



NATIONAL SCIENCE FOUNDATION (NSF)

Revision of the Small Business Innovation Research (SBIR)/Small Business Technology Transfer (STTR) Phase I Grant General Conditions

Effective July 6, 2020

Effective July 6, 2020, new NSF Phase I SBIR/STTR grants will begin referencing, and are subject to, the Small Business Innovation Research/Small Business Technology Transfer Phase I Grant General Conditions (SBIR/STTR-I) dated 07/06/2020.

Unless otherwise noted in a specific article, the SBIR/STTR Phase I Grant General Conditions apply to new NSF Phase I SBIR/STTR grants awarded on or after July 6, 2020.

A summary of the changes is included below. Any questions regarding these changes may be directed to the cognizant SBIR/STTR Program Director.

Significant Changes

- **NSF Responsibilities, Article 3.** This is a new article that outlines the role of the cognizant NSF Program Officer as a participant in programmatic, technical, managerial or scheduling concerns; providing advice and guidance as required to resolve NSF award questions or issues.
- **Prior Approval Requirements, Article 4.** This article has been revised to include the list of all award changes that require prior NSF approval.
- **Post-award Disclosure of Current Support and In-Kind Contribution Information, Article 42.** This entirely new article establishes a post-award disclosure requirement of undisclosed current support and in-kind contribution information. If an organization discovers that a PI or co-PI on an active NSF award failed to disclose current support or in-kind contribution information as part of the proposal submission process, the AOR must submit the information outlined in the article within 30 calendar days of the identification of the undisclosed current support or in-kind contribution.

Clarifications and Other Changes

- **Overall Document.** The terms and conditions have been revised to update all *Proposal & Award Policies & Procedures Guide* (PAPPG) references and conform with PAPPG terminology. They also have been modified to incorporate editorial changes to either clarify or enhance the intended meaning of a sentence or section or ensure consistency with other NSF policy documents.
- **Non-Discrimination Statutes, Article 34.** References regarding providing Nondiscrimination Certifications have been removed now that government-wide representations and certifications, including Nondiscrimination Certifications, are provided in the System for Award Management.