



**REVISION OF THE NATIONAL SCIENCE FOUNDATION (NSF)
SMALL BUSINESS INNOVATION RESEARCH (SBIR)/SMALL BUSINESS
TECHNOLOGY TRANSFER (STTR) PHASE I GRANT GENERAL CONDITIONS
(SBIR-I)
EFFECTIVE JANUARY 4, 2010**

Effective January 4, 2010, new NSF SBIR/STTR Phase I grants and funding amendments to existing NSF SBIR/STTR Phase I grants will begin referencing and are subject to the NSF SBIR/STTR Phase I Grant General Conditions (SBIR-I) dated 01/10. The complete text of the SBIR-I (as well as other NSF grant policy issuances) is available electronically on the NSF website.¹ The NSF SBIR/STTR Phase I Grant General Conditions apply to all new NSF SBIR/STTR Phase I grants and funding increments on existing NSF SBIR/STTR Phase I grants awarded on or after January 4, 2010, with the exception of Article 15, which only applies to new proposals submitted on or after January 4, 2010.

A comprehensive summary of the changes is included below. Any questions regarding these changes may be directed to the Policy Office, Division of Institution and Award Support, on (703) 292-8243 or by e-mail to policy@nsf.gov.

Significant Changes to the NSF SBIR/STTR Phase I Grant General Conditions, dated 01/10

- ◆ **Grant Reporting Requirements, Article 8**, has been updated to incorporate a new section which describes the project outcomes report that PIs will need to produce and submit to NSF within 90 days following expiration of the grant.
- ◆ **Responsible Conduct of Research, Article 15**, is a new Article that provides NSF's implementation of Section 7009 of the America COMPETES Act regarding responsible conduct of research (RCR).

Clarifications and Other Changes to the NSF SBIR/STTR Phase I Grant General Conditions, dated 01/10

- ◆ **Overall Document.** Language in Articles such as Payments and Travel has been updated to ensure consistency with the latest policies and procedures on these topics as well as with the coverage in the *NSF Award & Administration Guide*. In addition, web links and internal and external references have been updated as needed.

¹ The Policy Office website address is: <http://www.nsf.gov/bfa/dias/policy/>