# Cooperative Studies Of The Earth's Deep Interior (CSEDI)

# **PROGRAM SOLICITATION**

NSF 11-548

REPLACES DOCUMENT(S): NSF 06-578



National Science Foundation

Directorate for Geosciences Division of Earth Sciences

### Full Proposal Target Date(s):

September 26, 2011

Fourth Monday in September, Annually Thereafter

# **IMPORTANT INFORMATION AND REVISION NOTES**

A revised version of the NSF Proposal & Award Policies & Procedures Guide (PAPPG), NSF 13-1, was issued on October 4, 2012 and is effective for proposals submitted, or due, on or after January 14, 2013. Please be advised that the guidelines contained in NSF 13-1 apply to proposals submitted in response to this funding opportunity. Proposers who opt to submit prior to January 14, 2013, must also follow the guidelines contained in NSF 13-1.

Please be aware that significant changes have been made to the PAPPG to implement revised merit review criteria based on the National Science Board (NSB) report, National Science Foundation's Merit Review Criteria: Review and Revisions. While the two merit review criteria remain unchanged (Intellectual Merit and Broader Impacts), guidance has been provided to clarify and improve the function of the criteria. Changes will affect the project summary and project description sections of proposals. Annual and final reports also will be affected.

A by-chapter summary of this and other significant changes is provided at the beginning of both the Grant Proposal Guide and the Award & Administration Guide.

Please note that this program solicitation may contain supplemental proposal preparation guidance and/or guidance that deviates from the guidelines established in the Grant Proposal Guide.

# SUMMARY OF PROGRAM REQUIREMENTS

# **General Information**

### Program Title:

Cooperative Studies Of The Earth's Deep Interior (CSEDI)

#### Synopsis of Program:

The Division of Earth Sciences (EAR) invites the submission of proposals for collaborative, interdisciplinary studies of the Earth's interior within the framework of the community-based initiative known as Cooperative Studies of the Earth's Deep Interior (CSEDI). Funding will support basic research on the character and dynamics of the Earth's mantle and core, their influence on the evolution of the Earth as a whole, and on processes operating within the deep interior that affect or are expressed on the Earth's surface.

Projects may employ any combination of field, laboratory, and computational studies with observational, theoretical, or experimental approaches. Support is available for research and research infrastructure through grants and cooperative agreements awarded in response to investigator-initiated proposals from U.S. universities and other eligible institutions. Multidisciplinary work is required. EAR will consider co-funding of projects with other agencies and supports international work and collaborations.

#### Cognizant Program Officer(s):

Please note that the following information is current at the time of publishing. See program website for any updates to the points of contact.

 Robin Reichlin, Program Director, Geophysics, 785 S, telephone: (703) 292-8556, fax: (703) 292-9025, email: rreichli@nsf.gov Sonia Esperanca, Program Director, Petrology and Geochemistry, 785 S, telephone: (703) 292-8554, email: sesperan@nsf.gov

### Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):

• 47.050 --- Geosciences

# **Award Information**

Anticipated Type of Award: Standard Grant or Continuing Grant or Cooperative Agreement

Estimated Number of Awards: 5 to 10 awards are expected, depending on award amounts

Anticipated Funding Amount: \$2,000,000 per year, pending availability of funds

# **Eligibility Information**

### **Organization Limit:**

Proposals may only be submitted by the following:

- Universities and Colleges Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Such organizations also are referred to as academic institutions.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

### PI Limit:

None Specified

### Limit on Number of Proposals per Organization:

None Specified

#### Limit on Number of Proposals per PI:

None Specified

### **Proposal Preparation and Submission Instructions**

### **A. Proposal Preparation Instructions**

- Letters of Intent: Not Applicable
- Preliminary Proposal Submission: Not Applicable
- Full Proposals:
  - Full Proposals submitted via FastLane: NSF Proposal and Award Policies and Procedures Guide, Part I: Grant Proposal Guide (GPG) Guidelines apply. The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=gpg.
  - Full Proposals submitted via Grants.gov: NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov Guidelines apply (Note: The NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: http://www.nsf.gov/publications/pub\_summ.jsp? ods\_key=grantsgovguide)

### **B. Budgetary Information**

- Cost Sharing Requirements: Inclusion of voluntary committed cost sharing is prohibited.
- Indirect Cost (F&A) Limitations: Not Applicable
- Other Budgetary Limitations: Other budgetary limitations apply. Please see the full text of this solicitation for further information.
- C. Due Dates
  - Full Proposal Target Date(s):

September 26, 2011

Fourth Monday in September, Annually Thereafter

### **Proposal Review Information Criteria**

Merit Review Criteria: National Science Board approved criteria. Additional merit review considerations apply. Please see the full text of this solicitation for further information.

