI will have the opportunity to present their proposed project to the Panel using electronic presentation tools.
- The Panel will ask questions of the PI following their presentation.

The PI will be required to provide NSF with an electronic copy of their presentation one week in advance of their presentation.

Individuals with disabilities who need reasonable accommodations as part of the Blue Ribbon Panel process may contact the Office of Equity and Civil Rights' (OECR) Disability Program Manager (DPM) at rarequest@nsf.gov. For further information, see the Proposal & Award Policies & Procedures Guide, Section II.A.2.

Reviewers will be asked to evaluate proposals using two National Science Board approved merit review criteria and, if applicable, additional program specific criteria. A summary rating and accompanying narrative will generally be completed and submitted by each reviewer and/or panel. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF strives to be able to tell proposers whether their proposals have been declined or recommended for funding within six months. Large or particularly complex proposals or proposals from new recipients may require additional review and

processing time. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director acts upon the Program Officer's recommendation.

After programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements or the Division of Acquisition and Cooperative Support for review of business, financial, and policy implications. After an administrative review has occurred, Grants and Agreements Officers perform the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

Once an award or declination decision has been made, Principal Investigators are provided feedback about their proposals. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers or any reviewer-identifying information, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

VII. Award Administration Information

A. Notification of the Award

Notification of the award is made to *the submitting organization* by an NSF Grants and Agreements Officer. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

B. Award Conditions

An NSF award consists of: (1) the award notice, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award notice; (4) the applicable award conditions, such as Grant General Conditions (GC-1)*; and (5) any announcement or other NSF issuance that may be incorporated by reference in the award notice. Cooperative agreements also are administered in accordance with NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) and the applicable Programmatic Terms and Conditions. NSF awards are electronically signed by an NSF Grants and Agreements Officer and transmitted electronically to the organization via e-mail.

*These documents may be accessed electronically on NSF's Website at https://www.nsf.gov/awards/managing/award conditions.jsp?org=NSF. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-8134 or by e-mail from nsfpubs@nsf.gov.

More comprehensive information on NSF Award Conditions and other important information on the administration of NSF awards is contained in the NSF *Proposal & Award Policies & Procedures Guide* (PAPPG) Chapter VII, available electronically on the NSF Website at https://www.nsf.gov/publications/pub summ.jsp?ods key=pappg.

Administrative and National Policy Requirements

Build America, Buy America

As expressed in Executive Order 14005, Ensuring the Future is Made in All of America by All of America's Workers (86 FR 7475), it is the policy of the executive branch to use terms and conditions of Federal financial assistance awards to maximize, consistent with law, the use of goods, products, and materials produced in, and services offered in, the United States.

Consistent with the requirements of the Build America, Buy America Act (Pub. L. 117-58, Division G, Title IX, Subtitle A, November 15, 2021), no funding made available through this funding opportunity may be obligated for infrastructure projects under an award unless all iron, steel, manufactured products, and construction materials used in the project are produced in the United States. For additional information, visit NSF's <u>Build America</u>, <u>Buy America</u> web page

Special Award Conditions:

Recipients must include in the proposal budget funds for travel by the PI and one graduate student or one researcher to attend an annual TRAILBLAZER grantees' meeting. Recipients should expect to attend and present their research results and plans annually at TRAILBLAZER grantees' conference for the duration of their award.

C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer no later than 90 days prior to the end of the current budget period. (Some programs or awards require submission of more frequent project reports). No later than 120 days following expiration of a grant, the PI also is required to submit a final annual project report, and a project outcomes report for the general public.

Failure to provide the required annual or final annual project reports, or the project outcomes report, will delay NSF review and processing of any future funding increments as well as any pending proposals for all identified PIs and co-PIs on a given award. PIs should examine the formats of the required reports in advance to assure availability of required data.

Pls are required to use NSF's electronic project-reporting system, available through Research.gov, for preparation and submission of annual and final annual project reports. Such reports provide information on accomplishments, project participants (individual and organizational), publications, and other specific products and impacts of the project. Submission of the report via Research.gov constitutes certification by the PI that the contents of the report are accurate and complete. The project outcomes report also must be prepared and submitted using Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. This report will be posted on the NSF website exactly as it is submitted by the PI.

More comprehensive information on NSF Reporting Requirements and other important information on the administration of NSF awards is contained in the *NSF Proposal & Award Policies & Procedures Guide* (PAPPG) Chapter VII, available electronically on the NSF Website at https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg.

VIII. Agency Contacts

Please note that the program contact information is current at the time of publishing. See program website for any updates to the points of contact.

