

Computing and Communication Foundations (CCF): Core Programs

PROGRAM SOLICITATION

NSF 11-557

REPLACES DOCUMENT(S):

NSF 10-572



National Science Foundation

Directorate for Computer & Information Science & Engineering
Division of Computing and Communication Foundations

Submission Window Date(s) (due by 5 p.m. proposer's local time):

September 15, 2011 - September 30, 2011

September 15 - September 30, Annually Thereafter

MEDIUM Projects

November 01, 2011 - November 28, 2011

November 1 - November 28, Annually Thereafter

LARGE Projects

December 01, 2011 - December 19, 2011

December 1 - December 19, Annually Thereafter

SMALL Projects

IMPORTANT INFORMATION AND REVISION NOTES

A revised version of the *NSF Proposal & Award Policies & Procedures Guide* (PAPPG), [NSF 11-1](#), was issued on October 1, 2010 and is effective for proposals submitted, or due, on or after January 18, 2011. Please be advised that the guidelines contained in [NSF 11-1](#) apply to proposals submitted in response to this funding opportunity.

Cost Sharing: The PAPPG has been revised to implement the National Science Board's recommendations regarding cost sharing. Inclusion of voluntary committed cost sharing is prohibited. In order to assess the scope of the project, all organizational resources necessary for the project must be described in the Facilities, Equipment and Other Resources section of the proposal. The description should be narrative in nature and must not include any quantifiable financial information. Mandatory cost sharing will only be required when explicitly authorized by the NSF Director. See the PAPP Guide Part I: *Grant Proposal Guide (GPG)* [Chapter II.C.2.g\(xi\)](#) for further information about the implementation of these recommendations.

Data Management Plan: The PAPPG contains a clarification of NSF's long standing data policy. All proposals must describe plans for data management and sharing of the products of research, or assert the absence of the need for such plans. FastLane will not permit submission of a proposal that is missing a Data Management Plan. The Data Management Plan will be reviewed as part of the intellectual merit or broader impacts of the proposal, or both, as appropriate. Links to data management requirements and plans relevant to specific Directorates, Offices, Divisions, Programs, or other NSF units are available on the NSF website at: <http://www.nsf.gov/bfa/dias/policy/dmp.jsp>. See [Chapter II.C.2.j](#) of the GPG for further information about the implementation of this requirement.

Postdoctoral Researcher Mentoring Plan: As a reminder, each proposal that requests funding to support postdoctoral researchers must include, as a supplementary document, a description of the mentoring activities that will be provided for such individuals. Please be advised that if required, FastLane will not permit submission of a proposal that is missing a Postdoctoral Researcher Mentoring Plan. See [Chapter II.C.2.j](#) of the GPG for further information about the implementation of this requirement.

Revision Summary

This is a revision of [NSF 10-572](#), the solicitation for the CISE/CCF core programs. The revisions include a modification of the submission window dates, changes in the description of the programs, updates of the NSF staff involved, and updates on other funding opportunities. Information about requirements for data management plans has been added. Proposers no longer have 10 days to submit a collaboration plan if it was not included in the proposal. If a Large proposal, or a Medium proposal with more than one investigator, does not include a Collaboration Plan, that proposal will be returned without review.

SUMMARY OF PROGRAM REQUIREMENTS

General Information

Program Title:

Computing and Communication Foundations (CCF): Core Programs

Synopsis of Program:

CISE's Division of Computing and Communication Foundations (CCF) supports research and education projects that develop new knowledge in three core programs:

- The Algorithmic Foundations program;
- The Communications and Information Foundations program; and
- The Software and Hardware Foundations program.

Proposers are invited to submit proposals in three project classes, which are defined as follows:

- Small Projects - up to \$500,000 total budget with durations up to three years;
- Medium Projects - \$500,001 to \$1,200,000 total budget with durations up to four years; and
- Large Projects - \$1,200,001 to \$3,000,000 total budget with durations up to five years.

A more complete description of the three project classes can be found in section *II. Program Description* of this document.

CISE investments in Small, Medium and Large projects complement the directorate's investments in the Expeditions in Computing program, http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503169&org=CISE&from=home. Expeditions projects are funded at levels of up to \$10,000,000 total for durations up to 5 years.

Cognizant Program Officer(s):

Please note that the following information is current at the time of publishing. See program website for any updates to the points of contact.

- Dmitry Maslov, Point of Contact, Algorithmic Foundations, 1115, telephone: (703) 292-8910, email: dmasslov@nsf.gov
- John H. Cozzens, Point of Contact, Communications and Information Foundations, 1115, telephone: (703) 292-8910, email: jcozzens@nsf.gov
- Nina Amla, Program Contact, 1115, telephone: (703) 292-8910, email: namla@nsf.gov

Applicable Catalog of Federal Domestic Assistance (CFDA) Number(s):

- 47.070 --- Computer and Information Science and Engineering

Award Information

Anticipated Type of Award: Standard Grant or Continuing Grant

Estimated Number of Awards: 120 to 160 awards will be made each year.

Anticipated Funding Amount: \$100,000,000 each year, dependent upon the availability of funds.

Eligibility Information

Organization Limit:

None Specified

PI Limit:

None Specified

Limit on Number of Proposals per Organization:

None Specified

Limit on Number of Proposals per PI: 2

In any contiguous September through December period, an individual may participate as PI, Co-PI or Senior Personnel in **no more than two** proposals submitted in response to the *coordinated solicitation* (where *coordinated solicitation* is defined to include the *Information and Intelligent Systems (IIS): Core Programs*, the *Computer and Network Systems (CNS): Core Programs* and the *Computing and Communication Foundations (CCF): Core Programs* solicitations). For example, between September 2011 and December 2011, an individual may participate as PI, co-PI or Senior Personnel in one proposal submitted to a core program in CCF and in a second proposal submitted to a core program in CNS, or an individual may participate as PI, co-PI or Senior Personnel in two proposals submitted to an IIS core program, etc.