Award Conditions: Standard NSF award conditions apply.

Reporting Requirements: Standard NSF reporting requirements apply.

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# I. INTRODUCTION

CSEDI is a community initiative originally organized by members of the SEDI (Studies of the Earth's Deep Interior) committee of the IUGG (International Union of Geodesy and Geophysics) and the SEI (Studies of the Earth's Interior) committee of the American Geophysical Union. A science plan was developed, and later updated (2004), with broad community input and support and reflects the scientific objectives of the initiative. This initiative grew out of the realization that the most important problems related to the Earth's interior need a multi-disciplinary effort that brings to bear in a coherent way creative and imaginative thinking about the state and dynamics of the Earth's interior, along with the utilization of the most advanced computational, experimental, analytical, and observational techniques. Ultimately, the goal of such efforts is to determine as quantitatively as possible how the Earth's interior works, and how processes in the Earth's deep interior control the structure and evolution of the Earth as a whole.

Societally relevant applications of CSEDI's research are wide-ranging, and help improve our understanding of natural and anthropogenic hazards; global climate change; and water, mineral, and energy resources.

### **II. PROGRAM DESCRIPTION**

The opportunity for rapid progress in this research activity derives largely from the timely coincidence of advances in several disciplines. Global-scale seismic imaging of the Earth's deep interior provides insights into the convective and thermal patterns in the mantle and core. Advances in high pressure materials research allow for direct laboratory investigations of the pressure-temperature-composition and mechanical properties of the deep interior. Isotopic measurements of crustal and mantle-derivative rocks reveal chemical signatures that indicate recycling of the deep interior. Modeling of the Earth's dynamo has illuminated possible relations between convection in the Earth's core and structures in the lowermost mantle. Geodetic techniques have provided new probes of the deep interior. Advances in computational techniques allow complex simulations of flow and convection in the mantle and core. Individually these are all important advances, but the aim of this funding opportunity is to link these advances into coordinated and integrated studies that will allow significant new insights into an understanding of the processes operating in the deep interior and how they govern the evolution of the surface of the Earth.

The 2004 CSEDI Science Plan (www.csedi.org) outlines a framework for understanding 'Circulation in the Deep Earth' over the coming decade. New technologies available to the community, coupled with unprecedented amounts of observational data call for a bold approach to future CSEDI research. CSEDI can realistically aim for a broad understanding of Earth's inner dynamics, incorporating core evolution, mantle convection, the driving forces of plate tectonics, and the interaction between the interior, oceans and atmosphere. CSEDI provides a framework for capitalizing on the new observational, experimental and theoretical advances made possible by the current development of major seismological, experimental and computational facilities. Within the decade, the field is poised to make major advances in understanding:

- · Cycling of water and carbon through Earth's deep interior
- Operation and evolution of the geomagnetic dynamo
- · Melting and other phase transitions in the deep mantle and core
- Deep mantle structure, temperature and composition
- Evolution, dynamics and rotation of the inner core
- Chemical and heat exchange between the core and mantle, and between the mantle and the surface.

The 2004 CSEDI Science Plan organized these emerging research directions into three broad themes for advancing understanding of the Earth as a planet, and connecting Earth-interior processes to the surface. First, quantifying the deep water and carbon cycles would provide a crucial link in understanding how the oceans and atmosphere – and the biosphere they sustain – are linked to the interior, helping to clarify how Earth's surface environment has evolved over geological time. Second, characterizing the deep-Earth engine would provide insight into the forces driving geological processes, including mountain building, earthquakes, volcanoes and plate tectonics. Third, understanding the planetary evolution of the Earth, the path it took to the present state, offers unique insights into the ways by which planets in general – and our own in particular – originate and develop.

