



**REVISION OF THE NATIONAL SCIENCE FOUNDATION (NSF)  
COOPERATIVE AGREEMENT FINANCIAL AND ADMINISTRATIVE TERMS  
AND CONDITIONS (CA-FATC)**

**EFFECTIVE FEBRUARY 1, 2012**

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Effective February 1, 2012, new NSF cooperative agreements and funding amendments to existing NSF cooperative agreements will begin referencing and are subject to the *Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC)* dated 02/12. The complete text of the CA-FATC conditions (as well as other NSF grant policy issuances) is available electronically on the NSF website.<sup>1</sup>

Unless otherwise noted in a specific article, the CA-FATC apply to all new NSF cooperative agreements and funding increments on existing NSF cooperative agreements awarded on or after February 1, 2012.

The changes to Article 10 (Travel) and Article 17 (Expenditure Reports) identified below also will be incorporated in the next issuance of the *NSF Award & Administration Guide*.

A comprehensive summary of the changes is included below. Any questions regarding these changes may be directed to the Policy Office, Division of Institution and Award Support, on (703) 292-8243 or by e-mail to [policy@nsf.gov](mailto:policy@nsf.gov).

**Significant Change to the NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) dated 02/12**

- **Travel, Article 10**, has been updated to incorporate revised circumstances under which use of a foreign-flag air carrier is permissible. The Article provides information on recent modifications to the "Open Skies" Agreement with the European Union, and includes a link to the General Services Administration website for additional information.
- **Academic Technology Transfer and Commercialization of University Research, Article 22**, is a new Article which requires higher education institutions that have NSF research support and at least \$25,000,000 in total Federal research grants in the most recently completed Federal fiscal year to submit to NSF the URL that contains information on their transfer of technology and commercialization of research results efforts.

**Clarifications and Other Changes to the NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC), dated 02/12**

- **Awardee Responsibilities and Compliance with Federal Requirements, Article 1**, has been supplemented with a weblink to a listing of the National Policy Requirements.

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<sup>1</sup> The Policy Office website address is: <http://www.nsf.gov/bfa/dias/policy/>

- **Indirect Costs, Article 13**, is a new Article reminding awardees subject to OMB Circular A-21 that they must use the indirect cost (F&A) rate(s) approved by the cognizant Federal negotiating agency that are in effect at the time of the initial award, throughout the life of the award, unless otherwise specified in the award notice.
- **Expenditure Reports, Article 17**, has been modified to reflect that all Federal Financial Reports must now be submitted through Research.gov.
- **Patent Rights, Article 26**, has a footnote added to it stating that, while the Article remains unchanged at this time, NSF is assessing how best to implement revisions given the Stanford vs. Roche Supreme Court decision.