These eligibility constraints will be strictly enforced in order to treat everyone fairly and consistently. In the event that an individual exceeds this limit, proposals received within the limit will be accepted based on earliest date and time of proposal submission (i.e., the first two proposals received will be accepted and the remainder will be returned without review). **No exceptions will be made.**

The limit on the number of proposals per PI, co-PI or Senior Personnel applies only to the *coordinated solicitation*.

Proposal Preparation and Submission Instructions

A. Proposal Preparation Instructions

- **Letters of Intent:** Not Applicable
- **Preliminary Proposal Submission:** Not Applicable
- **Full Proposals:**
 - Full Proposals submitted via FastLane: NSF Proposal and Award Policies and Procedures Guide, Part I: Grant Proposal Guide (GPG) Guidelines apply. The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.
 - Full Proposals submitted via Grants.gov: NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov Guidelines apply (Note: The NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide)

B. Budgetary Information

- **Cost Sharing Requirements:** Inclusion of voluntary committed cost sharing is prohibited.
- **Indirect Cost (F&A) Limitations:** Not Applicable
- **Other Budgetary Limitations:** Not Applicable

C. Due Dates

- **Submission Window Date(s)** (due by 5 p.m. proposer's local time):

September 15, 2011 - September 30, 2011

September 15 - September 30, Annually Thereafter

MEDIUM Projects

November 01, 2011 - November 28, 2011

November 1 - November 28, Annually Thereafter

LARGE Projects

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December 1 - December 19, Annually Thereafter

SMALL Projects

Proposal Review Information Criteria

Merit Review Criteria: National Science Board approved criteria. Additional merit review considerations apply. Please see the full text of this solicitation for further information.

Award Administration Information

Award Conditions: Standard NSF award conditions apply.

Reporting Requirements: Standard NSF reporting requirements apply.

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I. INTRODUCTION

The Division of Computing and Communication Foundations (CCF) supports transformative research and education projects that explore the foundations of computing and communication devices. The Division seeks advances in computing and communication theory, algorithms for computer and computational sciences, and architecture and design of computers and software. CCF-supported projects also investigate revolutionary computing models and technologies based on emerging scientific ideas and integrate research and education activities to prepare future generations of computer science and engineering workers.

II. PROGRAM DESCRIPTION

CCF supports three core programs as described below - Algorithmic Foundations (AF), Communications and Information Foundations (CIF), and Software and Hardware Foundations (SHF).

• **Algorithmic Foundations (AF)**

The Algorithmic Foundations (AF) program supports research characterized by algorithmic thinking accompanied by rigorous analysis. Research on algorithms for problems that are central to computer science and engineering as well as new techniques for the rigorous mathematical analysis of algorithms are of interest. AF supports theoretical research that bounds the intrinsic difficulty of problems to determine the measures of complexity in formal models of computation, classical or new. The goal is to understand the fundamental limits of resource-bounded computation and to obtain efficient solutions within those limits. Specifically, the time and space complexity of finding exact and approximate solutions in deterministic and randomized models of computation is the central concern of the program. Research on resources other than time and space, such as communication and energy, are also encouraged. In addition to the traditional, sequential computing paradigm, AF supports research on the design and analysis of novel algorithms in parallel and distributed models, in particular, in heterogeneous multi-core and many core machines; the computational models and algorithms that capture essential aspects of computing over massive data sets; quantum computing and information processing; and quantum communication and information theory.

The program supports research in algorithms needed in all areas, both within and outside computer science. Algorithmic research in databases, machine learning, data mining, networks, communications, operating systems, languages, compilers, and machine abstractions is supported. New techniques for the design and analysis of algorithms in areas such as cryptography, computational geometry, computational biology, and numerical, symbolic, algebraic, and scientific computing are appropriate for this program. Relevance to application areas is important and collaborations with researchers in those areas are encouraged. However, research funded by this program must advance the study of algorithms. Research at the interstices of computing and economics that was formerly supported in this program is now supported by a separate program, called Interface between Computer Science and Economic & Social Sciences (ICES), which is jointly managed with the Directorate for Social, Behavioral and Economic Sciences.

More information on topics appropriate for this program is available at:

http://www.nsf.gov/cise/ccf/af_pgm12.jsp

Communications and Information Foundations (CIF)

The Communications and Information Foundations (CIF) program supports potentially transformative research that addresses the theoretical underpinnings and current and future enabling technologies for information acquisition, transmission, and processing in communications and information processing systems. As a result, CIF research and education projects strengthen the intellectual foundations of communications and information theory and signal processing in a variety of types of networks such as sensor networks, wireless and multimedia networks, biological networks, and networks of quantum devices. Research outcomes are expected to lead to more secure and reliable communications and advanced mathematical capabilities that are applicable throughout science and engineering.

The program supports basic research in wireless communications, information theory and coding. Included in the CIF program is the reliable transmission of information, in both analog and digital form, in the presence of a variety of channel impairments (noise, multipath, interference, etc.). A number of channel architectures are of interest, including multiple-input multiple-output (MIMO) channels, feedback channels, optical channels, quantum channels, and biological channels. CIF has a strong interest in the theoretical performance limits for various communication systems architectures and in the presence of various channel impairments. Also of interest are performance metrics and tradeoffs. An important example is the tradeoff between error probability and latency resulting from coding/decoding algorithms, diversity techniques, and other types of signal processing.

The CIF program also supports fundamental research in networking including network information theory, network coding, and cross-layer research at the lower layers. The CIF research program in networking focuses on the MAC layer and below and emphasizes research in which the physical-layer attributes play an important role in overall network design and performance such as the impact of physical-layer characteristics on higher network layers. CIF supports research at the intersection of communications and information theory, signal processing, and networking. Examples include sensor networks with applications to environmental monitoring, civil infrastructure monitoring, data communications system monitoring, and power grid monitoring. A further example is network tomography, which involves detecting and classifying spatially distributed anomalies within complex large-scale systems from multiple monitoring (sensor) sites.