The above themes are intended to describe the exciting frontiers for deep earth research in a broad sense, and are not intended to be prescriptive in limiting CSEDI proposal topics.

### **III. AWARD INFORMATION**

Anticipated Type of Award: Continuing Grant or Cooperative Agreement or Standard Grant

Estimated Number of Awards: 5 to 10 awards are expected, depending on award amounts

Anticipated Funding Amount: up to \$2,000,000 per year, pending availability of funds

# **IV. ELIGIBILITY INFORMATION**

#### **Organization Limit:**

Proposals may only be submitted by the following:

- Universities and Colleges Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Such organizations also are referred to as academic institutions.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

#### **PI Limit:**

None Specified

Limit on Number of Proposals per Organization:

#### None Specified

#### Limit on Number of Proposals per PI:

#### None Specified

### Additional Eligibility Info:

Colleges and universities designated as Undergraduate or Predominately Undergraduate Institutions should consult the guidelines described in Research in Undergraduate Institutions.

Proposals that have been declined are not eligible for resubmission for one year and must be substantially revised to be considered.

Proposals must involve multidisciplinary groups of scientists at one institution or collaborative efforts of associated researchers from different institutions working on coordinated projects.

# V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

### **A. Proposal Preparation Instructions**

Full Proposal Preparation Instructions: Proposers may opt to submit proposals in response to this Program Solicitation via Grants.gov or via the NSF FastLane system.

• Full proposals submitted via FastLane: Proposals submitted in response to this program solicitation should be prepared and

submitted in accordance with the general guidelines contained in the NSF Grant Proposal Guide (GPG). The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=gpg. Paper copies of the GPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov. Proposers are reminded to identify this program solicitation number in the program solicitation block on the NSF Cover Sheet For Proposal to the National Science Foundation. Compliance with this requirement is critical to determining the relevant proposal processing guidelines. Failure to submit this information may delay processing.

Full proposals submitted via Grants.gov: Proposals submitted in response to this program solicitation via Grants.gov should be prepared and submitted in accordance with the NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov. The complete text of the NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: (http://www.nsf.gov/publications/pub\_summ.jsp? ods\_key=grantsgovguide). To obtain copies of the Application Guide and Application Package and Application Instructions link and enter the funding opportunity number, (the program solicitation number without the NSF prefix) and press the Download Package button. Paper copies of the Grants.gov Application Guide also may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov.

In determining which method to utilize in the electronic preparation and submission of the proposal, please note the following:

Collaborative Proposals. All collaborative proposals submitted as separate submissions from multiple organizations must be submitted via the NSF FastLane system. Chapter II, Section D.4 of the Grant Proposal Guide provides additional information on collaborative proposals.

# **B. Budgetary Information**

Cost Sharing: Inclusion of voluntary committed cost sharing is prohibited

#### **Other Budgetary Limitations:**

Requests for scientific instrumentation and equipment included in standard research proposals generally should not exceed \$50,000. Requests in excess of this amount usually require a separate proposal directly to the Instrumentation and Facilities Program. Contact the Program Director for further details.

# C. Due Dates

### • Full Proposal Target Date(s):

September 26, 2011

Fourth Monday in September, Annually Thereafter

Contact Program Directors for target date guidance.

### D. FastLane/Grants.gov Requirements

#### For Proposals Submitted Via FastLane:

Detailed technical instructions regarding the technical aspects of preparation and submission via FastLane are available at: https://www.fastlane.nsf.gov/at/newstan.htm. For FastLane user support, call the FastLane Help Desk at 1-800-673-6188 or e-mail fastlane@nsf.gov. The FastLane Help Desk answers general technical questions related to the use of the FastLane system. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this funding opportunity.

**Submission of Electronically Signed Cover Sheets.** The Authorized Organizational Representative (AOR) must electronically sign the proposal Cover Sheet to submit the required proposal certifications (see Chapter II, Section C of the Grant Proposal Guide for a listing of the certifications). The AOR must provide the required electronic certifications within five working days following the electronic submission of the proposal. Further instructions regarding this process are available on the FastLane Website at: https://www.fastlane.nsf.gov/fastlane.jsp.

