

Joint DMS/BIO/NIGMS Initiative to Support Research in the Area of Mathematical Biology

Program Solicitation

NSF 04-572

Replaces Document NSF 02-125



National Science Foundation
Directorate for Mathematical and Physical Sciences
Division of Mathematical Sciences
Directorate for Biological Sciences



National Institutes of Health
National Institute of General Medical Sciences

Full Proposal Deadline(s) (due by 5 p.m. submitter's local time):

June 30, 2004

June 15, 2005

SUMMARY OF PROGRAM REQUIREMENTS

General Information

Program Title:

Joint DMS/BIO/NIGMS Initiative to Support Research in the Area of Mathematical Biology

Synopsis of Program:

The Division of Mathematical Sciences in the Directorate for Mathematical and Physical Sciences and the Directorate for Biological Sciences at the National Science Foundation and the National Institute of General Medical Sciences at the National Institutes of Health plan to support research in mathematics and statistics related to mathematical biology research. Both agencies recognize the need for additional research at the boundary between the mathematical sciences and the life sciences. This competition is designed to encourage new collaborations at this interface, as well as to support existing ones.

Cognizant Program Officer(s):

- Mary Ann Horn, Program Director, NSF/DMS, Mathematical Questions, telephone: (703) 292-4879, fax: (703) 292-9032, email: mhorn@nsf.gov
- James Anderson, Program Director, NIH/NIGMS, Biological Questions, telephone: (301) 594-0943, email: andersoj@nigms.nih.gov
- Samuel M Scheiner, Program Director, NSF/BIO, Biological Questions, telephone: (703) 292-8481, fax: (703) 292-9064, email: sscheine@nsf.gov
- Wen Masters, Program Director, NSF/DMS, General and Mathematical Questions, telephone: (703) 292-4871, fax: (703) 292-9032, email: wmasters@nsf.gov
- Junping Wang, Program Director, NSF/DMS, Mathematical Questions, telephone: (703) 292-4488, fax: (703) 292-

9032, email: jwang@nsf.gov

- John Whitmarsh, Program Director, NIH/NIGMS, Biological Questions, telephone: (301) 451-6446, email: whitmarj@nigms.nih.gov

Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):

- 47.074 --- Biological Sciences
- 47.049 --- Mathematical and Physical Sciences

Eligibility Information

- **Organization Limit:**

See Section III.

- **PI Eligibility Limit:**

See Section III.

- **Limit on Number of Proposals:** None Specified.

Award Information

- **Anticipated Type of Award:** Standard or Continuing Grant
- **Estimated Number of Awards:** 20 Awards from this competition may be made by either NSF or NIGMS, at the option of the agencies, not the grantee.
- **Anticipated Funding Amount:** \$6,500,000 per year (\$2.5 million from NSF, \$4 million from NIGMS), subject to availability of funds. Award sizes are expected to range from \$100,000 to \$400,000 per year with durations of 4-5 years.

Proposal Preparation and Submission Instructions

A. Proposal Preparation Instructions

- **Full Proposal Preparation Instructions:** This solicitation contains information that deviates from the standard Grant Proposal Guide (GPG) proposal preparation guidelines. Please see the full text of this solicitation for further information.

B. Budgetary Information

- **Cost Sharing Requirements:** Cost Sharing is not required by NSF.
- **Indirect Cost (F&A) Limitations:** Not Applicable.
- **Other Budgetary Limitations:** Not Applicable.

C. Due Dates

- **Full Proposal Deadline Date(s)** (due by 5 p.m. submitter's local time):
June 30, 2004
June 15, 2005

Proposal Review Information

- **Merit Review Criteria:** National Science Board approved criteria. Additional merit review considerations apply. Please see the full text of this solicitation for further information.

Award Administration Information

- **Award Conditions:** Additional award conditions apply. Please see the full text of this solicitation for further information.
- **Reporting Requirements:** Depending on the awarding agency standard NSF or NIH reporting requirements apply.