In addition to the contemporary signal processing topics that have enabled the IT revolution, there is growing interest within the CIF program in new paradigms that enlarge the scope of signal and information processing from the domain of the linear to the realm of the nonlinear - from linear algebra to algebra, from Euclidean to curved

spaces, from uniform to highly non-uniform time and space sampling, to signal processing on graphs. Research that will develop efficient power aware and hardware-friendly algorithms and research on signal/information processing algorithms for the new network science of distributed, decentralized, and cooperative algorithms that avoid global communications is encouraged. The exploration of new approaches to manage massive datasets, such as compressive sampling/sensing, also promises advances in the field.

The CIF program is particularly interested in the application of signal/information processing in complex systems. Some examples of exciting applications are monitoring the Nation's critical infrastructures, signal processing in biological systems, and biomedical signal and image processing. These and other emerging application domains pose new constraints and challenges, leading to the reexamination of old questions and assumptions.

More information on topics appropriate for this program is available at:

http://www.nsf.gov/cise/ccf/cif_pgm12.jsp

Software and Hardware Foundations (SHF)

All fields of science and engineering - and society at large - depend on fundamental advances in scientific foundations and engineering methods for computer hardware and software. Progress toward achieving robust, reliable computing in traditional and non-traditional environments is enabled by breakthroughs in electronic design and design tools, hardware and hardware architectures for a broad spectrum of computer systems, algorithms and software for utilizing them effectively, and methods and tools for designing and programming applications that are efficiently producible, verifiably correct and adaptable to changing requirements and environments.

The SHF program supports research and education projects on the design, verification, operation, utilization, and evaluation of the computer hardware and software through novel approaches, robust theories, high-leverage tools, and lasting principles. Such advances may offer models, methods, languages, logics, novel software and/or hardware artifacts, and algorithms to enable new or enhanced functionality, and formal methods and tools for the design and implementation of computer systems and their applications. Proposals should include plans for validation through proofs of concept, empirical evaluation, and/or other scientific methods. They may also address issues of usability and scale. An area of growing importance is energy-aware computing - hardware and software solutions to reduce the power and energy needs of information technology. Security-awareness in hardware and software is an ever-present challenge.

The SHF program supports all aspects of the science and engineering of software, seeking transformative ideas that reformulate the relationship between requirements, design and evolution of software and software-intensive systems. SHF welcomes research projects focusing on analysis and synthesis, compositionality, verifiability and certifiability of software, as well as research on static, dynamic, functional and non-functional analysis and testing techniques in all stages of the software life cycle. A long-term objective is to increase the automation of software engineering capabilities to attain significant advances in quality and sustainability of software, which may require new representations and processes. Empirical research that increases understanding of software and software creation is also in scope.

SHF supports the entire range of programming language and compiler research from principles and semantics to compiling for multi-threaded and multi-core architectures. This includes, but is not limited to languages, compilers, runtimes, libraries supporting higher-level abstractions, and at all of these levels, the balance between direct user control of resources and system automation. As the many-core era continues, SHF emphasizes research on managing increasing levels of parallelism, new semantic constructs and programming models for petascale-and-beyond applications, and new execution models and programming models that express parallelism and concurrency.

SHF seeks proposals that address the key challenges in computer hardware design, including, but not limited to, performance, dependability, reliability, and scalability. The program supports research in multi-core and many-core architectures for chip as well as system levels, including new execution models, memory design, cache design, and new directions in on-chip and off-chip interconnects using silicon, optics, wireless, and radio frequency. SHF seeks research in emerging technologies, including optical interconnects, quantum computing, optical computing, bio-computing, bio-inspired devices, nanotubes and nanophotonics, as well as innovations in silicon technology as possible successors to existing technologies, which will take computation beyond Moore's Law. Also of interest are reconfigurable architectures with auto-immunity and self-organization to increase fault-tolerance and dependability. Topics in design automation include logical, physical, behavioral and high level synthesis methods to achieve the properties of systems described previously, including the interplay with verification methodologies.

SHF supports fundamental research on formal and semi-formal methods for the specification, development and verification of software, hardware and embedded systems. This includes, but is not limited to abstraction, compositional, refinement-based, and probabilistic methods for the modeling and validation of systems involving discrete and continuous behavior. SHF seeks proposals that enhance the applicability, usability, and efficiency of techniques such as model checking, theorem proving, automated decision procedures, static analysis and constraint solving. Research topics involving the semantics, logics, verification, and analysis of concurrent systems are welcome.

SHF supports research in other areas that cut across the hardware and software layers, for example, theory, software engineering, and architectures for cloud computing, data-intensive computing, multi-core and other parallel computing systems. In addition, the SHF cluster encourages proposals that transcend traditional areas, import ideas from other fields, or capture the dynamic interactions among the architecture, language, compiler, systems software, and applications layers.

SHF seeks proposals on the design, verification, operation, utilization, and evaluation of computer hardware and software through novel approaches, robust theories, high-leverage tools, and lasting principles. Proposals that are focused on advances in system computing and system programming that are particular to an application domain or a specific hardware platform should consider the [CSR program](#) in the CNS division.

More information on topics appropriate for this program is available at:

http://www.nsf.gov/cise/ccf/shf_pgm12.jsp

Proposals submitted to this solicitation must be consistent with one of three project classes defined below. Proposals will be considered for funding within their project classes.

- **Small Projects, with total budgets up to \$500,000 for durations of up to three years**, are well suited to one or two investigators (PI and one co-PI or other Senior Personnel) and at least one student and/or postdoc.
- **Medium Projects, with total budgets ranging from \$500,001 to \$1,200,000 for durations up to four years**, are well-

suited to one or more investigators (PI, co-PI and/or other Senior Personnel) and several students and/or postdocs. Medium project descriptions must be comprehensive and well-integrated, and should make a convincing case that the collaborative contributions of the project team will be greater than the sum of each of their individual contributions. Rationale must be provided to explain why a budget of the size requested is required to carry out the proposed work. Since the success of collaborative research efforts are known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, a Collaboration Plan is **required** for all Medium proposals **with more than one investigator**. The length of and level of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. Please see *Proposal Preparation Instructions* Section V.A for additional submission guidelines.