General inquiries regarding this program should be made to:

- TRAILBLAZER Program, telephone: N/A, email: TRAILBLAZER@nsf.gov
- Gregory L. Rorrer, telephone: (703) 292-7470, email: grorrer@nsf.gov
- Alias Smith, telephone: (703) 292-8367, email: alismith@nsf.gov

For questions related to the use of NSF systems contact:

- NSF Help Desk: 1-800-381-1532
- Research.gov Help Desk e-mail: rgov@nsf.gov

For questions relating to Grants.gov contact:

• Grants.gov Contact Center: If the Authorized Organizational Representatives (AOR) has not received a confirmation message from Grants.gov within 48 hours of submission of application, please contact via telephone: 1-800-518-4726; e-mail: support@grants.gov.

IX. Other Information

The NSF website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this website by potential proposers is strongly encouraged. In addition, "NSF Update" is an information-delivery system designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF <u>Grants Conferences</u>. Subscribers are informed through e-mail or the user's Web browser each time new publications are issued that match their identified interests. "NSF Update" also is available on <u>NSF's website</u>.

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this mechanism. Further information on Grants.gov may be obtained at https://www.grants.gov.

About The National Science Foundation

The National Science Foundation (NSF) is an independent Federal agency created by the National Science Foundation Act of 1950, as amended (42 USC 1861-75). The Act states the purpose of the NSF is "to promote the progress of science; [and] to advance the national health, prosperity, and welfare by supporting research and education in all fields of science and engineering."

NSF funds research and education in most fields of science and engineering. It does this through grants and cooperative agreements to more than 2,000 colleges, universities, K-12 school systems, businesses, informal science organizations and other research organizations throughout the US. The Foundation accounts for about one-fourth of Federal support to academic institutions for basic research.

NSF receives approximately 55,000 proposals each year for research, education and training projects, of which approximately 11,000 are funded. In addition, the Foundation receives several thousand applications for graduate and postdoctoral fellowships. The agency operates no laboratories itself but does support National Research Centers, user facilities, certain oceanographic vessels and Arctic and Antarctic research stations. The Foundation also supports cooperative research between universities and industry, US participation in international scientific and engineering efforts, and educational activities at every academic level.

Facilitation Awards for Scientists and Engineers with Disabilities (FASED) provide funding for special assistance or equipment to enable persons with disabilities to work on NSF-supported projects. See the NSF Proposal & Award Policies & Procedures Guide Chapter II.F.7 for instructions regarding preparation of these types of proposals.

The National Science Foundation has Telephonic Device for the Deaf (TDD) and Federal Information Relay Service (FIRS) capabilities that enable individuals with hearing impairments to communicate with the Foundation about NSF programs, employment or general information. TDD may be accessed at (703) 292-5090 and (800) 281-8749, FIRS at (800) 877-8339.

The National Science Foundation Information Center may be reached at (703) 292-5111.

The National Science Foundation promotes and advances scientific progress in the United States by competitively awarding grants and cooperative agreements for research and education in the sciences, mathematics, and engineering.

To get the latest information about program deadlines, to download copies of NSF publications, and to access abstracts of awards, visit the NSF Website at https://www.nsf.gov

• Location: 2415 Eisenhower Avenue, Alexandria, VA 22314

• For General Information (703) 292-5111

(NSF Information Center):

• TDD (for the hearing-impaired): (703) 292-5090

• To Order Publications or Forms:

Send an e-mail to: nsfpubs@nsf.gov

or telephone: (703) 292-8134

• To Locate NSF Employees: (703) 292-5111

Privacy Act And Public Burden Statements

The information requested on proposal forms and project reports is solicited under the authority of the National Science Foundation Act of 1950, as amended. The information on proposal forms will be used in connection with the selection of qualified proposals; and project reports submitted by proposers will be used for program evaluation and reporting within the Executive Branch and to Congress. The information requested may be disclosed to qualified reviewers and staff assistants as part of the proposal review process; to proposer institutions/recipients to provide or obtain data regarding the proposal review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers and educators as necessary to complete assigned work; to other government agencies or other entities needing information regarding proposers or nominees as part of a joint application review process, or in order to coordinate programs or policy; and to another Federal agency, court, or party in a court or Federal administrative proceeding if the government is a party. Information about Principal Investigators may be added to the Reviewer file and used to select potential candidates to serve as peer reviewers or advisory committee members. See System of Record Notices, NSF-50, "Principal Investigator/Proposal File and Associated Records." Submission of the information is voluntary. Failure to provide full and complete information, however, may reduce the possibility of receiving an award.

An agency may not conduct or sponsor, and a person is not required to respond to, an information collection unless it displays a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 3145-0058. Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions. Send comments regarding the burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden, to:

Suzanne H. Plimpton
Reports Clearance Officer
Policy Office, Division of Institution and Award Support
Office of Budget, Finance, and Award Management
National Science Foundation
Alexandria, VA 22314

 Vulnerability disclosure
 Inspector General
 Privacy
 FOIA
 No FEAR Act
 USA.gov
 Accessibility

 Plain language



National Science Foundation, 2415 Eisenhower Ave Alexandria, VA 22314 Tel: (703) 292-5111,