TABLE OF CONTENTS

Summary of Program Requirements

- I. **Introduction**
- II. **Program Description**
- III. **Eligibility Information**
- IV. **Award Information**
- V. **Proposal Preparation and Submission Instructions**
 - A. Proposal Preparation Instructions
 - B. Budgetary Information
 - C. Due Dates
 - D. FastLane Requirements
- VI. **Proposal Review Information**
 - A. NSF Proposal Review Process
 - B. Review Protocol and Associated Customer Service Standard
- VII. **Award Administration Information**
 - A. Notification of the Award
 - B. Award Conditions
 - C. Reporting Requirements
- VIII. **Contacts for Additional Information**
- IX. **Other Programs of Interest**

I. INTRODUCTION

Revolutionary opportunities have emerged for mathematically driven advances in biological research. These opportunities are recognized by the National Institute of General Medical Sciences (NIGMS), as well as by the National Science Foundation (NSF). Expertise of the NSF in the mathematical and biological sciences, along with its ties to both research communities, and the expertise of the NIH in biological and biomedical research make this an area where cooperation between the two agencies is appropriate.

This competition is designed to support research on mathematical problems related to biological problems in areas supported by NSF and NIGMS. A direct relationship between a biological application and the mathematics is expected. Research teams, which include scientists from both the life sciences community and the mathematical sciences community, are encouraged. Both new and existing collaborations will be supported. Proposals from individual investigators will need to make the case that the individual has expertise in both areas.

Successful proposals will identify innovative mathematics or statistics needed to solve an important biological problem. Research which would apply standard mathematics or statistics to solve biological problems is not appropriate for this competition and should be submitted directly to NIH or the Directorate for Biological Sciences. Similarly, research in mathematics or statistics that is not tied to a specific biological problem should be submitted to the appropriate DMS program at NSF. Proposals designed to create new software tools based on existing models and methods will not be accepted in this competition.

II. PROGRAM DESCRIPTION

The Division of Mathematical Sciences within the Directorate for Mathematical and Physical Sciences (DMS), the Directorate for Biological Sciences (BIO), and the National Institute of General Medical Sciences (NIGMS) anticipate supporting research in the mathematical sciences with biological applications. Appropriate application areas are those currently supported by the NIGMS and/or BIO.

Proposals which are not appropriate for funding by NIGMS or BIO will be returned without review. Investigators are strongly encouraged to talk with an NIGMS or BIO contact person before submitting a proposal. Other questions should be addressed to the appropriate person in the list of contacts.

Examples of areas of research that are appropriate under this competition include the following:

- Evolutionary theory and practice arising from genomics advances;
- Statistical and other approaches to the discovery of genes contributing to complex behavior, and their environmental interactions;
- Modeling of complex ecological systems;
- Explanatory and predictive models of the cellular state;
- Growth, motility, cell division, membrane trafficking, and other cellular behavior;
- Metabolic circuitry and dynamics;
- Population dynamics;
- Signal transduction;
- Informational molecule dynamics;
- Development of new algorithms for phylogenetic analysis;
- Design principles and dynamics of pattern formation in development and differentiation;
- New approaches to the prediction of molecular structure;
- Improved algorithms for structure determination by x-ray crystallography, NMR and electron microscopy;
- Simulations of the human systemic responses to burn, trauma and other injury;
- New approaches to understanding system-wide effects of pharmacological agents and anesthetics, and their genetic and environmental modifiers.

These areas of research are examples only. They are not meant to be inclusive. Mathematical scientists, both pure and applied, and others capable of developing the mathematical and statistical tools envisioned are encouraged to apply. The work that is supported under this initiative must impact biology and advance mathematics or statistics. Thus, collaborations between the mathematical scientists and appropriate biological scientists are expected. Other mechanisms to ensure impact are also possible and should be specified in the proposal.