- **Large Projects, with total budgets ranging from \$1,200,001 to \$3,000,000 for durations of up to five years**, are well-suited to two or more investigators (PI, co-PI(s), or other Senior Personnel), and a team of students and/or postdocs. Large project descriptions must be comprehensive and well-integrated, and should make a convincing case that the collaborative contributions of the project team will be greater than the sum of each of their individual contributions. Rationale must be provided to explain why a budget of the size requested is required to carry out the proposed work. Since the success of collaborative research efforts are known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, a Collaboration Plan is **required** for all Large proposals. The length of and degree of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. Please see *Proposal Preparation Instructions* Section V.A for additional submission guidelines.

CISE investments in Small, Medium and Large projects complement the directorate's investments in the Expeditions in Computing program; Expeditions projects are funded at levels of up to \$10,000,000 total for durations of up to 5 years. The Expeditions solicitation can be accessed at http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503169&org=CISE&from=home.

IMPORTANT PROJECT CHARACTERISTICS

The submission of far-reaching, creative research and education projects is encouraged. Funds will be used to support potentially transformative research with high-impact potential. In this way, CISE will catalyze exciting new research activities with the potential to make significant advances in the state-of-the-art.

Interdisciplinary, international and/or academic-industry collaborations that promise to result in major science or engineering advances are welcome. The directorate hopes to attract proposals from faculty at a broad range of academic institutions, including faculty at minority-serving and predominantly undergraduate institutions.

Proposals submitted should demonstrate that rich learning experiences will be provided for a diverse population of students and may propose the development of innovative curricula or educational materials that advance literacy about and expertise in areas supported by CISE.

Proposals that extend beyond the scope of one CISE core program are welcome. In such cases, PIs should identify the most relevant program(s) in the proposal submission process (see *Proposal Preparation Instructions* later in this document). CISE Program Officers will work with their NSF colleagues to ensure that these proposals are appropriately co-reviewed and considered for funding.

III. AWARD INFORMATION

Approximately \$100 million will be available each year to support up to 160 awards, pending the availability of funds.

IV. ELIGIBILITY INFORMATION

Organization Limit:

None Specified

PI Limit:

None Specified

Limit on Number of Proposals per Organization:

None Specified

Limit on Number of Proposals per PI: 2

In any contiguous September through December period, an individual may participate as PI, Co-PI or Senior Personnel in **no more than two** proposals submitted in response to the *coordinated solicitation* (where *coordinated solicitation* is defined to include the *Information and Intelligent Systems (IIS): Core Programs*, the *Computer and Network Systems (CNS): Core Programs* and the *Computing and Communication Foundations (CCF): Core Programs* solicitations). For example, between September 2011 and December 2011, an individual may participate as PI, co-PI or Senior Personnel in one proposal submitted to a core program in CCF and in a second proposal submitted to a core program in CNS, or an individual may participate as PI, co-PI or Senior Personnel in two proposals submitted to an IIS core program, etc.

These eligibility constraints will be strictly enforced in order to treat everyone fairly and consistently. In the event that an individual exceeds this limit, proposals received within the limit will be accepted based on earliest date and time of proposal submission (i.e., the first two proposals received will be accepted and the remainder will be returned without review). **No exceptions will be made.**

The limit on the number of proposals per PI, co-PI or Senior Personnel applies only to the *coordinated solicitation*.

V. PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS

A. Proposal Preparation Instructions

Full Proposal Preparation Instructions: Proposers may opt to submit proposals in response to this Program Solicitation via Grants.gov or via the NSF FastLane system.

- Full proposals submitted via FastLane: Proposals submitted in response to this program solicitation should be prepared and submitted in accordance with the general guidelines contained in the NSF Grant Proposal Guide (GPG). The complete text of the GPG is available electronically on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg. Paper copies of the GPG may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov. Proposers are reminded to identify this program solicitation number in the program solicitation block on the NSF Cover Sheet For Proposal to the National Science Foundation. Compliance with this requirement is critical to determining the relevant proposal processing guidelines. Failure to submit this information may delay processing.
- Full proposals submitted via Grants.gov: Proposals submitted in response to this program solicitation via Grants.gov should be prepared and submitted in accordance with the NSF Grants.gov Application Guide: A Guide for the Preparation and Submission of NSF Applications via Grants.gov. The complete text of the NSF Grants.gov Application Guide is available on the Grants.gov website and on the NSF website at: (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide). To obtain copies of the Application Guide and Application Forms Package, click on the Apply tab on the Grants.gov site, then click on the Apply Step 1: Download a Grant Application Package and Application Instructions link and enter the funding opportunity number, (the program solicitation number without the NSF prefix) and press the Download Package button. Paper copies of the Grants.gov Application Guide also may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov.

In determining which method to utilize in the electronic preparation and submission of the proposal, please note the following:

Collaborative Proposals. All collaborative proposals submitted as separate submissions from multiple organizations must be submitted via the NSF FastLane system. Chapter II, Section D.4 of the Grant Proposal Guide provides additional information on collaborative proposals.

The following information SUPPLEMENTS (not replaces) the guidelines provided in the NSF Grant Proposal Guide (GPG).

Proposal Titles: Proposal titles must begin with an acronym that indicates the most relevant core program. Select an acronym from the following list:

- Algorithmic Foundations - AF
- Communications and Information Foundations - CIF
- Software and Hardware Foundations - SHF

The acronym should be followed with a colon, then the project class followed by a colon, then the title of your project. For example, if you are submitting a medium proposal to the Communications and Information Foundations core program, then your title would be **CIF: Medium:Title**. If you submit a proposal as part of a set of collaborative proposals, the title of the proposal should begin with the acronym that indicates the most relevant core program followed by a colon, then the project class followed by a colon, then "Collaborative Research" followed by a colon, and the title. For example, if you are submitting a collaborative set of proposals to the Software and Hardware Foundations core program for a Large project, the title of each would be **SHF: Large:Collaborative Research: Title**.

