



Freedom of Information Act Report

Annual FOIA Report FY 2023

Office of the General Counsel

NSF FOIA Officer

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I. BASIC INFORMATION REGARDING REPORT

A) Point of Contact:

Sandra Evans, NSF FOIA Officer, Office of the General Counsel
2415 Eisenhower Avenue, 18th floor
Alexandria, VA 22314
(703) 292-5065

[NOTE: The above individual was serving in this position at the time the report was submitted to the Department of Justice (DOJ), Office of Information and Privacy (OIP). As of the date that this report is being posted at nsf.gov, the position is currently vacant.]

B) Electronic Address for report:

The FY 2023 FOIA Report for the National Science Foundation (NSF) will be available on the FOIA page of the NSF web site:

https://www.nsf.gov/policies/foia_annual_reports.jsp

C) Paper Copies of report:

Paper copies can be requested from the Point of Contact listed above.

II. MAKING A FOIA REQUEST

NSF policy is to make the fullest possible disclosure of information and records to any requester, without unnecessary expenses or delay. NSF's "Public Information Handbook" is available electronically on the FOIA page of the NSF's website:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf11012.

There are four basic agency requirements for making a FOIA request:

- 1) The request must be in writing (mailed correspondence, fax, or email) and include the requester's mailing address;
- 2) The envelope and letter content must identify the request as a FOIA request;
- 3) The request must provide enough detail to allow identification of the requested records; and
- 4) The request must include agreement to pay fees chargeable under NSF's feeschedule.

Agency Components:

Requests for records of the agency should be sent to the NSF FOIA Officer, Office of the General Counsel, 18th floor, 2415 Eisenhower Ave., Alexandria, VA 22314. Phone: 703-292-5065, Fax: 703-292-9485. Email: foia@nsf.gov.

Requests for records maintained by the Office of the Inspector General (OIG) should be addressed directly to the OIG FOIA, 2415 Eisenhower Ave., Alexandria, VA 22314. Phone: 703-292-7100, Email: foiaoig@nsf.gov.

Why some requests are not granted:

Requests for records may not be granted if the records do not exist; if records have been transferred to the ownership of the National Archives and Records Administration (NARA); if records contain predecisional information that if released would cause harm to NSF's decision making processes; if records contain personal and/or proprietary information; if records contain information compiled for law enforcement purposes; or if the requester asked for information specifically prohibited from disclosure by other statutes.

The NSF FOIA Regulations can be found on the agency FOIA web-page, <https://www.nsf.gov/policies/foia.jsp> and click on the link for NSF FOIA Regulations.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Definitions of terms used in this Report

- a) **Administrative Appeal** – a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b) **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c) **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d) **Component** – for agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e) **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency or component within the same agency for its review because that other agency has an interest in the record. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency or component within the same agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f) **Exemption 3 Statute** – a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g) **FOIA Request** – a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e. a “third-party” request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves

(i.e., “first-party” requests) when those requestors are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act “systems of records” or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h) **Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.
- i) **Full Denial** – an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j) **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k) **Multi-Track Processing** – a system in which simple requests requiring relative minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis
 - i. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

iii. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

- l) **Partial Grant/Partial Denial** – in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m) **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.
- n) **Perfected Request** – a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o) **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken final action in all respects.
- p) **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
- q) **Time Limits** – the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

2. Description of the nine FOIA Exemptions:

- a) Exemption 1: classified national defense and foreign relations information.
- b) Exemption 2: information that is related solely to the internal personnel rules and practices of an agency.
- c) Exemption 3: information that is prohibited from disclosure by another federal law.
- d) Exemption 4: trade secrets and other confidential business information.
- e) Exemption 5: inter-agency or intra-agency communications that are protected by legal privileges.
- f) Exemption 6: information involving matters of personal privacy.
- g) Exemption 7: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected

to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could be reasonably be expected to endanger the life or physical safety of any individual.

- h) Exemption 8: information relating to the supervision of financial institutions.
- i) Exemption 9: geological information on wells.

3. Agency Component Abbreviations

Component Abbreviation	Component Name
OGC	Office of the General Counsel
OIG	Office of Inspector General

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 4702	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts.	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233 at *13-15 (S.D. Ohio Mar., 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar.31, 2011); Hombostel v. U.S. Dept. of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug 25, 2004).	OGC	1	1
			OIG	0	
35 U.S.C. § 122	Applications for patents; certain information pertaining to applications for patents	Irons & Sears v. Dann, 606 F.2d 1215, 1219-21 (D.C.Cir.1979.)	OGC	2	2
			OIG	0	

V.A FOIA REQUESTS – RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
OGC	498	297	135	660
OIG	5	42	23	24
AGENCY OVERALL	503	339	158	684

After reviewing its database, OGC adjusted the number of requests pending as of the start of the fiscal year.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
OGC	17	94	2	7	0	14	1	0	0	0	0	0	135
OIG	1	3	1	3	10	0	0	2	3	0	0	0	23
AGENCY OVERALL	18	97	3	10	10	14	1	2	3	0	0	0	158

