REVISION OF THE NATIONAL SCIENCE FOUNDATION (NSF) SMALL BUSINESS INNOVATION RESEARCH (SBIR)/SMALL BUSINESS TECHNOLOGY TRANSFER (STTR) PHASE II GRANT GENERAL CONDITIONS (SBIR-II) EFFECTIVE JANUARY 4, 2010

Effective January 4, 2010, new NSF SBIR/STTR Phase II grants and funding amendments to existing NSF SBIR/STTR Phase II grants will begin referencing and are subject to the NSF SBIR/STTR Phase II Grant General Conditions (SBIR-II) dated 01/10. The complete text of the SBIR-II (as well as other NSF grant policy issuances) is available electronically on the NSF website.[1] The NSF SBIR/STTR Phase II Grant General Conditions apply to all new NSF SBIR/STTR Phase II grants and funding increments on existing NSF SBIR/STTR Phase II grants awarded on or after January 4, 2010, with the exception of Article 16, which only applies to new proposals submitted on or after January 4, 2010.

A comprehensive summary of the changes is included below. Any questions regarding these changes may be directed to the Policy Office, Division of Institution and Award Support, on (703) 292-8243 or by e-mail to policy@nsf.gov.

Significant Changes to the NSF SBIR/STTR Phase II Grant General Conditions, dated 01/10

♦ **Project Reporting Requirements, Article 14,** has been updated to incorporate a new section which describes the project outcomes report that PIs will need to produce and submit to NSF within 90 days following expiration of the grant.

This Article also has been expanded to reflect a new procedure for gathering commercialization results.

♦ **Responsible Conduct of Research, Article 16,** is a new Article that provides NSF's implementation of Section 7009 of the America COMPETES Act regarding responsible conduct of research (RCR).

Clarifications and Other Changes to the NSF SBIR/STTR Phase II Grant General Conditions, dated 01/10

♦ **Overall Document.** Language in Articles such as Travel and Payments has been updated to ensure consistency with the latest policies and procedures on these topics as well as with the coverage in the NSF *Award & Administration Guide.* In addition, web links and internal and external references have been updated as needed.

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[1] The Policy Office website address is: http://www.nsf.gov/bfa/dias/policy/