Proposals from PIs in institutions that have RUI (Research in Undergraduate Institutions) eligibility should have a proposal title that begins with the acronym that indicates the most relevant crosscutting program, followed by a colon then the project class, followed by a colon then "RUI", followed by a colon and then the title, for example, **AF: Small:RUI:Title**.

PIs submitting Grant Opportunities for Academic Liaison with Industry (GOALI) proposals should have a proposal title that begins with the acronym that indicates the most relevant crosscutting program, followed by a colon then the project class, followed by a colon then "GOALI", followed by a colon and then the title, for example, **SHF: Small:GOALI:Title**.

Proposals that extend beyond the scope of one CISE core program are welcome. In such cases, PIs should identify the acronym for the **most relevant** core program, followed by any other relevant program acronym(s) separated by colons (for example, **SHF: CSR:Medium:Title**). CISE Program Officers will work with their NSF colleagues to ensure that these proposals are appropriately co-reviewed and considered for funding.

Project Summary: The Project Summary must include an explicit description of both the Intellectual Merit and Broader Impacts of the activities proposed, preferably in separate paragraphs titled "Intellectual Merit" and "Broader Impacts".

Please provide between 2 and 6 sets of key words at the end of the Project Summary. CISE personnel will use this information in implementing the merit review process. The key words should describe the main scientific/engineering areas explored in the proposal. Key words should be prefaced with "Key Words" followed by a colon and each key word set should be separated by semi-colons. Key words should be of the type used to describe research in a journal submission. They should be included at the end of the project summary and might appear, for example, as **Key Words: energy-aware computing; formal logic; computer graphics; sensor networks; information visualization; privacy**.

Project Description:

All Proposals - Describe the research and education activities to be undertaken in 15 pages or less. Describe curriculum development activities in a separate section titled "Curriculum Development Activities."

Medium and Large Proposals - Since the success of collaborative research efforts are known to depend on thoughtful coordination mechanisms that regularly bring together the various participants of the project, all Medium proposals that include more than one investigator and all Large proposals must include a Collaboration Plan. While the length of the Project Description for Small proposals is limited to 15 pages, for Medium and Large proposals up to 3 additional pages are allowed for Collaboration Plans. Collaboration Plans should be included at the end of the Project Description in a section entitled "Collaboration Plan". The length of and degree of detail provided in the Collaboration Plan should be commensurate with the complexity of the proposed project. Where appropriate, the Collaboration Plan might include: 1) the specific roles of the project participants in all organizations involved; 2) information on how the project will be managed across all the investigators, institutions, and/or disciplines; 3) identification of the specific coordination mechanisms that will enable cross-investigator, cross-institution, and/or cross-discipline scientific integration (e.g., yearly workshops, graduate student exchange, project meetings at conferences, use of the grid for videoconferences, software repositories, etc.), and 4) specific references to the budget line items that support collaboration and coordination mechanisms. **If a Large proposal, or a Medium proposal with more than one investigator, does not include a Collaboration Plan, that proposal will be returned without review.**

Supplementary Documents:

In the Supplementary Documents Section, upload the following information where relevant:

1. *List of Project Personnel and Partner Institutions (Note - In collaborative proposals, only the lead institution should provide this information),*

Provide current, accurate information for all personnel and institutions involved in the project. NSF staff will use this information in the merit review process to manage conflicts of interest. The list should include all PIs, Co-PIs, Senior Personnel, paid/unpaid Consultants or Collaborators, Subawardees, Postdocs, and project-level advisory committee members. This list should be numbered and include (in this order) Full name, Organization(s), and Role in the project, with each item separated by a semi-colon. Each person listed should start a new numbered line. For example:

1. Mary Smith; XYZ University; PI
2. John Jones; University of PQR; Senior Personnel
3. Jane Brown; XYZ University; Postdoc
4. Bob Adams; ABC Inc.; Paid Consultant
5. Mary White; Welldone Institution; Unpaid Collaborator
6. Tim Green; ZZZ University; Subawardee

2. *Postdoctoral Researcher Mentoring Plan (if applicable)*

Each proposal that requests funding to support postdoctoral researchers must include, as a supplementary document, a description of the mentoring activities that will be provided for such individuals. Please be advised that if required, FastLane will not permit submission of a proposal that is missing a Postdoctoral Researcher Mentoring Plan. See Chapter II.C.2.j (http://www.nsf.gov/pubs/policydocs/pappguide/nsf11001/gpg_2.jsp#II.C.2.j) of the GPG for further information about the implementation of this requirement.

3. *Data Management Plan (required)*

Proposals must include a supplementary document of no more than two pages labeled "Data Management Plan". This supplementary document should describe how the proposal will conform to NSF policy on the dissemination and sharing of research results.

See [Grant Proposal Guide \(GPG\) Chapter II.C.2.j](#) for full policy implementation.

For additional information see: <http://www.nsf.gov/bfa/dias/policy/dmp.jsp>

4. *Other Specialized Information*

RUI Proposals: PIs from predominantly undergraduate institutions should include a Research in Undergraduate Institutions (RUI) Impact Statement and Certification of RUI Eligibility in this Section.

GOALI proposals: PIs submitting GOALI proposals should include industry-university agreement letters on intellectual property in this section.

B. Budgetary Information

Cost Sharing: Inclusion of voluntary committed cost sharing is prohibited

C. Due Dates

- **Submission Window Date(s)** (due by 5 p.m. proposer's local time):

September 15, 2011 - September 30, 2011

September 15 - September 30, Annually Thereafter

MEDIUM Projects

November 01, 2011 - November 28, 2011

November 1 - November 28, Annually Thereafter

LARGE Projects

December 01, 2011 - December 19, 2011

December 1 - December 19, Annually Thereafter

SMALL Projects

D. FastLane/Grants.gov Requirements

- **For Proposals Submitted Via FastLane:**

Detailed technical instructions regarding the technical aspects of preparation and submission via FastLane are available at: <https://www.fastlane.nsf.gov/a1/newstan.htm>. For FastLane user support, call the FastLane Help Desk at 1-800-673-6188 or

e-mail fastlane@nsf.gov. The FastLane Help Desk answers general technical questions related to the use of the FastLane system. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this funding opportunity.