III. ELIGIBILITY INFORMATION

The categories of proposers identified in the [Grant Proposal Guide](#) are eligible to submit proposals under this program solicitation. This includes scientists at Federally Funded Research and Development Centers (FFRDCs). Scientists at foreign organizations may also be supported, but proposals must be submitted by a US organization.

IV. AWARD INFORMATION

It is estimated that approximately \$6.5 million (\$2.5 million from NSF, \$4 million from NIGMS) will be available for each year of this competition. Award sizes are expected to range from \$100,000 to \$400,000 per year (total costs) with durations of 4-5 years. Estimated program budget, number of awards and average award size/duration are subject to the availability of funds.

Upon conclusion of the review process, meritorious proposals may be recommended for funding by either NIGMS or NSF, at the option of the agencies, not the proposer. Subsequent grant administration procedures will be in accordance with the individual policies of the awarding agency.

V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

A. Proposal Preparation Instructions

Full Proposal Instructions:

Proposals submitted in response to this program announcement/solicitation should be prepared and submitted in accordance with the general guidelines contained in the NSF *Grant Proposal Guide* (GPG). The complete text of the GPG is available electronically on the NSF Website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg. Paper copies of the GPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from pubs@nsf.gov.

The following instructions deviate from the GPG guidelines.

Biographical Sketches are limited to three pages each and are required for all senior personnel. In addition to the information required by the GPG, each Biographical Sketch *must include* a paragraph describing that person's role in the project.

Both NSF and NIH have rules regarding the use of human subjects and/or vertebrate animals in research. Proposals *must include* the information required by both agencies. See the NSF Grant Proposal Guide (Proposal Preparation, Special Guidelines) AND the NIH PHS Form 398 (http://grants1.nih.gov/grants/funding/phs398/section_1.html#e_humansubs and http://grants1.nih.gov/grants/funding/phs398/section_1.html#f_vertebrate_animals) for additional information. This information is considered in the review of the proposals.

Proposers are reminded to identify the program announcement/solicitation number (04-572) in the program announcement/solicitation block on the proposal Cover Sheet. Compliance with this requirement is critical to determining the relevant proposal processing guidelines. Failure to submit this information may delay processing.

B. Budgetary Information

Cost Sharing:

Cost sharing is not required by NSF in proposals submitted under this Program Solicitation.

C. Due Dates

Proposals must be submitted by the following date(s):

Full Proposal Deadline(s) (due by 5 p.m. submitter's local time):

June 30, 2004

June 15, 2005

D. FastLane Requirements

Proposers are required to prepare and submit all proposals for this announcement/solicitation through the FastLane system. Detailed instructions for proposal preparation and submission via FastLane are available at: <https://www.fastlane.nsf.gov/a1/newstan.htm>. For FastLane user support, call the FastLane Help Desk at 1-800-673-6188 or e-mail fastlane@nsf.gov. The FastLane Help Desk answers general technical questions related to the use of the FastLane system. Specific questions related to this program announcement/solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this announcement/solicitation.

Submission of Electronically Signed Cover Sheets. The Authorized Organizational Representative (AOR) must electronically sign the proposal Cover Sheet to submit the required proposal certifications (see Chapter II, Section C of the [Grant Proposal Guide](#) for a listing of the certifications). The AOR must provide the required electronic certifications within five working days following the electronic submission of the proposal. Proposers are no longer required to provide a paper copy of the signed Proposal Cover Sheet to NSF. Further instructions regarding this process are available on the FastLane Website at: <http://www.fastlane.nsf.gov>

VI. PROPOSAL REVIEW INFORMATION

A. NSF Proposal Review Process

Reviews of proposals submitted to NSF are solicited from peers with expertise in the substantive area of the proposed research or education project. These reviewers are selected by NSF Program Officers and NIGMS Scientific Review Administrators charged with the oversight of the review process. Although the proposer at the time of submission should not suggest the names of potential reviewers, a list of inappropriate reviewers may be included. Care is taken to ensure that reviewers have no conflicts with the proposer. Special efforts are made to recruit reviewers from non-academic institutions, minority-serving institutions, or adjacent disciplines to that principally addressed in the proposal.