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	0	3	81	6	93	0	0	0	0	0	0	0	0
OIG	0	0	0	0	1	1	0	0	3	0	0	0	0	0
AGENCY OVERALL	0	0	3	81	7	94	0	0	3	0	0	0	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS – RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OGC	1	1	2	0
OIG	0	0	0	0
AGENCY OVERALL	1	1	2	0

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OGC	1	1	0	0	2
OIG	0	0	0	0	0
AGENCY OVERALL	1	1	0	0	2

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	1	0	0	1	2	0	0	1	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	1	0	0	1	2	0	0	1	0	0	0	0	0

One of the appeals that OGC processed was for OIG. We are in the process of updating our FOIA regs so that OIG will process all of their FOIA appeals.

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	FeeRelated Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
OGC	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	18.5	18.5	18	19
OIG	N/A	N/A	N/A	N/A
AGENCY OVERALL	18.5	18.5	18	19

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
OGC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

VII.A FOIA REQUESTS - RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	43	130	1	1451	49	60	1	469	N/A	N/A	N/A	N/A
OIG	6	11.3	1	60	173	166.8	75	225	N/A	N/A	N/A	N/A
AGENCY OVERALL	14	102	1	1451	50	66	1	469	N/A	N/A	N/A	N/A

VII.B PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	14	47	1	315	5	8	5	13	N/A	N/A	N/A	N/A
OIG	N/A	N/A	N/A	N/A	189.5	169.8	75	225	N/A	N/A	N/A	N/A
AGENCY OVERALL	14	47	1	315	75	100	5	225	N/A	N/A	N/A	N/A

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
OGC	18	1	6	3	4	0	1	2	0	0	2	1	4	42
OIG	11	1	1	0	0	0	0	0	0	0	0	0	0	13
AGENCY OVERALL	29	2	7	3	4	0	1	2	0	0	2	1	4	55

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
OGC	15	24	19	21	5	3	2	1	0	0	0	2	1	93
OIG	0	0	0	1	0	0	0	1	1	0	2	0	0	5
AGENCY OVERALL	15	24	19	22	5	3	2	2	1	0	2	2	1	98

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
OGC	207	423	559	452	803	908	1	36	36
OIG	0	N/A	N/A	24	99	146	0	N/A	N/A
AGENCY OVERALL	207	423	559	476	685	869.6	1	36	36

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
OGC	Date of Receipt	2023-04-30	2014-04-15	2014-03-20	2014-02-24	2014-02-07	2014-02-06	2014-01-03	2013-11-26	2013-11-18	2013-10-24
	Number of Days Pending	2332	2343	2361	2379	2389	2390	2412	2436	2442	2458
OIG	Date of Receipt	2023-04-03	2023-01-11	2022-12-12	2022-11-18	2022-11-08	2022-11-04	2022-06-02	2022-05-19	2021-08-09	2021-02-16
	Number of Days Pending	125	181	201	216	223	225	332	341	531	657
AGENCY OVERALL	Date of Receipt	2014-04-30	2014-04-15	2014-03-20	2014-02-24	2014-02-07	2014-02-06	2014-01-03	2013-11-26	2013-11-18	2013-10-24
	Number of Days Pending	2332	2343	2361	2379	2389	2390	2412	2436	2442	2458

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
OGC	0	0	N/A	N/A	0
OIG	0	0	N/A	N/A	0
AGENCY OVERALL	0	0	N/A	N/A	0

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
OGC	1	0	1	1
OIG	0	0	N/A	N/A
AGENCY OVERALL	1	0	1	1

IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
OGC	1	1.90	2.90	337072.5	0.00	337072.50
OIG	0	0.50	0.50	30000.00	60851.00	90851.00
AGENCY OVERALL	1	2.40	3.40	367072.5	60851.00	427923.50

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
OGC	0.00	0.0000
OIG	0.00	0.0000
AGENCY OVERALL	0.00	0.0000

XI.A. NUMBER OF TIMES SUBSECTION (C) USED

Agency / Component	Number of Times Subsection Used
OGC	0
OIG	0
AGENCY OVERALL	0

XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
OGC	0	325
OIG	0	0
AGENCY OVERALL	0	325

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
OGC	631	0
OIG	13	0
AGENCY OVERALL	644	0

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
OGC	3	12	12	3
OIG	0	1	1	0
AGENCY OVERALL	3	13	13	3

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
OGC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2023-09-24	2021-08-06
	Number of Days	0	0	0	0	0	0	0	0	3	533
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2023-09-27	2021-08-06
	Number of Days	0	0	0	0	0	0	0	0	3	533

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OGC	260	297	156	135
OIG	42	42	46	23
AGENCY OVERALL	302	339	202	158

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
OGC	479	631
OIG	4	13
AGENCY OVERALL	483	644

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OGC	5	1	4	2
OIG	1	0	1	0
AGENCY OVERALL	6	1	5	2

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OGC	0	0
OIG	0	0
AGENCY OVERALL	0	0