Submission of Electronically Signed Cover Sheets. The Authorized Organizational Representative (AOR) must electronically sign the proposal Cover Sheet to submit the required proposal certifications (see Chapter II, Section C of the Grant Proposal Guide for a listing of the certifications). The AOR must provide the required electronic certifications within five working days following the electronic submission of the proposal. Further instructions regarding this process are available on the FastLane Website at: <https://www.fastlane.nsf.gov/fastlane.jsp>.

- **For Proposals Submitted Via Grants.gov:**

Before using Grants.gov for the first time, each organization must register to create an institutional profile. Once registered, the applicant's organization can then apply for any federal grant on the Grants.gov website. Comprehensive information about using Grants.gov is available on the Grants.gov Applicant Resources webpage: http://www07.grants.gov/applicants/app_help_reso.jsp. In addition, the NSF Grants.gov Application Guide provides additional technical guidance regarding preparation of proposals via Grants.gov. For Grants.gov user support, contact the Grants.gov Contact Center at 1-800-518-4726 or by email: support@grants.gov. The Grants.gov Contact Center answers general technical questions related to the use of Grants.gov. Specific questions related to this program solicitation should be referred to the NSF program staff contact(s) listed in Section VIII of this solicitation.

Submitting the Proposal: Once all documents have been completed, the Authorized Organizational Representative (AOR) must submit the application to Grants.gov and verify the desired funding opportunity and agency to which the application is submitted. The AOR must then sign and submit the application to Grants.gov. The completed application will be transferred to the NSF FastLane system for further processing.

VI. NSF PROPOSAL PROCESSING AND REVIEW PROCEDURES

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposal preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

A. NSF Merit Review Criteria

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which the reviewer is qualified to make judgments.

What is the intellectual merit of the proposed activity?

How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields? How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources?

What are the broader impacts of the proposed activity?

How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society?

Examples illustrating activities likely to demonstrate broader impacts are available electronically on the NSF website at: <http://www.nsf.gov/pubs/gpg/broaderimpacts.pdf>.

Mentoring activities provided to postdoctoral researchers supported on the project, as described in a one-page supplementary document, will be evaluated under the Broader Impacts criterion.

NSF staff also will give careful consideration to the following in making funding decisions:

Integration of Research and Education

One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives.

Integrating Diversity into NSF Programs, Projects, and Activities

Broadening opportunities and enabling the participation of all citizens -- women and men, underrepresented minorities, and persons with disabilities -- is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

Additional Review Criteria:

For Large and relevant Medium proposals, reviewers will be asked to:

- Comment on the extent to which the project scope justifies the level of investment requested, and the degree to which the Collaboration Plan (if required) adequately demonstrates that the participating investigators will work synergistically to accomplish the project objectives.

B. Review and Selection Process

Proposals submitted in response to this program solicitation will be reviewed by Ad hoc Review and/or Panel Review.

Reviewers will be asked to formulate a recommendation to either support or decline each proposal. The Program Officer assigned to manage the proposal's review will consider the advice of reviewers and will formulate a recommendation.

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

A summary rating and accompanying narrative will be completed and submitted by each reviewer. In all cases, reviews are treated as confidential documents. Verbatim copies of reviews, excluding the names of the reviewers, are sent to the Principal Investigator/Project Director by the Program Officer. In addition, the proposer will receive an explanation of the decision to award or decline funding.

In all cases, after programmatic approval has been obtained, the proposals recommended for funding will be forwarded to the Division of Grants and Agreements for review of business, financial, and policy implications and the processing and issuance of a grant or other agreement. Proposers are cautioned that only a Grants and Agreements Officer may make commitments, obligations or awards on behalf of NSF or authorize the expenditure of funds. No commitment on the part of NSF should be inferred from technical or budgetary discussions with a NSF Program Officer. A Principal Investigator or organization that makes financial or personnel commitments in the absence of a grant or cooperative agreement signed by the NSF Grants and Agreements Officer does so at their own risk.

VII. AWARD ADMINISTRATION INFORMATION

A. Notification of the Award

Notification of the award is made to *the submitting organization* by a Grants Officer in the Division of Grants and Agreements. Organizations whose proposals are declined will be advised as promptly as possible by the cognizant NSF Program administering the program. Verbatim copies of reviews, not including the identity of the reviewer, will be provided automatically to the Principal Investigator. (See Section VI.B. for additional information on the review process.)

B. Award Conditions

An NSF award consists of: (1) the award letter, which includes any special provisions applicable to the award and any numbered amendments thereto; (2) the budget, which indicates the amounts, by categories of expense, on which NSF has based its support (or otherwise communicates any specific approvals or disapprovals of proposed expenditures); (3) the proposal referenced in the award letter; (4) the applicable award conditions, such as Grant General Conditions (GC-1); * or Research Terms and Conditions * and (5) any announcement or other NSF issuance that may be incorporated by reference in the award letter. Cooperative agreements also are administered in accordance with NSF Cooperative Agreement Financial and Administrative Terms and Conditions (CA-FATC) and the applicable Programmatic Terms and Conditions. NSF awards are electronically signed by an NSF Grants and Agreements Officer and transmitted electronically to the organization via e-mail.

*These documents may be accessed electronically on NSF's Website at http://www.nsf.gov/awards/managing/award_conditions.jsp?org=NSF. Paper copies may be obtained from the NSF Publications Clearinghouse, telephone (703) 292-7827 or by e-mail from nsfpubs@nsf.gov.