The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 ([NSB 97-72](#)). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

On July 8, 2002, the NSF Director issued [Important Notice 127](#), Implementation of new Grant Proposal Guide Requirements Related to the Broader Impacts Criterion. This Important Notice reinforces the importance of addressing both criteria in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions.

In an effort to increase compliance with these requirements, the January 2002 issuance of the GPG incorporated revised proposal preparation guidelines relating to the development of the Project Summary and Project Description. Chapter II of the GPG specifies that Principal Investigators (PIs) must address both merit review criteria in separate statements within the one-page Project Summary. This chapter also reiterates that broader impacts resulting from the proposed project must be addressed in the Project Description and described as an integral part of the narrative.

Effective October 1, 2002, NSF will return without review proposals that do not separately address both merit review criteria within the Project Summary. It is believed that these changes to NSF proposal preparation and processing guidelines will more clearly articulate the importance of broader impacts to NSF-funded projects.

The two National Science Board approved merit review criteria are listed below (see the [Grant Proposal Guide](#) Chapter III.A for further information). The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments.

What is the intellectual merit of the proposed activity? (This criterion also includes the main considerations that are used by NIH to assess the merit of applications and assign priority scores. The specific NIH criteria are shown in *Italics* following the NSF considerations.)

How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? *Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge be advanced? What will be the effect of these studies on the concepts or methods that drive this field?*

How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) *Investigator: Is the investigator appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers (if any)?*

To what extent does the proposed activity suggest and explore creative and original concepts? *Innovation: Does the project employ novel concepts, approaches or methods? Are the aims original and innovative? Does the project challenge existing paradigms or develop new methodologies or technologies?*

How well conceived and organized is the proposed activity? *Approach: Are the conceptual framework, design, methods, and analyses adequately developed, well integrated, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics?*

Is there sufficient access to resources? *Environment: Does the scientific environment in which the work will*

be done contribute to the probability of success? Do the proposed experiments take advantage of unique features of the scientific environment or employ useful collaborative arrangements? Is there evidence of institutional support?

What are the broader impacts of the proposed activity?

How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

Integration of Research and Education

One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives.

Integrating Diversity into NSF Programs, Projects, and Activities

Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

Additional Review Criteria

Proposals submitted to this competition will be evaluated based on their value in advancing mathematical or statistical theory or methodology, as well as their impact on important biological problems. Both NIH and NSF review criteria will be used.

Where relevant, applications will also be reviewed with respect to the following:

- The adequacy of the plans to include both genders, minorities and their subgroups, and children as appropriate to the scientific goals of the research. If the proposed research includes human subjects plans for the recruitment and retention of subjects should be included. (see http://grants.nih.gov/grants/funding/women_min/guidelines_update.htm and <http://grants.nih.gov/grants/funding/children/children.htm>)
- The reasonableness of the proposed budget and duration in relation to the proposed research.
- The adequacy of the proposed protection for humans, animals, or the environment, to the extent they may be adversely affected by the project proposed in the application.

A summary rating and accompanying narrative will be completed by each reviewer. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers, are sent to the Principal Investigator/Project Director by the Program Director. In addition, the proposer will receive an explanation of the decision to award or decline funding.

For those grants to be considered for funding by NIH, the applicant will be asked to prepare a second submission on the standard PHS 398 form. The results of the review will be presented to the National Advisory General Medical Sciences Council for the second level of review. This review is designed to assess the relevance of proposals to the mission of NIGMS. Subsequent to the Council review, NIGMS will make its funding determination and selected awards will be made.

B. Review Protocol and Associated Customer Service Standard

All proposals are carefully reviewed by at least three other persons outside NSF who are experts in the particular field represented by the proposal. These reviewers are also outside of NIGMS .

Reviewers will be asked to formulate a recommendation to either support or decline each proposal. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

A summary rating and accompanying narrative will be completed and submitted by each reviewer. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers, are sent to the Principal Investigator/Project Director by the Program Director. In addition, the proposer will receive an explanation of the decision to award or decline funding.

NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the date of receipt. The interval ends when the Division Director accepts the Program Officer's recommendation.

In all cases, after programmatic approval has been obtained, the proposals recommended for funding by NSF will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications and the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

VII. AWARD ADMINISTRATION INFORMATION

A. Notification of the Award

Notification of the award is made to *the submitting organization* by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program Division administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See section VI.A. for additional information on the review process.)

B. Award Conditions

An NSF award consists of: (1) the award letter, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award letter; (4) the applicable award conditions, such as Grant General Conditions (NSF-GC-1); * or Federal Demonstration Partnership (FDP) Terms and Conditions * and (5) any announcement or other NSF issuance that may be incorporated by reference in the award letter. Cooperative agreement awards also are administered in accordance with NSF Cooperative Agreement Terms and Conditions (CA-1). Electronic mail notification is the preferred way to transmit NSF awards to organizations that have electronic mail capabilities and have requested such notification from the Division of Grants and Agreements.

*These documents may be accessed electronically on NSF's Website at http://www.nsf.gov/home/grants/grants_gac.htm.

More comprehensive information on NSF Award Conditions is contained in the NSF *Grant Policy Manual* (GPM) Chapter II, available electronically on the NSF Website at <http://www.nsf.gov/cgi-bin/getpub?gpm>. The GPM is also for sale through the Superintendent of Documents, Government Printing Office (GPO), Washington, DC 20402. The telephone number at GPO for subscription information is (202) 512-1800. The GPM may be ordered through the GPO Website at <http://www.gpo.gov>.

Special Award Conditions:

Grants made by NSF will be subject to NSF's award conditions. Grants made by NIH will be subject to NIH's award conditions.

C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant Program Officer at least 90 days before the end of the current budget period.

Within 90 days after the expiration of an award, the PI also is required to submit a final project report. Failure to provide final technical reports delays NSF review and processing of pending proposals for the PI and all Co-PIs. PIs should examine the formats of the required reports in advance to assure availability of required data.

PIs are required to use NSF's electronic project reporting system, available through FastLane, for preparation and submission of annual and final project reports. This system permits electronic submission and updating of project reports, including information on project participants (individual and organizational), activities and findings, publications, and other specific products and contributions. PIs will not be required to re-enter information previously provided, either with a proposal or in earlier updates using the electronic system.

Grants made by NSF will be subject to NSF's reporting requirements. Grants made by NIH will be subject to NIH's reporting requirements.

VIII. CONTACTS FOR ADDITIONAL INFORMATION

General inquiries regarding this program should be made to:

- Mary Ann Horn, Program Director, NSF/DMS, Mathematical Questions, telephone: (703) 292-4879, fax: (703) 292-9032, email: mhorn@nsf.gov
- James Anderson, Program Director, NIH/NIGMS, Biological Questions, telephone: (301) 594-0943, email: andersoj@nigms.nih.gov
- Samuel M Scheiner, Program Director, NSF/BIO, Biological Questions, telephone: (703) 292-8481, fax: (703) 292-9064, email: sscheine@nsf.gov
- Wen Masters, Program Director, NSF/DMS, General and Mathematical Questions, telephone: (703) 292-4871, fax: (703) 292-9032, email: wmasters@nsf.gov
- Junping Wang, Program Director, NSF/DMS, Mathematical Questions, telephone: (703) 292-4488, fax: (703) 292-9032, email: jwang@nsf.gov
- John Whitmarsh, Program Director, NIH/NIGMS, Biological Questions, telephone: (301) 451-6446, email: whitmarj@nigms.nih.gov

For questions related to the use of FastLane, contact:

- telephone: (800) 673-6188, email: fastlane@nsf.gov

IX. OTHER PROGRAMS OF INTEREST

The NSF *Guide to Programs* is a compilation of funding for research and education in science, mathematics, and engineering. The NSF *Guide to Programs* is available electronically at <http://www.nsf.gov/cgi-bin/getpub?gp>. General descriptions of NSF programs, research areas, and eligibility information for proposal submission are provided in each chapter.