### • For Proposals Submitted Via Grants.gov:

Before using Grants.gov for the first time, each organization must register to create an institutional profile. Once registered, the applicant's organization can then apply for any federal grant on the Grants.gov website. Comprehensive information about using Grants.gov is available on the Grants.gov Applicant Resources webpage: http://www07.grants.gov/applicants/app\_help\_reso.jsp. In addition, the NSF Grants.gov Application Guide provides additional

technical guidance regarding preparation of proposals via Grants.gov. For Grants.gov user support, contact the Grants.gov Contact Center at 1-800-518-4726 or by email: support@grants.gov. The Grants.gov Contact Center answers general technical questions related to the use of Grants.gov. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this solicitation.

**Submitting the Proposal:** Once all documents have been completed, the Authorized Organizational Representative (AOR) must submit the application to Grants.gov and verify the desired funding opportunity and agency to which the application is submitted. The AOR must then sign and submit the application to Grants.gov. The completed application will be transferred to the NSF FastLane system for further processing.

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as *ad hoc* reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and avard process (and associated timeline) is included in the GPG as Exhibit 111-1.

A comprehensive description of the Foundation's merit review process is available on the NSF website at: http://www.nsf.gov/bfa/dias/policy/meritreview/.

Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in *Empowering the Nation Through Discovery and Innovation: NSF Strategic Plan for Fiscal Years (FY) 2011-2016.* These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities.

One of the core strategies in support of NSF's mission is to foster integration of research and education through the programs, projects and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students, and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the variety of learning perspectives.

Another core strategy in support of NSF's mission is broadening opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

# A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

### 1. Merit Review Principles

These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

- All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.
- NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified.
  Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind
- Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project.

With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, Pls are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

### 2. Merit Review Criteria

All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. **Both** criteria are to be given **full consideration** during the review and decisionmaking processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (GPG Chapter II.C.2.d.i. contains additional information for use by proposers in development of the Project Description section of the proposal.) Reviewers are strongly encouraged to review the criteria, including GPG Chapter II.C.2.d.i., prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and
 Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

- 1. What is the potential for the proposed activity to
  - a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)?
- 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
- 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
- 4. How well qualified is the individual, team, or organization to conduct the proposed activities?
- 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?

Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

Proposers are reminded that reviewers will also be asked to review the Data Management Plan and the Postdoctoral Researcher Mentoring Plan, as appropriate.

### Additional Solicitation Specific Review Criteria

Additional CSEDI review criteria:

- demonstrated synergism among the various disciplinary components involved in the proposed research
- likelihood of making accelerated progress on major questions related to understanding the Earth's deep interior.

# **B. Review and Selection Process**

Proposals submitted in response to this program solicitation will be reviewed by Ad hoc Review and/or Panel Review.

Reviewers will be asked to formulate a recommendation to either support or decline each proposal. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

A summary rating and accompanying narrative will be completed and submitted by each reviewer. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

In all cases, after programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications and the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

# VII. AWARD ADMINISTRATION INFORMATION

# A. Notification of the Award

Notification of the award is made to *the submitting organization* by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

# **B. Award Conditions**

An NSF award consists of: (1) the award letter, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award letter; (4) the applicable award conditions, such as Grant General Conditions (GC-1); \* or Research Terms and Conditions \* and (5) any announcement or other NSF issuance that may be incorporated by reference in the award letter. Cooperative agreements also are administered in accordance with NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) and the applicable Programmatic Terms and Conditions. NSF awards are electronically signed by an NSF Grants and Agreements Officer and transmitted electronically to the organization via e-mail.

\*These documents may be accessed electronically on NSF's Website at http://www.nsf.gov/awards/managing/award\_conditions.jsp?

org=NSF. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov.

More comprehensive information on NSF Award Conditions and other important information on the administration of NSF awards is contained in the NSF Award & Administration Guide (AAG) Chapter II, available electronically on the NSF Website at <a href="http://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=aag">http://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=aag</a>.

# **C. Reporting Requirements**

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer at least 90 days before the end of the current budget period. (Some programs or awards require more frequent project reports). Within 90 days after expiration of a grant, the PI also is required to submit a final project report, and a project outcomes report for the general public.