More comprehensive information on NSF Award Conditions and other important information on the administration of NSF awards is contained in the *NSF Award & Administration Guide (AAG)* Chapter II, available electronically on the NSF Website at http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

C. Reporting Requirements

For all multi-year grants (including both standard and continuing grants), the Principal Investigator must submit an annual project report to the cognizant Program Officer at least 90 days before the end of the current budget period. (Some programs or awards require more frequent project reports). Within 90 days after expiration of a grant, the PI also is required to submit a final project report, and a project outcomes report for the general public.

Failure to provide the required annual or final project reports, or the project outcomes report will delay NSF review and processing of any future funding increments as well as any pending proposals for that PI. PIs should examine the formats of the required reports in advance to assure availability of required data.

PIs are required to use NSF's electronic project-reporting system, available through FastLane, for preparation and submission of annual and final project reports. Such reports provide information on activities and findings, project participants (individual and organizational), publications, and other specific products and contributions. PIs will not be required to re-enter information previously provided, either with a proposal or in earlier updates using the electronic system. Submission of the report via FastLane constitutes certification by the PI that the contents of the report are accurate and complete. The project outcomes report must be prepared and

submitted using Research.gov. This report serves as a brief summary, prepared specifically for the public, of the nature and outcomes of the project. This report will be posted on the NSF website exactly as it is submitted by the PI.

VIII. AGENCY CONTACTS

Please note that the program contact information is current at the time of publishing. See program website for any updates to the points of contact.

General inquiries regarding this program should be made to:

- Dmitry Maslov, Point of Contact, Algorithmic Foundations, 1115, telephone: (703) 292-8910, email: dmaslov@nsf.gov
- John H. Cozzens, Point of Contact, Communications and Information Foundations, 1115, telephone: (703) 292-8910, email: jcozzens@nsf.gov
- Nina Amla, Program Contact, 1115, telephone: (703) 292-8910, email: namla@nsf.gov

For questions related to the use of FastLane, contact:

- FastLane Help Desk, telephone: 1-800-673-6188; e-mail: fastlane@nsf.gov.

For questions relating to Grants.gov contact:

- Grants.gov Contact Center: If the Authorized Organizational Representatives (AOR) has not received a confirmation message from Grants.gov within 48 hours of submission of application, please contact via telephone: 1-800-518-4726; e-mail: support@grants.gov.

In addition to the Program Officers identified as program points of contact above, the following CCF Program Officers also support CCF core programs as indicated below:

Algorithmic Foundations (AF)

- Mitra Basu, (703) 292-8910, mbasu@nsf.gov, Room 1115
- Petros Drineas, (703) 292-8910, pdrineas@nsf.gov, Room 1115

Communications and Information Foundations (CIF)

- William Tranter, (703) 292-8910, wtranter@nsf.gov, Room 1115

Software and Hardware Foundations (SHF)

- Sankar Basu, (703) 292-8910, sbasu@nsf.gov, Room 1115
- Almadena Chitchelekanova, (703) 292-8910, achtchel@nsf.gov, Room 1115
- Sol Greenspan, (703) 292-8910, sgreensp@nsf.gov, Room 1115
- Ahmed Louri, (703) 292-8910, alouri@nsf.gov, Room 1115

IX. OTHER INFORMATION

The NSF Website provides the most comprehensive source of information on NSF Directorates (including contact information), programs and funding opportunities. Use of this Website by potential proposers is strongly encouraged. In addition, National Science Foundation Update is a free e-mail subscription service designed to keep potential proposers and other interested parties apprised of new NSF funding opportunities and publications, important changes in proposal and award policies and procedures, and upcoming NSF Regional Grants Conferences. Subscribers are informed through e-mail when new publications are issued that match their identified interests. Users can subscribe to this service by clicking the "Get NSF Updates by Email" link on the [NSF web site](http://www.nsf.gov).

Grants.gov provides an additional electronic capability to search for Federal government-wide grant opportunities. NSF funding opportunities may be accessed via this new mechanism. Further information on Grants.gov may be obtained at <http://www.grants.gov>.

In addition to the *coordinated solicitation* discussed in this document, NSF provides funding opportunities for the computing community via the following programs and their solicitations:

Discovery Research Programs

CAREER: Faculty Early Career Development, http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503214&org=NSF&sel_org=XCUT&from=fund

Collaborative Research in Computational Neuroscience (CRCNS), http://nsf.gov/funding/pgm_summ.jsp?pims_id=5147

Cyber-Physical Systems (CPS), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503286&org=NSF&sel_org=NSF&from=fund

Engineering Research Centers (ERCs), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5502&org=NSF&sel_org=NSF&from=fund

Expeditions in Computing, http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503169&org=NSF&sel_org=NSF&from=fund

Grant Opportunities for Academic Liaison with Industry (GOALI), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=13706&org=CISE&sel_org=CISE&from=fund

Industry/University Cooperative Research Centers Program (I/UCRC),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5501&org=CISE&sel_org=CISE&from=fund

Interface between Computer Science and Economics & Social Sciences (ICES),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503549

Partnerships for International Research and Education (PIRE),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12819&org=CISE&sel_org=CISE&from=fund

Research in Undergraduate Institutions (RUI), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5518&org=CISE&sel_org=CISE&from=fund

Science of Learning Centers (SLCs), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5567&org=CISE&sel_org=CISE&from=fund

Science and Technology Centers (STCs), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5541&org=CISE&sel_org=CISE&from=fund

Smart Health and Wellbeing (SHW) (update pending), http://nsf.gov/funding/pgm_summ.jsp?pims_id=503556&org=IS&from=home

Social-Computational Systems (SoCS), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503406

Trustworthy Computing (TR) (update pending), http://nsf.gov/funding/pgm_summ.jsp?pims_id=503326&org=CNS&from=home

Education and Workforce Development Programs

ADVANCE: Increasing the Participation and Advancement of Women in Academic Science and Engineering Careers, http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5383&from=fund

Advanced Technological Education (ATE), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5464

Computing Education for the 21st Century (CE21), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503582&org=CNS&from=home