Many NSF programs offer announcements or solicitations concerning specific proposal requirements. To obtain additional information about these requirements, contact the appropriate NSF program offices. Any changes in NSF's fiscal year programs occurring after press time for the *Guide to Programs* will be announced in the NSF *E-Bulletin*, which is updated daily on the NSF Website at <http://www.nsf.gov/home/ebulletin>, and in individual program announcements/solicitations. Subscribers can also sign up for NSF's *MyNSF News Service* (<http://www.nsf.gov/mynsf/>) to be notified of new funding opportunities that become available.

ABOUT THE NATIONAL SCIENCE FOUNDATION

The National Science Foundation (NSF) funds research and education in most fields of science and engineering. Awardees are wholly responsible for conducting their project activities and preparing the results for publication. Thus, the Foundation does not assume responsibility for such findings or their interpretation.

NSF welcomes proposals from all qualified scientists, engineers and educators. The Foundation strongly encourages women, minorities and persons with disabilities to compete fully in its programs. In accordance with Federal statutes, regulations and NSF policies, no person on grounds of race, color, age, sex, national origin or disability shall be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NSF, although some programs may have special requirements that limit eligibility.

Facilitation Awards for Scientists and Engineers with Disabilities (FASSED) provide funding for special assistance or equipment to enable persons with disabilities (investigators and other staff, including student research assistants) to work on NSF-supported projects. See the GPG Chapter II, Section D.2 for instructions regarding preparation of these types of proposals.

The National Science Foundation promotes and advances scientific progress in the United States by competitively awarding grants and cooperative agreements for research and education in the sciences, mathematics, and engineering.

To get the latest information about program deadlines, to download copies of NSF publications, and to access abstracts of awards, visit the NSF Website at <http://www.nsf.gov>

- **Location:** 4201 Wilson Blvd. Arlington, VA 22230
- **For General Information** (NSF Information Center): (703) 292-5111
- **TDD (for the hearing-impaired):** (703) 292-5090
- **To Order Publications or Forms:**

Send an e-mail to: pubs@nsf.gov

or telephone: (703) 292-7827
- **To Locate NSF Employees:** (703) 292-5111

PRIVACY ACT AND PUBLIC BURDEN STATEMENTS

The information requested on proposal forms and project reports is solicited under the authority of the National Science Foundation Act of 1950, as amended. The information on proposal forms will be used in connection with the selection of qualified proposals; project reports submitted by awardees will be used for program evaluation and reporting within the Executive Branch and to Congress. The information requested may be disclosed to qualified reviewers and staff assistants as part of the proposal review process; to applicant institutions/grantees to provide or obtain data regarding the proposal review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers and educators as necessary to complete assigned work; to other government agencies needing information as part of the review process or in order to coordinate programs; and to another Federal agency, court or party in a court or Federal administrative proceeding if the government is a party. Information about Principal Investigators may be added to the Reviewer file and used to select potential candidates to serve as peer reviewers or advisory committee members. See Systems of Records, NSF-50, "Principal Investigator/Proposal File and Associated Records," 63 Federal Register 267 (January 5, 1998), and NSF-51, "Reviewer/Proposal File and Associated Records," 63 Federal Register 268 (January 5, 1998). Submission of the information is voluntary. Failure to provide full and complete information, however, may reduce the possibility of receiving an award.

An agency may not conduct or sponsor, and a person is not required to respond to an information collection unless it displays a valid OMB control number. The OMB control number for this collection is 3145-0058. Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions. Send comments regarding this burden estimate and any other aspect of this collection of information, including suggestions for

reducing this burden, to: Suzanne Plimpton, Reports Clearance Officer, Division of Administrative Services, National Science Foundation, Arlington, VA 22230.

OMB control number: 3145-0058.