Failure to provide the required annual or final project reports, or the project outcomes report will delay NSF review and processing of any future funding increments as well as any pending proposals for that PI. PIs should examine the formats of the required reports in advance to assure availability of required data.

Pls are required to use NSF's electronic project-reporting system, available through FastLane, for preparation and submission of annual and final project reports. Such reports provide information on activities and findings, project participants (individual and organizational), publications, and other specific products and contributions. Pls will not be required to re-enter information previously provided, either with a proposal or in earlier updates using the electronic system. Submission of the report via FastLane constitutes certification by the PI that the contents of the report are accurate and complete. The project outcomes report must be prepared and submitted using Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. This report will be posted on the NSF website exactly as it is submitted by the PI.

More comprehensive information on NSF Reporting Requirements and other important information on the administration of NSF awards is contained in the NSF Award & Administration Guide (AAG) Chapter II, available electronically on the NSF Website at http://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=aag.

# **VIII. AGENCY CONTACTS**

Please note that the program contact information is current at the time of publishing. See program website for any updates to the points of contact.

General inquiries regarding this program should be made to:

- Robin Reichlin, Program Director, Geophysics, 785 S, telephone: (703) 292-8556, fax: (703) 292-9025, email: rreichli@nsf.gov
- Sonia Esperanca, Program Director, Petrology and Geochemistry, 785 S, telephone: (703) 292-8554, email: sesperan@nsf.gov

For questions related to the use of FastLane, contact:

• FastLane Help Desk, telephone: 1-800-673-6188; e-mail: fastlane@nsf.gov.

For questions relating to Grants.gov contact:

Grants.gov Contact Center: If the Authorized Organizational Representatives (AOR) has not received a confirmation
message from Grants.gov within 48 hours of submission of application, please contact via telephone: 1-800-518-4726; email: support@grants.gov.

# **IX. OTHER INFORMATION**

The NSF Website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this Website by potential proposers is strongly encouraged. In addition, National Science Foundation Update is a free e-mail subscription service designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF Regional Grants Conferences. Subscribers are informed through e-mail when new publications are issued that match their identified interests. Users can subscribe to this service by clicking the "Get NSF Updates by Email" link on the NSF web site.

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this new mechanism. Further information on Grants.gov may be obtained at <a href="http://www.grants.gov">http://www.grants.gov</a>.

# ABOUT THE NATIONAL SCIENCE FOUNDATION

The National Science Foundation (NSF) is an independent Federal agency created by the National Science Foundation Act of 1950, as amended (42 USC 1861-75). The Act states the purpose of the NSF is "to promote the progress of science; [and] to advance the

national health, prosperity, and welfare by supporting research and education in all fields of science and engineering."

NSF funds research and education in most fields of science and engineering. It does this through grants and cooperative agreements to more than 2,000 colleges, universities, K-12 school systems, businesses, informal science organizations and other research organizations throughout the US. The Foundation accounts for about one-fourth of Federal support to academic institutions for basic research.

NSF receives approximately 55,000 proposals each year for research, education and training projects, of which approximately 11,000 are funded. In addition, the Foundation receives several thousand applications for graduate and postdoctoral fellowships. The agency operates no laboratories itself but does support National Research Centers, user facilities, certain oceanographic vessels and Arctic and Antarctic research stations. The Foundation also supports cooperative research between universities and industry, US participation in international scientific and engineering efforts, and educational activities at every academic level.

Facilitation Awards for Scientists and Engineers with Disabilities provide funding for special assistance or equipment to enable persons with disabilities to work on NSF-supported projects. See Grant Proposal Guide Chapter II, Section D.2 for instructions regarding preparation of these types of proposals.

The National Science Foundation has Telephonic Device for the Deaf (TDD) and Federal Information Relay Service (FIRS) capabilities that enable individuals with hearing impairments to communicate with the Foundation about NSF programs, employment or general information. TDD may be accessed at (703) 292-5090 and (800) 281-8749, FIRS at (800) 877-8339.

The National Science Foundation Information Center may be reached at (703) 292-5111.

The National Science Foundation promotes and advances scientific progress in the United States by competitively awarding grants and cooperative agreements for research and education in the sciences, mathematics, and engineering.

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