Developing Global Scientists and Engineers [International Research Experiences for Students (IRES) and Doctoral Dissertation Enhancement Projects (DDEP)] (currently on hold),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12831&org=CISE&sel_org=CISE&from=fund

Discovery Research K-12 (DR-K12), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=500047&org=EHR&sel_org=EHR&from=fund

Federal Cyber Service: Scholarship for Service (SFS),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5228

Graduate Research Fellowships (GRF), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=6201&org=DGE&from=home

Integrative Graduate Education and Research Training (IGERT),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12759

International Research Fellowship Program (IRFP), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5179&org=CISE&sel_org=CISE&from=fund

Information Technology Experiences for Students and Teachers (ITEST),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5467&org=EHR&sel_org=EHR&from=fund

NSF Scholarships in Science, Technology, Engineering, and Mathematics (S-STEM),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5257&org=EHR&sel_org=EHR&from=fund

Research Experiences for Teachers (RET) in Engineering and Computer Science,
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5736

Research Experiences for Undergraduates (REU) Sites and Supplements,
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5517&from=fund

Science, Technology, Engineering, and Mathematics Talent Expansion Program (STEP),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5488&org=EHR&sel_org=EHR&from=fund

Transforming Undergraduate Education in Science (TUES),
<http://www.nsf.gov/pubs/2010/nsf10544/nsf10544.htm>

Research Infrastructure Programs

CISE Computing Research Infrastructure (CRI), http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=12810&org=NSF&sel_org=NSF&from=fund

EPSCoR Research Infrastructure Improvement Grant Program,
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5672&org=CISE&sel_org=CISE&from=fund

Major Research Infrastructure (MRI), <http://www.nsf.gov/od/oiia/programs/mri/>

Software Infrastructure for Sustained Innovation (SI²),
http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=503489&org=NSF&sel_org=XCUT&from=fund

For more information on these programs, please consult the NSF web site.

ABOUT THE NATIONAL SCIENCE FOUNDATION

The National Science Foundation (NSF) is an independent Federal agency created by the National Science Foundation Act of 1950, as amended (42 USC 1861-75). The Act states the purpose of the NSF is "to promote the progress of science; [and] to advance the national health, prosperity, and welfare by supporting research and education in all fields of science and engineering."

NSF funds research and education in most fields of science and engineering. It does this through grants and cooperative agreements to more than 2,000 colleges, universities, K-12 school systems, businesses, informal science organizations and other research organizations throughout the US. The Foundation accounts for about one-fourth of Federal support to academic institutions for basic research.

NSF receives approximately 40,000 proposals each year for research, education and training projects, of which approximately 11,000 are funded. In addition, the Foundation receives several thousand applications for graduate and postdoctoral fellowships. The agency operates no laboratories itself but does support National Research Centers, user facilities, certain oceanographic vessels and Antarctic research stations. The Foundation also supports cooperative research between universities and industry, US participation in international scientific and engineering efforts, and educational activities at every academic level.

Facilitation Awards for Scientists and Engineers with Disabilities provide funding for special assistance or equipment to enable persons with disabilities to work on NSF-supported projects. See Grant Proposal Guide Chapter II, Section D.2 for instructions regarding preparation of these types of proposals.

The National Science Foundation has Telephonic Device for the Deaf (TDD) and Federal Information Relay Service (FIRS) capabilities that enable individuals with hearing impairments to communicate with the Foundation about NSF programs, employment or general information. TDD may be accessed at (703) 292-5090 and (800) 281-8749, FIRS at (800) 877-8339.

The National Science Foundation Information Center may be reached at (703) 292-5111.

The National Science Foundation promotes and advances scientific progress in the United States by competitively awarding grants and cooperative agreements for research and education in the sciences, mathematics, and engineering.

To get the latest information about program deadlines, to download copies of NSF publications, and to access abstracts of awards, visit the NSF Website at <http://www.nsf.gov>

- **Location:** 4201 Wilson Blvd. Arlington, VA 22230
- **For General Information** (NSF Information Center): (703) 292-5111
- **TDD (for the hearing-impaired):** (703) 292-5090
- **To Order Publications or Forms:**
 - Send an e-mail to: nsfpubs@nsf.gov
 - or telephone: (703) 292-7827
- **To Locate NSF Employees:** (703) 292-5111

PRIVACY ACT AND PUBLIC BURDEN STATEMENTS

The information requested on proposal forms and project reports is solicited under the authority of the National Science Foundation Act of 1950, as amended. The information on proposal forms will be used in connection with the selection of qualified proposals; and project reports submitted by awardees will be used for program evaluation and reporting within the Executive Branch and to Congress. The information requested may be disclosed to qualified reviewers and staff assistants as part of the proposal review process; to proposer institutions/grantees to provide or obtain data regarding the proposal review process, award decisions, or the administration of awards; to government contractors, experts, volunteers and researchers and educators as necessary to complete assigned work; to other government agencies or other entities needing information regarding applicants or nominees as part of a joint application review process, or in order to coordinate programs or policy; and to another Federal agency, court, or party in a court or Federal administrative proceeding if the government is a party. Information about Principal Investigators may be added to the Reviewer file and used to select potential candidates to serve as peer reviewers or advisory committee members. See Systems of Records, [NSF-50](#), "Principal Investigator/Proposal File and Associated Records," 69 Federal Register 26410 (May 12, 2004), and [NSF-51](#), "Reviewer/Proposal File and Associated Records," 69 Federal Register 26410 (May 12, 2004). Submission of the information is voluntary. Failure to provide full and complete information, however, may reduce the possibility of receiving an award.

An agency may not conduct or sponsor, and a person is not required to respond to, an information collection unless it displays a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 3145-0058. Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions. Send comments regarding the burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden, to:

Suzanne H. Plimpton
Reports Clearance Officer
Division of Administrative Services
National Science Foundation
Arlington, VA 22230



The National Science Foundation, 4201 Wilson Boulevard, Arlington, Virginia 22230, USA
Tel: (703) 292-5111, FIRS: (800) 877-8339 | TDD: (800) 281-8749

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