



REVISION OF THE NATIONAL SCIENCE FOUNDATION (NSF) GRANT GENERAL CONDITIONS (GC-1)

EFFECTIVE NOVEMBER 12, 2020

Effective November 12, 2020 new NSF grants and funding amendments to existing NSF grants made to for-profit organizations (other than Small Business Innovation Research/Small Business Technology Transfer grantees) and State, local and Tribal governments, will begin referencing and are subject to the [Grant General Conditions \(GC-1\)](#) dated 11/12/20.

A summary of the changes is included below. Any questions regarding these changes may be directed to the Policy Office, Division of Institution and Award Support, at policy@nsf.gov.

Significant Changes

- **Project Reporting Requirements, Article 15.** This article has been updated in accordance with 2 CFR §200.344(i) to reflect that if the grantee does not submit all performance reports within one year of the period of performance end date, NSF must report the grantee's material failure to comply with the terms and conditions of the award with the OMB-designated integrity and performance system (currently FAPIIS). NSF may also pursue other enforcement actions per 2 CFR §200.339.
- **Reporting Subawards and Executive Compensation, Article 19.** This article has been revised in accordance with 2 CFR Appendix A to Part 170.
- **System for Award Management and Universal Identifier Requirements, Article 20.** This article has been revised in accordance with 2 CFR Appendix A to Part 25.
- **Termination and Enforcement, Article 32.** Consistent with 2 CFR §200.340, this article has been updated to emphasize the ability of the Federal awarding agency to terminate Federal awards, to the greatest extent authorized by law, when the Federal award no longer effectuates the program goals or Federal awarding agency priorities.
- **Section 889 of the *National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2019, Article 49.*** Consistent with 2 CFR §200.216 and §200.471, this new article prohibits the use of Federal assistance funding on certain telecommunications and video surveillance services or equipment.

Clarifications and Other Changes

- **Overall Document.** Throughout the document, websites and references have been updated for consistency with 2 CFR §200, the *Proposal and Award Policies and Procedures Guide* and to reflect current information. References to Uniform Guidance Frequently Asked Questions (FAQs) have been removed until such time as revised FAQs become available.
- **Pre-award Costs, Article 3.** This article has been updated in accordance with 2 CFR §200.458. If pre-award costs are charged to the grant, these costs must be charged to the initial budget period, unless otherwise specified in the award notice.
- **Equipment, Article 6.** This article has been updated to reflect that the annual and final inventory reports for Federally-owned Property should be submitted to the NSF Property Administrator, Division of Administrative Services (DAS), using the NSF Central Property Inventory Repository (CPIR) system.
- **Project Changes, Article 8.** Flow down provisions for subrecipients have been updated for consistency with the revisions made throughout the document.
- **Procurement Standards, Article 9.** This article has been updated to communicate that the grantee (including for-profit organizations) is responsible for compliance with the procurement standards identified in 2 CFR § §200.317-327.
- **Rearrangement and Reconversion Costs, Article 11.** This article has been updated to include costs incurred for alteration of facilities as allowable indirect costs.
- **Allowable Costs, Article 12.** This article has been updated to specify how reimbursement of indirect costs should be calculated. Information on publication and printing costs has been added to Article 12. Such costs may be charged in accordance with 2 CFR §200.461.
- **Federal Tax Obligations, Article 21.** This article has been updated in accordance with the *Commerce, Justice, Science and Related Agencies Appropriations Act, 2020*.
- **Unpaid Federal Tax Liability, Article 22.** This article has been updated in accordance with the *Financial Services and General Governmental Appropriations Act, 2020*.
- **Criminal Convictions, Article 23.** This article has been updated in accordance with the *Financial Services and General Governmental Appropriations Act, 2020*. The grantee affirms that the corporation has not been convicted of a felony criminal violation under any Federal law within the preceding 24 months.

- **Program Income, Article 26.** This article has been updated to state that the grantee has no obligation to NSF with respect to program income received beyond the period of the grant. The grantee also is not accountable to NSF with respect to program income earned from license fees and royalties for copyrighted material, patents, patent applications, trademarks and inventions made under a Federal Award to which 37 CFR part 401 is applicable.
- **Recipient Integrity and Performance Matters, Article 44.** This article has been revised to remind recipients of NSF responsibilities to report a grantee's failure to submit all required reports in FAPIIS as specified in Article 15.
- **Resolution of Conflicting Conditions, Article 51.** This article has been updated to clarify which requirements must be followed if there is any inconsistency between 2 CFR §200, these grant general conditions, the PAPPG, and any cited program solicitation.